Univerza v Ljubljani





### Advanced CV methods Mean Shift tracking

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## A toy-example – detector

• An imperfect detector says whether a selected region might contain a target or not.



"Detector" usually fires correctly, but sometimes incorrectly But more often it fires correctly...



Observe: densely-populated areas contain a target with a high probability

Today's topic:

Finding the "most probable" position.

1. The theory behind the Mean Shift algorithm

2. Tracker based on the Mean Shift algorithm

Advanced Topics in Computer Vision

### **THE MEAN SHIFT THEORY**













# Mean shift in a nutshell

• Estimate mean:  $\chi^{(k)}$ 

Estimate the mean from the data in the neighborhood.

• Estimate the shift:  $\Delta_k(\mathbf{x}^{(k)})$ 

Estimate the shift as the vector from the current mean to the estimated one.



# What is a Mean Shift? (maths)

A way to find the modes of a probability density functions (pdf) – a gradient ascent on pdf!



• We will apply it to nonparametric pdfs.

# **Kernel density estimation (KDE)**

• The data samples are already an estimate of a pdf!



• Usually we assume a smooth pdf:



# **Kernel density estimation (KDE)**



#### Kernel Properties:



# **Examples of kernels**



• Uniform Kernel

$$K_U(\mathbf{x}) = \begin{cases} c & \|\mathbf{x}\| \le 1\\ 0 & \text{otherwise} \end{cases}$$

Normal Kernel

$$K_N(\mathbf{x}) = c \cdot \exp\left(-\frac{1}{2} \|\mathbf{x}\|^2\right)$$



## "Nonparametric" with parameter h?

• A note on the kernel size – the bandwidth *h* 



For advanced approaches for bandwidth estimation see: Kristan, et al., *Multivariate Online Kernel Density Estimation with Gaussian Kernels*, Pattern Recognition 2011

• We will use the following definition:

• The KDE calculated from weighted data

$$p(\mathbf{x}) = \sum_{i=1}^{N} w_i K(\mathbf{x} - \mathbf{x}_i) \quad , \quad \sum_{i=1}^{N} w_i = 1 \quad , \quad K(\mathbf{x} - \mathbf{x}_i) = \operatorname{ck}(\left\|\frac{x - x_i}{h}\right\|^2)$$

- Goal: Climb the mode!
- Approach:
  - Iteratively solve

$$\nabla p(\mathbf{x}^{(k)}) \equiv \mathbf{0} \longrightarrow x^{(k+1)}$$

$$\frac{P(x)}{\nabla p(x^{(k)})} = \frac{\partial}{\partial x} \frac{P(x)}{x^{(k)}}$$

- The density model:  $p(\mathbf{x}) = c \sum_{i=1}^{N} w_i \mathbf{k} \left( \left\| \frac{\mathbf{x} \mathbf{x}_i}{h} \right\|^2 \right)$
- The partial derivative (the gradient):

**1.** 
$$\nabla p(\mathbf{x}) = \frac{\partial}{\partial x} p(\mathbf{x}) = c \sum_{i=1}^{N} w_i \frac{\partial}{\partial x} \mathbf{k} \left( \left\| \frac{x - x_i}{h} \right\|^2 \right)$$

2. 
$$\frac{\partial}{\partial x} k \left( \left\| \frac{x - x_i}{h} \right\|^2 \right) = -\frac{2}{h^2} (x - x_i) g \left( \left\| \frac{x - x_i}{h} \right\|^2 \right)$$
, where  $g(\mathbf{r}) = -k'(\mathbf{r})$ 

**3.** 
$$\nabla p(\mathbf{x}) = \frac{2c}{h^2} \left[ \sum_{i=1}^N w_i x_i g\left( \left\| \frac{x - x_i}{h} \right\|^2 \right) - x \sum_{i=1}^N w_i g\left( \left\| \frac{x - x_i}{h} \right\|^2 \right) \right]$$

• Setting the partial derivative to zero  $\frac{\partial}{\partial x} p(x) \equiv 0$  gives:

$$0 = \frac{2c}{h^2} \left[ \sum_{i=1}^{N} w_i x_i g\left( \left\| \frac{x - x_i}{h} \right\|^2 \right) - x \sum_{i=1}^{N} w_i g\left( \left\| \frac{x - x_i}{h} \right\|^2 \right) \right]$$

• Expressing the *x*:

$$x = \frac{\sum_{i=1}^{N} x_{i} w_{i} g(\left\|\frac{x - x_{i}}{h}\right\|^{2})}{\sum_{i=1}^{N} w_{i} g(\left\|\frac{x - x_{i}}{h}\right\|^{2})}$$

Problem: *x* is on the left-hand as well as the right-hand side.

