

Deep Learning

Convolutional Neural Networks

Danijel Skočaj

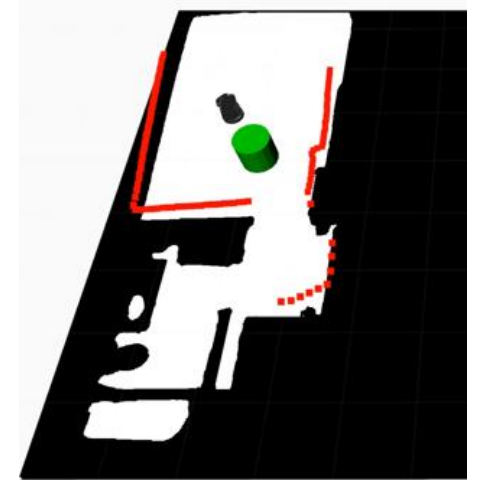
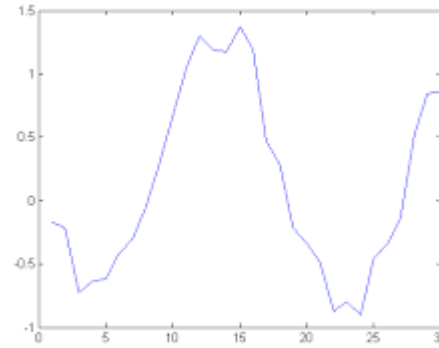
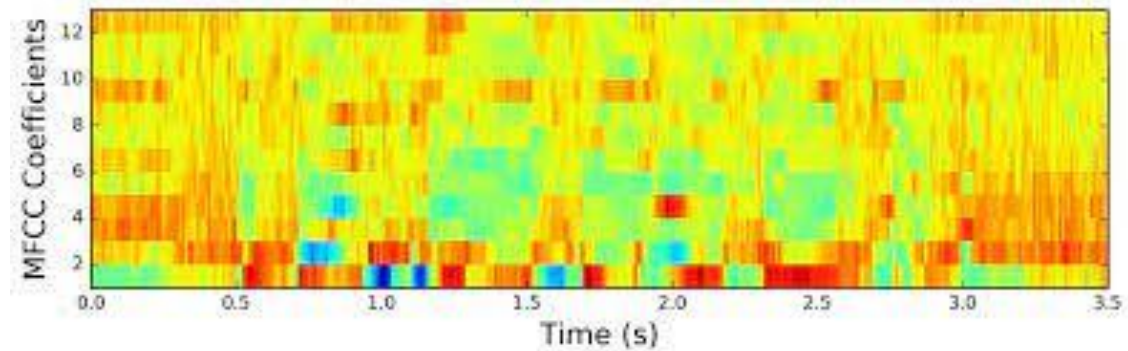
University of Ljubljana

Faculty of Computer and Information Science

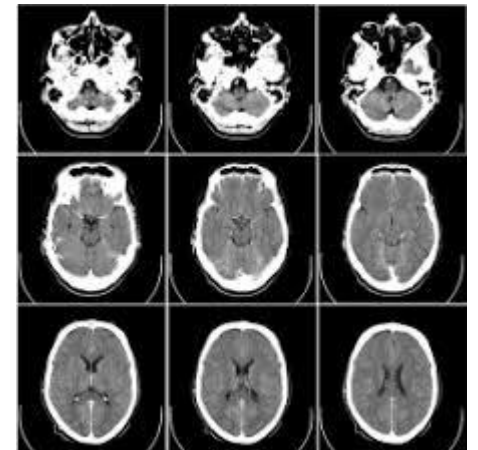
Academic year: 2022/23

Convolutional neural networks

- Data in vectors, matrices, tensors
- Neighbourhood, spatial arrangement
- 2D: Images, time-frequency representations

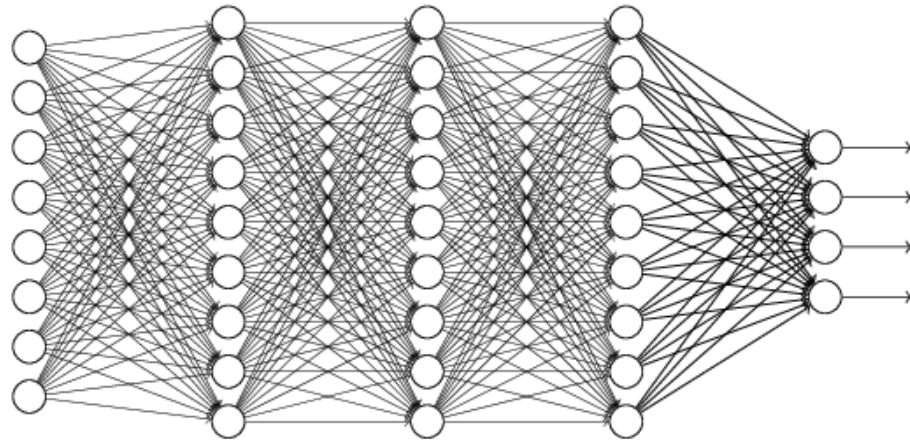


- 1D: sequential signals, text, audio, speech, time series,...
- 3D: volumetric images, video, 3D grids

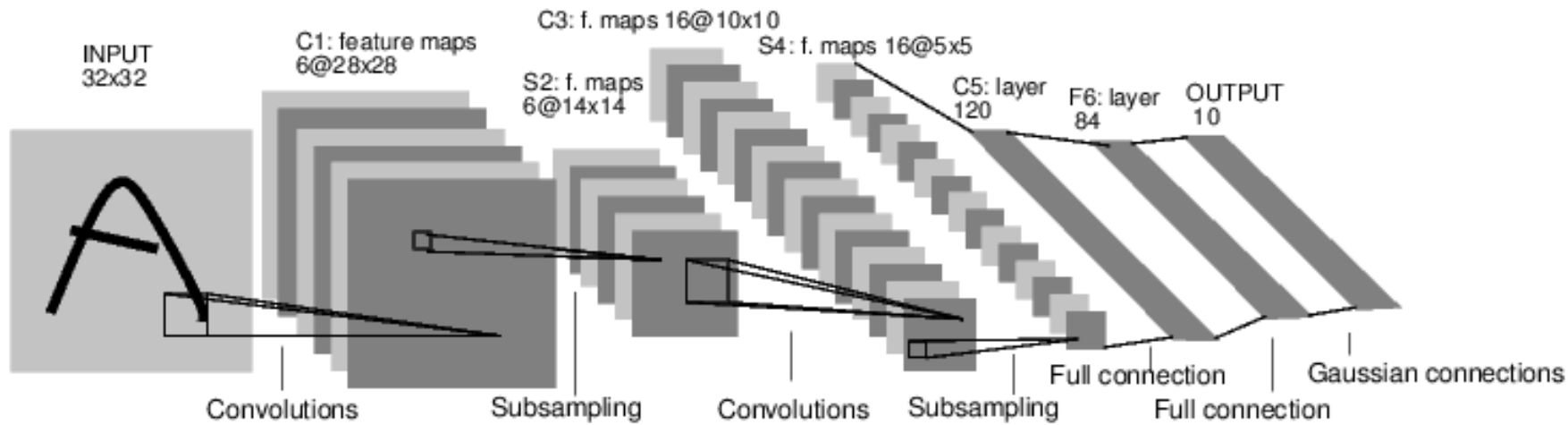


Convolutional neural networks

- From feedforward fully-connected neural networks ...



- ... to convolutional neural networks



Convolution

- Convolution operation:

$$s(t) = \int x(a)w(t-a)da \quad s(t) = (x * w)(t)$$

- Discrete convolution:

$$s(t) = (x * w)(t) = \sum_{a=-\infty}^{\infty} x(a)w(t-a)$$

- Two-dimensional convolution:

$$S(i, j) = (I * K)(i, j) = \sum_m \sum_n I(m, n)K(i-m, j-n)$$

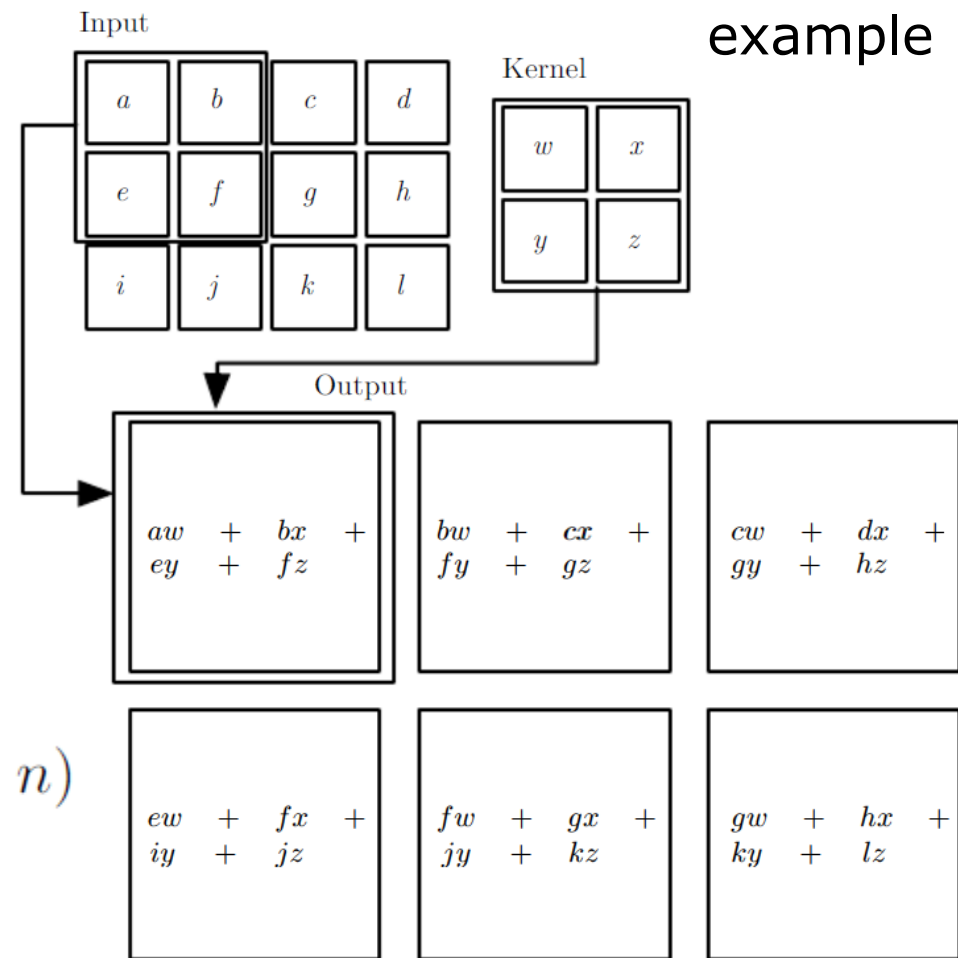
- Convolution is commutative:

$$S(i, j) = (K * I)(i, j) = \sum_m \sum_n I(i-m, j-n)K(m, n)$$

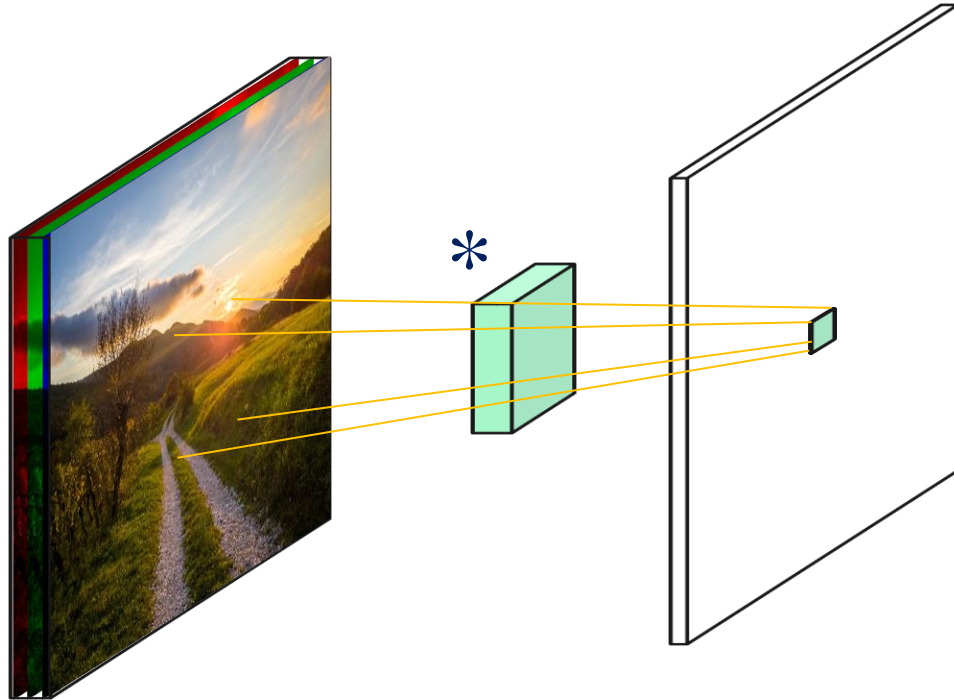
- Cross-correlation:

$$S(i, j) = (I * K)(i, j) = \sum_m \sum_n I(i+m, j+n)K(m, n)$$

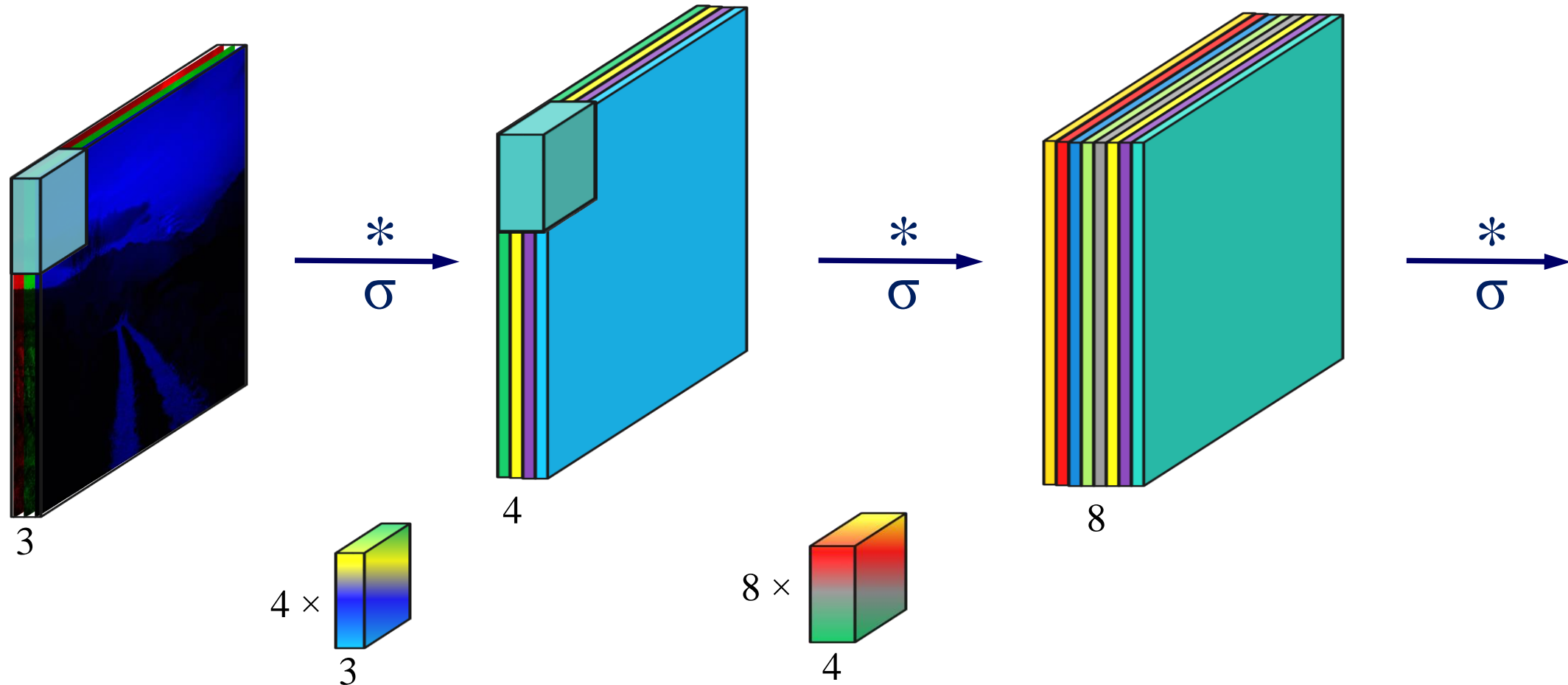
flipped kernel



Convolution layer

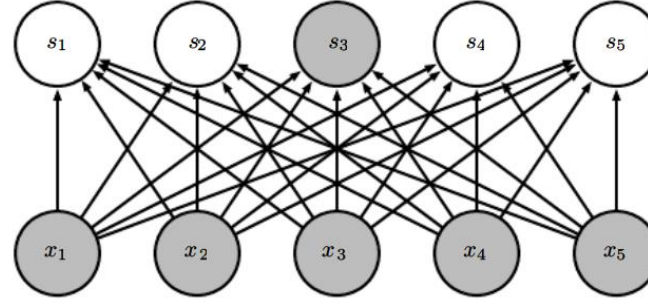
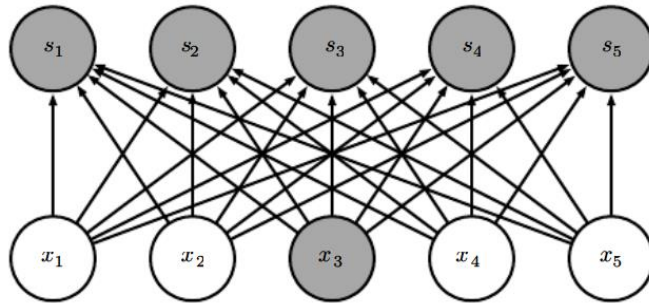
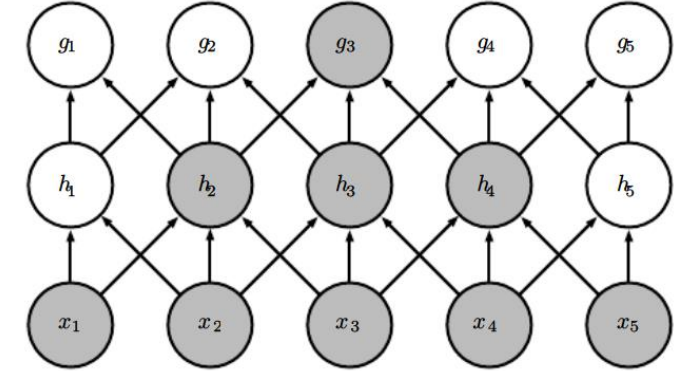
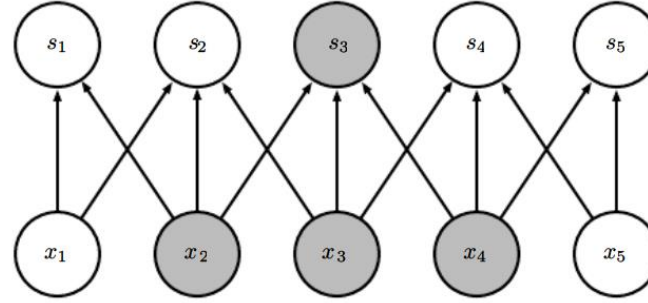
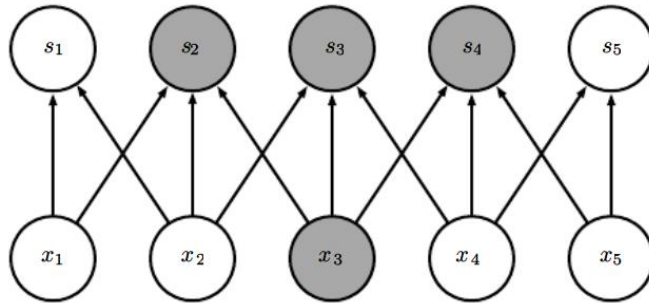
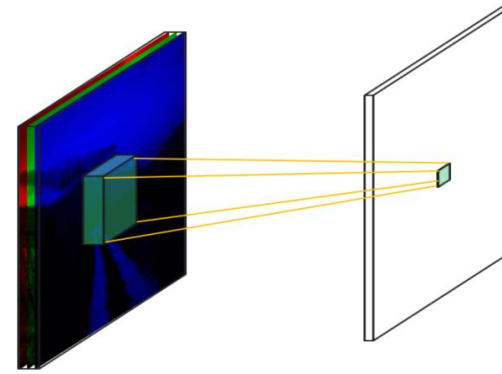