#### Solution: apply iterations.

- Iterative approach:
  - Plug  $x^{(k)}$  to the right-hand side
  - k+1• Get a

e approach:  

$$x^{(k)}$$
 to the right-hand side  
new estimate  $x^{(k+1)}$   
 $x^{(k+1)} = \frac{\sum_{i=1}^{N} x_i w_i g(\left\|\frac{x^{(k)} - x_i}{h}\right\|^2)}{\sum_{i=1}^{N} w_i g(\left\|\frac{x^{(k)} - x_i}{h}\right\|^2)}$   
 $m^{(k)} = x^{(k+1)} - x^{(k)}$  ... The mean shift vector

#### The mean shift vector is proportional to the gradient on the pdf!

## **Previously at ACVM...**

Patch tracking as incremental image registration

• Iteratively improve warp parameters to match template T(x)

$$E(\Delta p) = \sum_{x} (I(W(x; p+\Delta p)) - T(x))^{2}$$





# **Previously at ACVM...**

• Mode detection by Mean Shift:



# Mean Shift == gradient ascent

• Mean Shift: Iterative approach to finding densely populated regions



# **Mean Shift properties**





- Automatic convergence speed the mean shift vector size depends on the gradient itself.
- Near maxima, the steps are small and refined
- Convergence is guaranteed for infinitesimal steps only → infinitely convergent, (therefore set a lower bound on the step size or change in cost)

Adaptive

Gradient

Ascent

- For Uniform Kernel ( , convergence is achieved in a finite number of steps
- Normal Kernel ( ) exhibits a smooth trajectory, but is slower than Uniform Kernel ( ).

### **Mean-shift cluster discovery**



100

80

5 4





**u**\*

Meer et al., Mean shift: a robust approach toward feature space analysis, TPAMI 2002

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#### **MEAN SHIFT TRACKER**

• Tracking using color!



#### http://www.youtube.com/watch?v=RG5uV\_h50b0

## **Recall the similarity measure in LK**

- Quantify the similarity between the visual model and the target region
- Sum of squared differences



### **Problems with SSD**

- Assume we are interested only in position and size
- What happens when the object slightly rotates?



# **Color histograms**

• Invariant to rotation, scale, partial occlusion, etc.





# **Mean Shift tracking: Intuition**

• A highly cited paper<sup>1</sup>

#### Start at previous estimate



#### Similarity to template



New estimate



- 1. "Could calculate" for each window the similarity to the visual model.
- Move locally in direction of increased similarity. (NOTE: it's not *really* done like that! It's done WITHOUT directly computing similarity!)

<sup>1</sup>Mean Shift : A robust Approach Toward Feature Space Analysis, by Comaniciu, Meer, TPAMI, 2002

### **Target representation – histograms**



# A weighted visual model

• Assign higher weights to the pixel colors closer to center



# The target "candidate"

- Want to check whether this region contains the target
- We use the same kernel, but with different bandwidth *h*





Normalization factor

# **Histogram similarity measure**



# **Similarity measure for histograms**

- The Bhattacharyya measure (related to Hellinger distance)
  - Similarity between distributions q and p

$$q' = q^{\frac{1}{2}} = \left(\sqrt{q_1}, \dots, \sqrt{q_m}\right)$$

$$p'(x) = p^{\frac{1}{2}} = \left(\sqrt{p_1(x)}, \dots, \sqrt{p_m(x)}\right)$$

$$\rho(x) = p'(x)^T q' = \cos\theta$$

$$\rho(x) = \sum_{u=1}^m \sqrt{p_u(x)q_u}$$

 $\cap$  a'

 Note: The similarity function ρ(x) will be spatially smooth since we smooth the histograms at acquisition!

# **Localization by histogram similarity**



The catch: how to perform localization quickly?

# **Gradient ascent on similarity**

- Iterative approach to maximization
- Start at some  $x_0$ , estimate gradient, move to  $x_1$

Apporach:

- 1. Linearize  $\rho(x)$  at  $p(x_0)$ !
- 2. Then maximize the linearized version w.r.t.the position *x*.