Convolution layer



Sparse connectivity

- Local connectivity – neurons are only locally connected (**receptive field**)
 - Reduces memory requirements
 - Improves statistical efficiency
 - Requires fewer operations



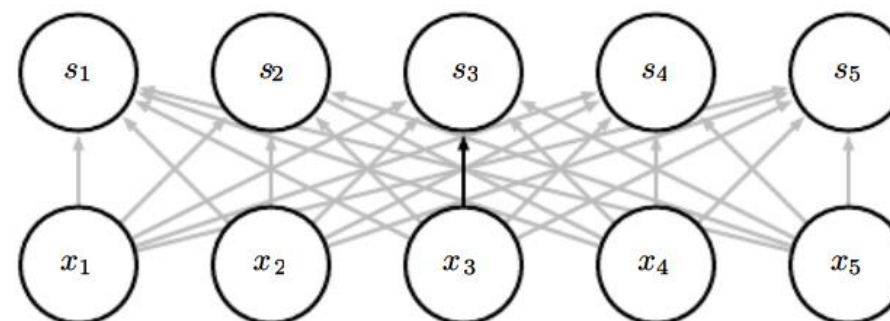
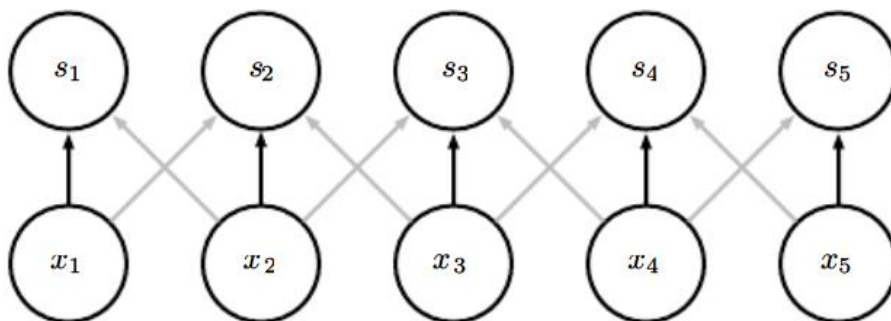
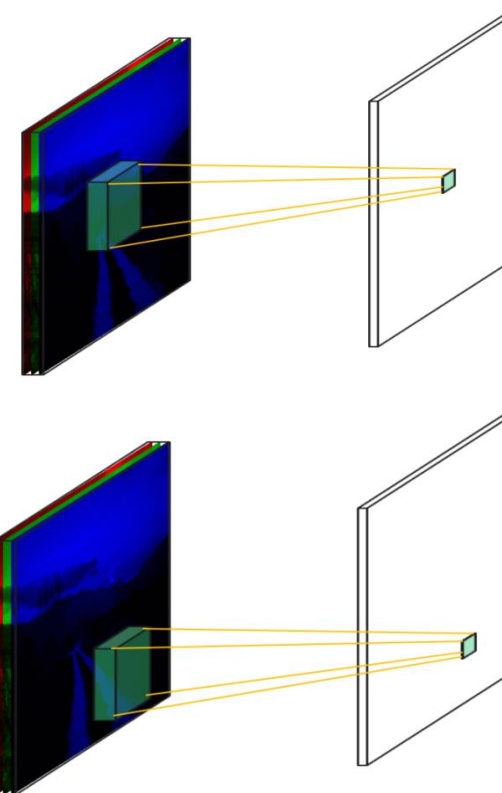
from below