# **Linearization of similarity function**

• Linearize 
$$\rho(p(x_0) + \delta, q)$$
 at  $p(x_0)$ :  
 $\rho(p(x_0) + \delta, q) = \rho(p(x_0), q) + \nabla \rho_{x_0}^T \delta$ 

• Reparameterize:  $p(x) = p(x_0) + \delta$ 

$$\rho(p(x),q) = \rho(p(x_0),q) - \nabla \rho_{x_0}^T p(x_0) + \nabla \rho_{x_0}^T p(x)$$

does not depend on **x**!

• Can maximize  $\rho(p(x), q)$  by only considering the last term, i.e.,:

$$x^* = \arg \max_{x} \rho(p(x_0), q) = \arg \max_{x} \nabla \rho_{x_0}^T p(x)$$
  
Let's calculate this term

# **Maximization of** $\nabla \rho_{x_0}^T p(\mathbf{x})$

• This is our cost function:  $E(\mathbf{x}) = \nabla \rho_{x_0}^T p(\mathbf{x})$   $\rho(\mathbf{x}) = \sum_{u=1}^m \sqrt{p_u(x)q_u}$ 

$$\boldsymbol{p} = [p_1, p_2, \dots, p_u, \dots, p_m]^T$$
$$\nabla \rho_{x_0}^T = \frac{\partial}{\partial \mathbf{p}} \left( \sum_{u=1}^m p_u^{\frac{1}{2}}(\mathbf{x}_0) q_u^{\frac{1}{2}} \right) = \frac{1}{2} \left[ \sqrt{\frac{q_1}{p_1(\mathbf{x}_0)}}, \dots, \sqrt{\frac{q_u}{p_u(\mathbf{x}_0)}}, \dots, \sqrt{\frac{q_m}{p_m(\mathbf{x}_0)}} \right]^T$$

• Plugging the gradient  $\nabla \rho_{\chi_0}^T$  in the cost function gives

$$E(\mathbf{x}) = \nabla \rho_{x_0}^T p(\mathbf{x}) = \frac{1}{2} \sum_{u=1}^m p_u(\mathbf{x}) \sqrt{\frac{q_u}{p_u(\mathbf{x}_0)}}$$

This is what we want to maximize w.r.t. x!

# **Maximization of** $\nabla \rho_{x_0}^T p(\mathbf{x})$

• Cost function: 
$$E(\mathbf{x}) = \nabla \rho_{x_0}^T p(\mathbf{x}) = \frac{1}{2} \sum_{u=1}^m p_u(\mathbf{x}) \sqrt{\frac{q_u}{p_u(\mathbf{x}_0)}}$$
  
• Recall definition:  $p_u(x) = C_h \sum_{i=1}^N k \left( \left\| \frac{x - x_i}{h} \right\|^2 \right) \delta_u(\mathbf{b}(\mathbf{x}_i))$ 

• With some manipulation, we can rewrite the cost:

$$E(\mathbf{x}) = \frac{1}{2} C_h \sum_{i=1}^N w_i k \left( \left\| \frac{x - x_i}{h} \right\|^2 \right) \qquad w_i = \sqrt{\frac{q_{b(\mathbf{x}_i)}}{p_{b(\mathbf{x}_i)}(\mathbf{x}_0)}}$$

 $x^* = \arg \max_{x} E(x)$ 

Note: E(x) is a KDE and we can find the mode by applying Mean Shift iterations!

#### **Maximization by Mean Shift**

• This is the rewritten cost function:

$$E(\mathbf{x}) = \frac{1}{2} C_h \sum_{i=1}^N w_i k \left( \left\| \frac{x - x_i}{h} \right\|^2 \right) \qquad w_i = \sqrt{\frac{q_{b(\mathbf{x}_i)}}{p_{b(\mathbf{x}_i)}(\mathbf{x}_0)}}$$