from above

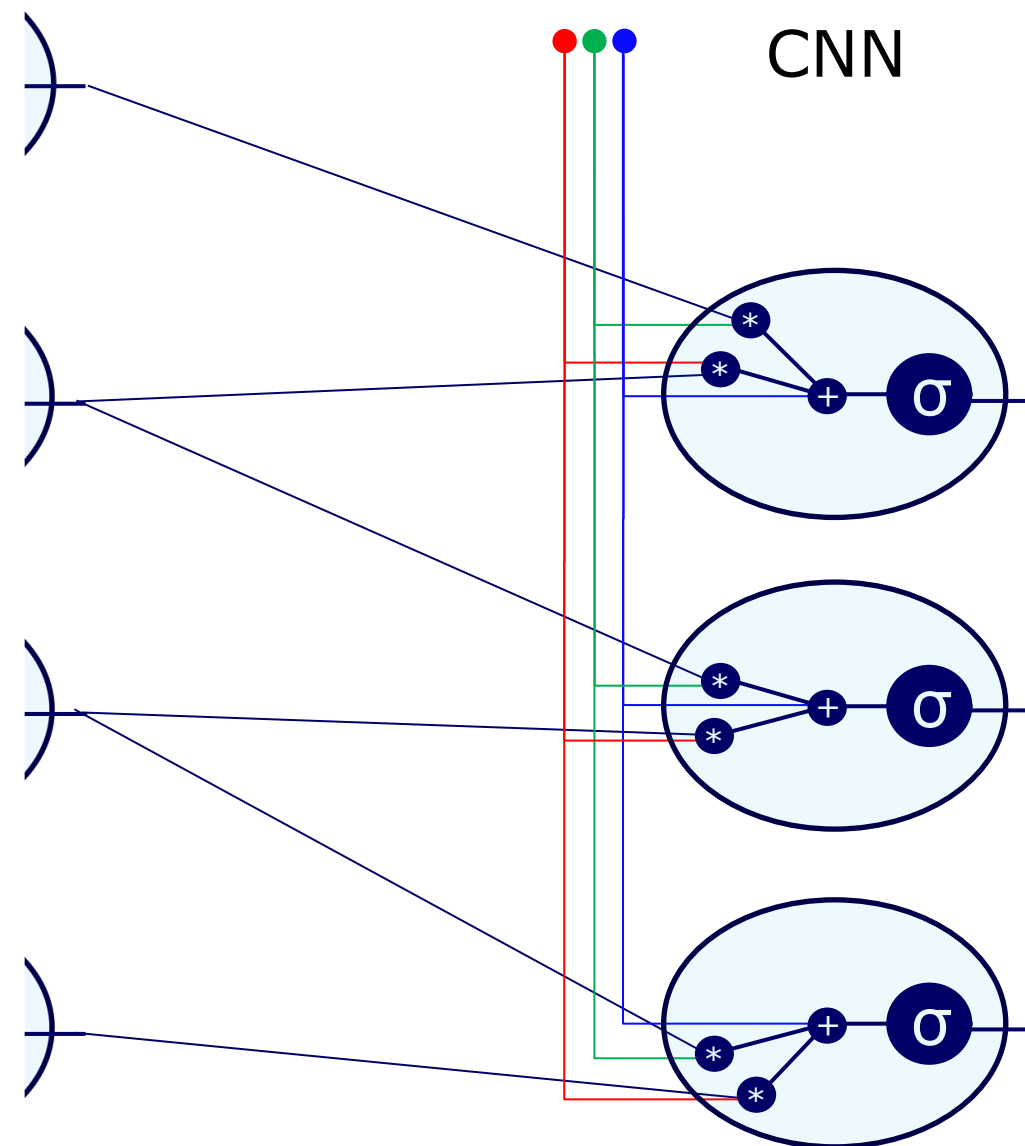
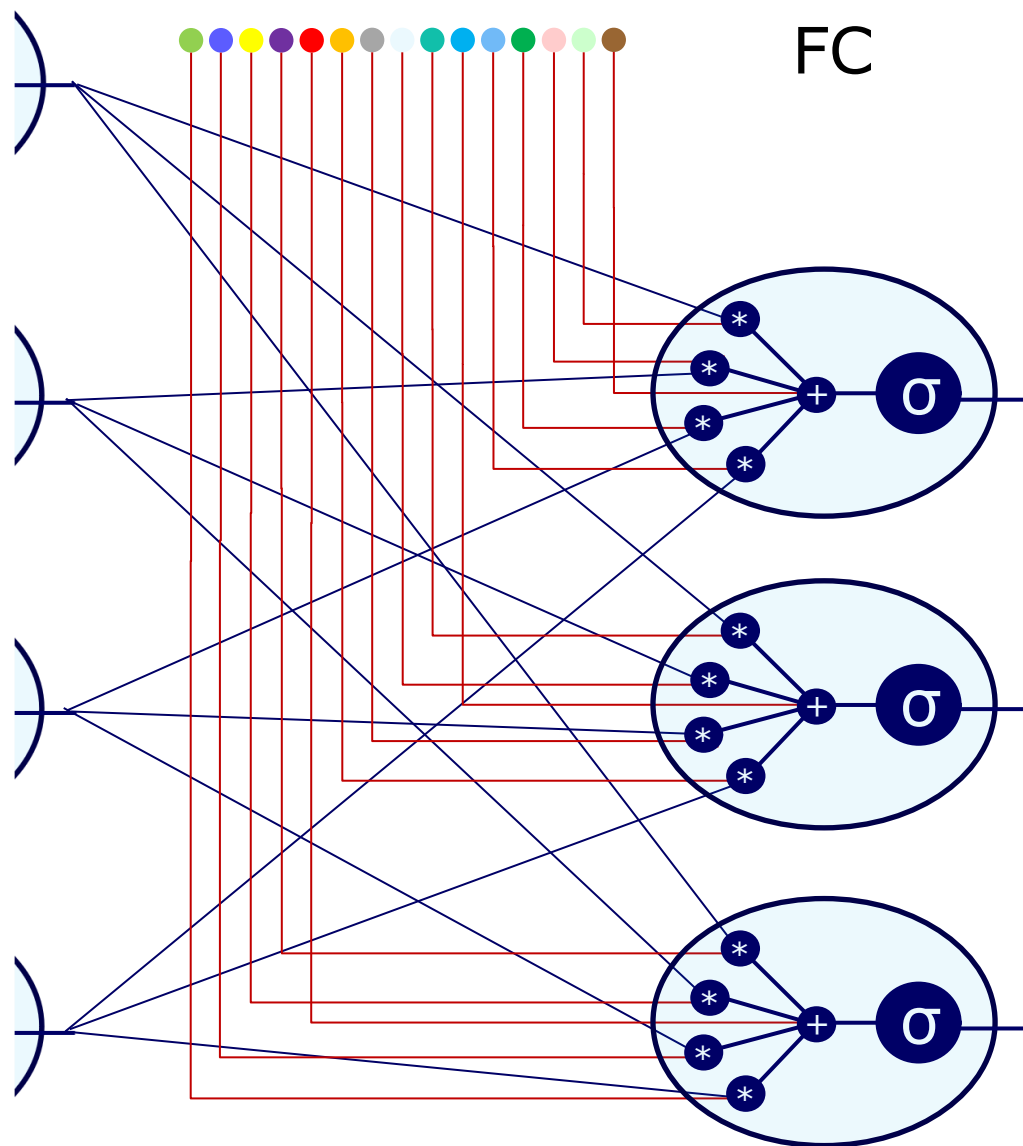
The receptive field of the units in the deeper layers is large
=> Indirect connections!