• Apply Mean Shift iterations:

$$x^{(k+1)} = \frac{\sum_{i=1}^{N} x_i w_i g\left(\left\|\frac{x^{(k)} - x_i}{h}\right\|^2\right)}{\sum_{i=1}^{N} w_i g\left(\left\|\frac{x^{(k)} - x_i}{h}\right\|^2\right)}$$

$$g(x) = -k'(x)$$

### **Simplification of Mean Shift**

$$x^{(k+1)} = \frac{\sum_{i=1}^{N} x_i w_i g\left(\left\|\frac{x^{(k)} - x_i}{h}\right\|^2\right)}{\sum_{i=1}^{N} w_i g\left(\left\|\frac{x^{(k)} - x_i}{h}\right\|^2\right)}$$

$$g(y) = -\frac{\partial}{\partial y}k(y)$$

Epanechnikov kernel k(y):

Derivative g(y) is the Uniform kernel:

# The MS tracking in a nutshell

- Initialize target model (histogram) q.
  - Note: use a smooth kernel, e.g., Epanechnikov
- New frame: start at some location



- 1. Extract the histogram *p* at the current location using the Epanechnikov kernel
- 2. For each pixel in the bounding box calculate the weight:

$$w_i = \sqrt{\frac{\mathbf{q}_{b(\mathbf{x}_i)}}{p_{b(\mathbf{x}_i)}}}$$

- 3. Calculate the new position by:  $x^{(k+1)}$
- Iterate 1-3 until convergence

$$=\frac{\sum_{i=1}^{n} x_i W_i}{\sum_{i=1}^{n} W_i}$$

n



## The tracking algorithm



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## **MEAN SHIFT TRACKING STEPS ILLUSTRATED**



#### Implementation of histogram extraction:

- Go over all pixels in the cut out image.
- For each pixel compute the histogram bin from its color.
- Look up the weight of the pixel coordinate in the Kernel image.
- Increment the content of histogram bin by the weight.
- Normalize the histogram to make the sum of all cells equal to one. (i.e., divide each cell by sum of all cells)



- The current estimate of the target position is the position from previous time-step
- Cut out the image from the current estimate (bounding box)
- Calculate the weighted histogram *p* using the Kernel

4

5

Tracking in Frame 1: *iteratively re-localize the target by MS (step 2c)* Histogram extracted Cut out the target image Kernel using the Kernel D Frame 1  $r^{(k+1)}$ Calculate the weight of each color bin from the target and candidate histogram: р eps is some small number for numerical stability, i.e., 1e-3 ... 1e-10. q 0.8 0.6 !! Source of many errors – don't set eps too small!

Tracking in Frame 1: *iteratively re-localize the target by MS (step 2c)* 



Back project the weight histogram *V* into the image:

- For each pixel in the cut out image identify the histogram bin corresponding to its color.
- Set the intensity value of the pixel in backprojected image to value of the histogram V bin
- The backprojected image is same size as the cut out image



$$w_i = \sqrt{\frac{\mathbf{q}_{b(\mathbf{x}_i)}}{p_{b(\mathbf{x}_i)}(\mathbf{x}_0)}}$$

Tracking in Frame 1: *iteratively re-localize the target by MS (step 2c)* 



Multiply the backprojected image by the kernel g(r):

• The kernel is derivative of the reparameterized Kernel w.r.t. parameter:

Epanechnikov kernel:

$$k(r) = \begin{cases} 1 - r & \text{if } ||r|| \le 1 \\ 0 & \text{otherwise} \end{cases}$$

$$r = \|\mathbf{c} - \mathbf{x}_i\| / h$$

pixel coordinate in the cutout window





Tracking in Frame 1: *iteratively re-localize the target by MS (step 2c)* 



Multiply the backprojected image by the kernel (derivative kernel):

• The kernel is derivative of the reparameterized Kernel w.r.t. parameter:

Epanechnikov kernel:

 $r = \|\mathbf{c} - \mathbf{x}_i\| / h$ 

The "derivative" of the Epanechnikov is a Uniform kernel:

$$k(r) = \begin{cases} 1-r & \text{if } ||r|| \le 1 \\ 0 & \text{otherwise} \end{cases} \qquad g(r) = -k'(r) = \begin{cases} 1 & \text{if } ||r|| \le 1 \\ 0 & \text{otherwise} \end{cases}$$





Tracking in Frame 1: *iteratively re-localize the target by MS (step 2c)* 



Multiply the backprojected image by the kernel:

- The kernel is derivative of the reparameterized Kernel w.r.t. parameter.
- In case of Epanechnikov kernel, the derivative is a Uniform kernel, which does not change the backprojected image at all!