Parameter sharing

- **Neurons share weights!**
 - Tied weights
- Every element of the kernel is used at every position of the input
- All the neurons at the same level detect the same feature (everywhere in the input)
- Greatly reduces the number of parameters!
- **Equivariance to translation**
 - Shift, convolution = convolution, shift
 - Object moves => representation moves

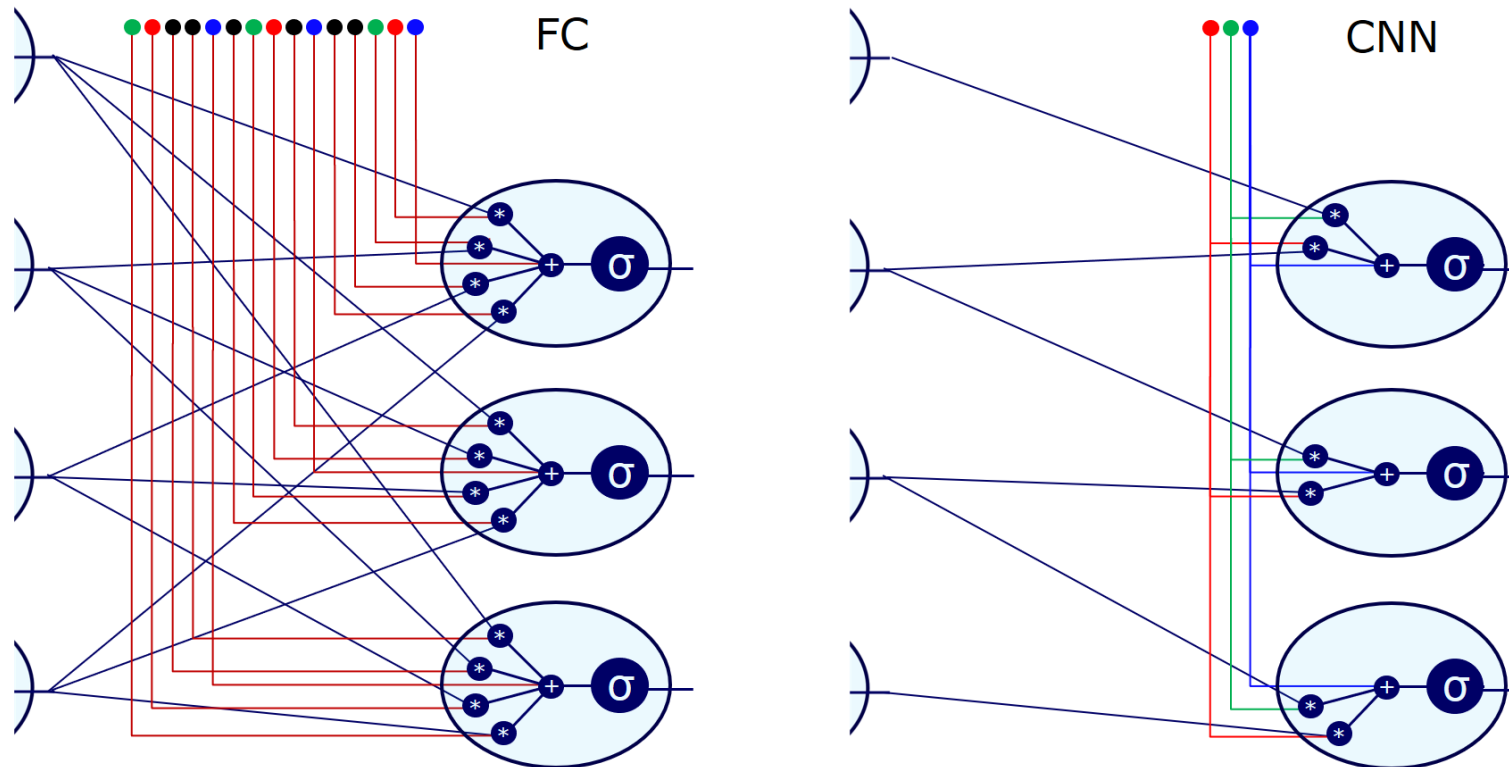


CNN as FC networks

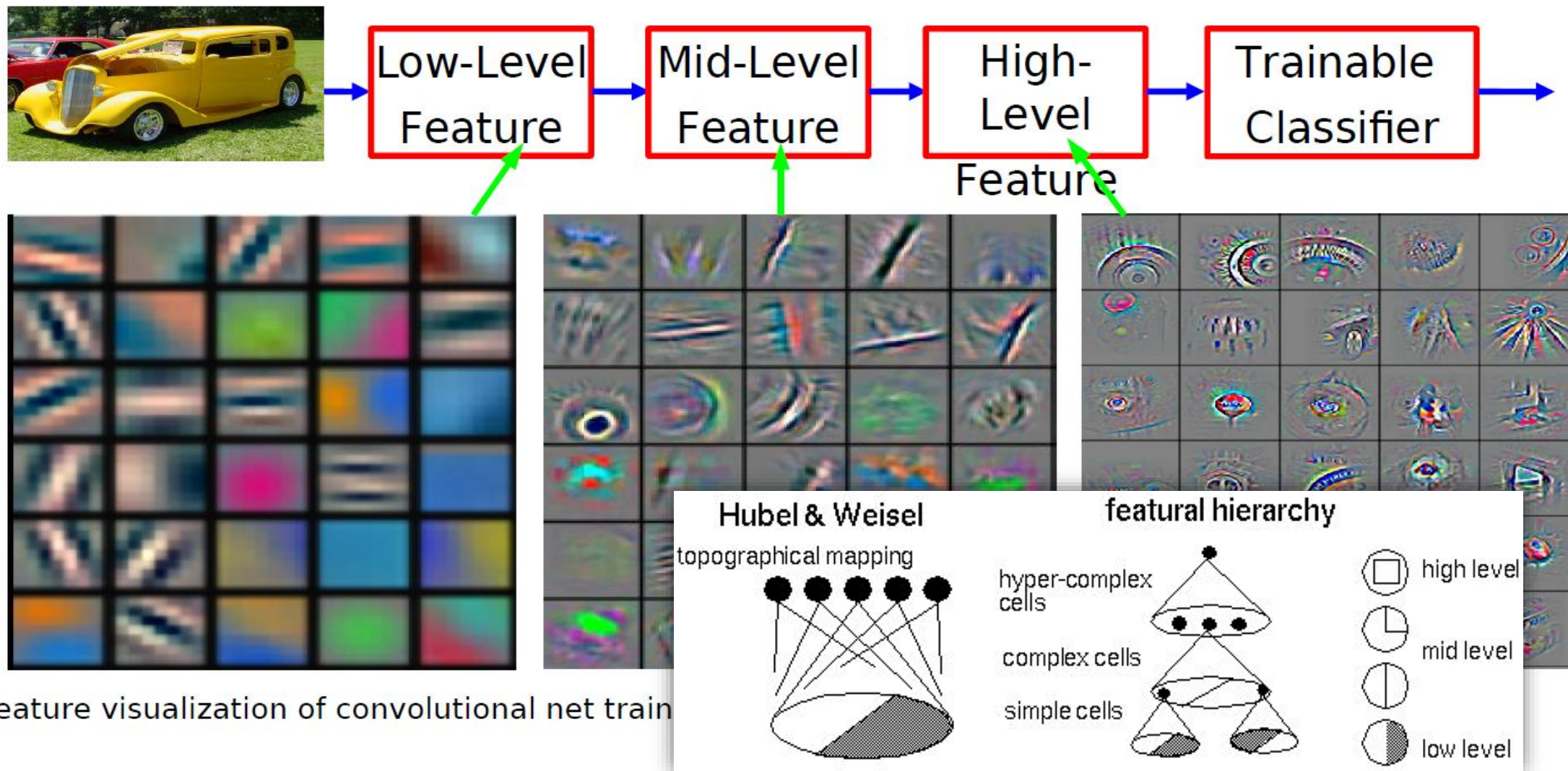


CNN as FC networks

- Fully connected network with an infinitively strong prior over its weights
 - Weights are zero outside the kernel region
 - Tied weights
- => learns only local interactions and is equivariant to translations