Tracking in Frame 1: *iteratively re-localize the target by MS (step 3)* 



Compute the weighted average position:



Repeat until convergence:

- (1a) Cut out image at new position
- *(1b) Compute p*
- (2a) Compute V
- (2b) Compute back-projected image **W**
- (2c) Multiply by derivative kernel
- (3) Calculate average position

# **Apply iterations until covergence**

Tracking in Frame 1: iteratively re-localize the target by MS (all steps)



## **Implementation details**

- Repeat MS iterations until the shift < 1 pixel
- Limit the number of iterations to  $N_{max}$ =20
- Kernels with Epanechnikov profile are preferred since the iteration becomes very simple.

(but other kernels can be used as well)

- For speed: usually rescale the image such that the target is of size 50x50 pixels.
- Recommended using RGB histogram  $16 \times 16 \times 16$  bins
- For further details see the paper<sup>1</sup>.

<sup>1</sup>D. Comaniciu, V. Ramesh, P. Meer: Kernel-Based Object Tracking, TPAMI, 2003

## **Integrated feature selection**

• Can search for the target by focusing on the features that discriminate the target from the background



Extract a histogram:  $\hat{o} = {\hat{o}_u}_{u=1:m}$ Smallest nonzero entry 0.7 0.6 0.5 0.4  $\left\{c_u = \min\left(\frac{\hat{o}^*}{\hat{o}_u}, 1\right)\right\}$ 0.3

**Correct** target and candidate model:

$$q_{u}^{(\text{corrected})} = c_{u} q_{u}^{(\text{original})}$$
$$p_{u}^{(\text{corrected})} = c_{u} p_{u}^{(\text{original})}$$

colo

D. Comaniciu, V. Ramesh, P. Meer: Kernel-Based Object Tracking, TPAMI, 2003 (Sec. 6.1)



#### Feature space: 16×16×16 quantized RGB Target:

manually selected on 1<sup>st</sup> frame Average mean-shift iterations: 4



**Partial occlusion** 

#### Distraction







D. Comaniciu, V. Ramesh, P. Meer: Kernel-Based Object Tracking TPAMI, 2003



D. Comaniciu, V. Ramesh, P. Meer: Kernel-Based Object Tracking TPAMI, 2003

#### **Drawback: scale estimation**



http://www.youtube.com/watch?v=RG5uV\_h50b0

# **Scale changes**

• The basic MS does not adapt to scale

#### Problem:



Solution:





D. Comaniciu, V. Ramesh, P. Meer: Kernel-Based Object Tracking TPAMI, 2003

### **Alternating scale-shift estimation**

Use interleaved spatial/scale mean-shift



## **Tracking through scale space**

#### Fixed-scale



#### ± 10% scale



#### Tracking through scale space



# Some recent scale-space advances

Robust Scale-Adaptive Mean-Shift for Tracking

Vojir, Noskova, Matas, SCIA, 2013



# **MS tracking by information fusion**



D. Comaniciu: Nonparametric Information Fusion for Motion Estimation, CVPR, 2003

# Mean-shift was primarily used for clustering

#### RGB clustering by MS



Comaniciu & Meer, Mean Shift: A Robust ApproachToward Feature Space Analysis, TPAMI 2002



Kung and Fowlkes, Recurrent Pixel Embedding for Instance Grouping, CVPR2018 <<u>GitHub></u>

MS tracker that capitalizes on deep

learning has not yet been explored to its full potential. Opportunity for new research?

# References

#### You should read to properly implement MS tracker:

- D. Comaniciu, V. Ramesh, P. Meer: <u>Kernel-Based Object Tracking</u>, TPAMI, Vol. 25, No. 5, 564-575, 2003
  - Read at least Sections 2-4.

#### If you want to learn more:

- Collins , Yanxi, Online Selection of Discriminative Tracking Features, TPAMI 2005 (code and videos)
- Collins, Mean-shift blob tracking through scale space, CVPR, 2003
- Tomaš Vojir, Jana Noskova, Jiri Matas, Robust Scale-Adaptive Mean-Shift for Tracking, SCIA, 2013
- D. Comaniciu: *Nonparametric Information Fusion for Motion Estimation*, CVPR, 2003

## Acknowledgment

- Some parts of images and slides have been taken from the following presentation: Yaron Ukrainitz & Bernard Sarel, Mean Shift – Theory and applications
  - Check it out, it's a nice presentation