Convolutional neural network



Feature visualization of convolutional net train

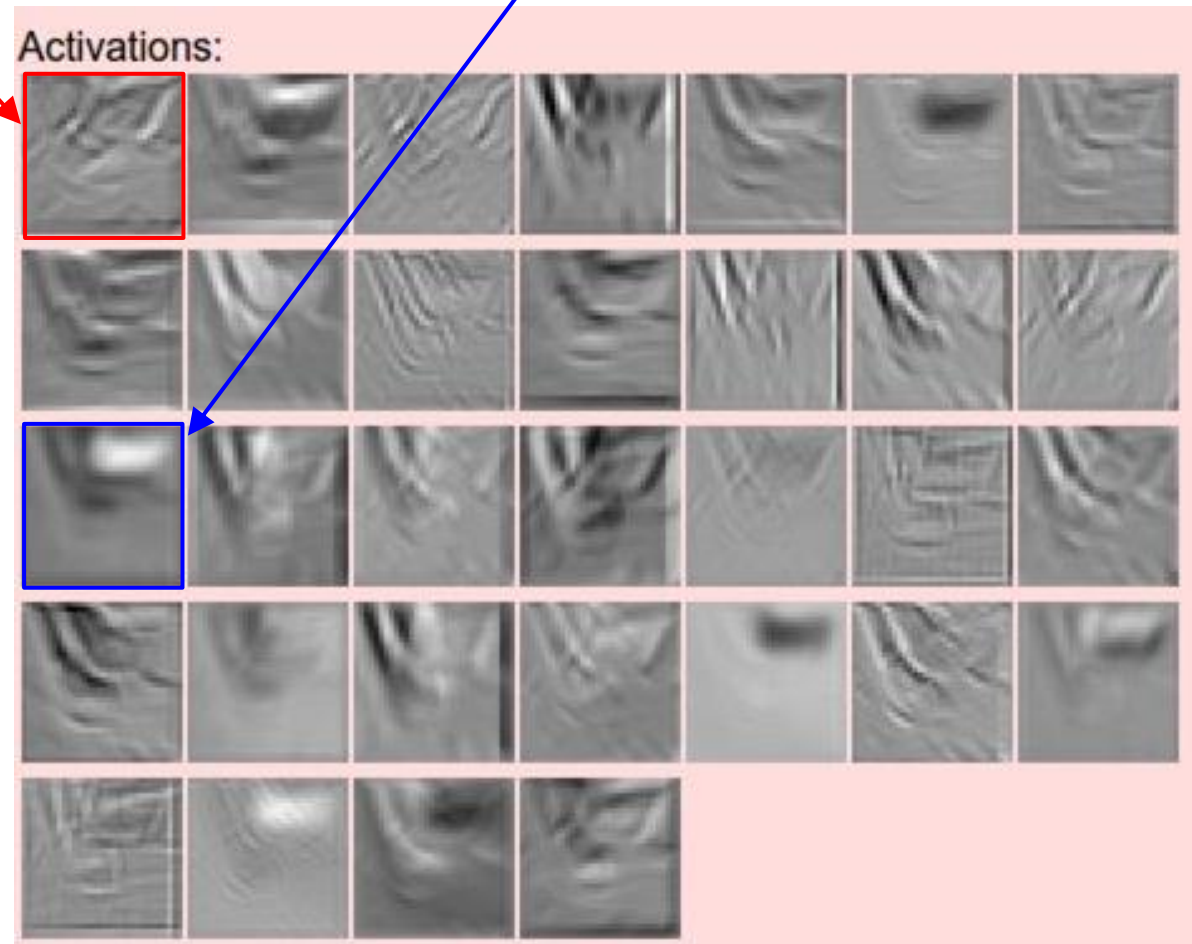
Convolutional neural network



one filter =>
one activation map

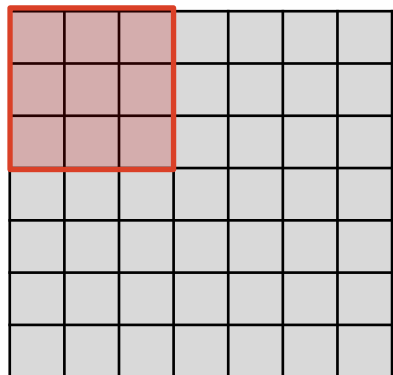
example 5x5 filters
(32 total)

input
image:



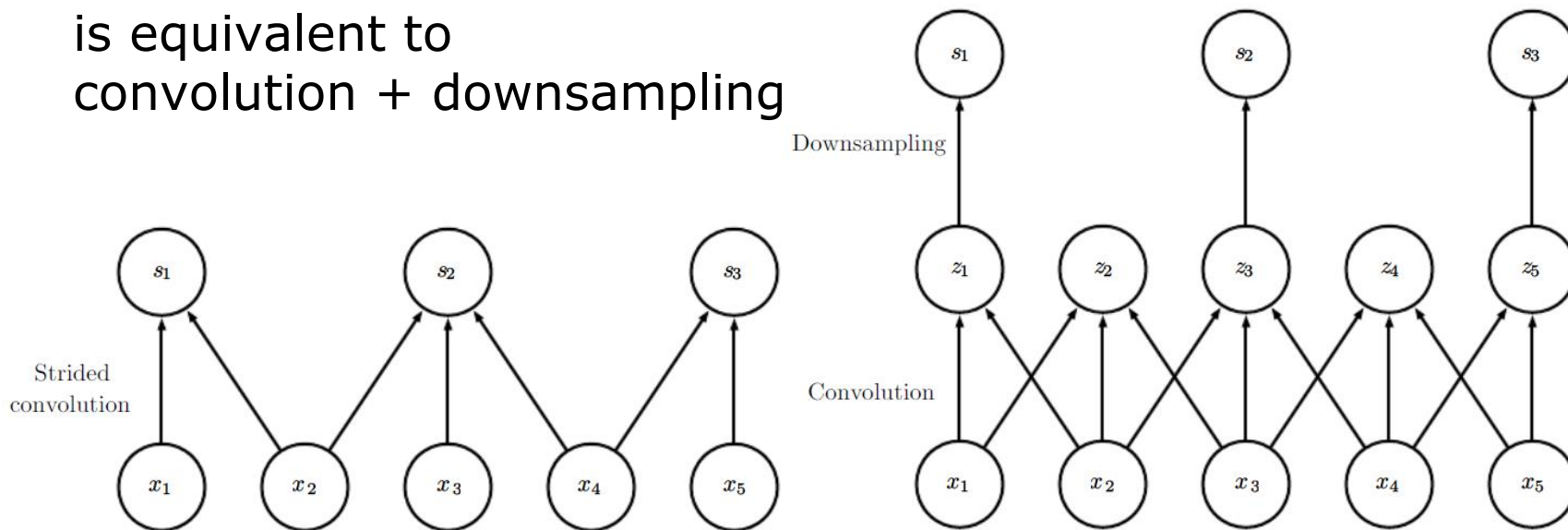
Stride

- Step for convolution filter



Stride=1
Stride=2

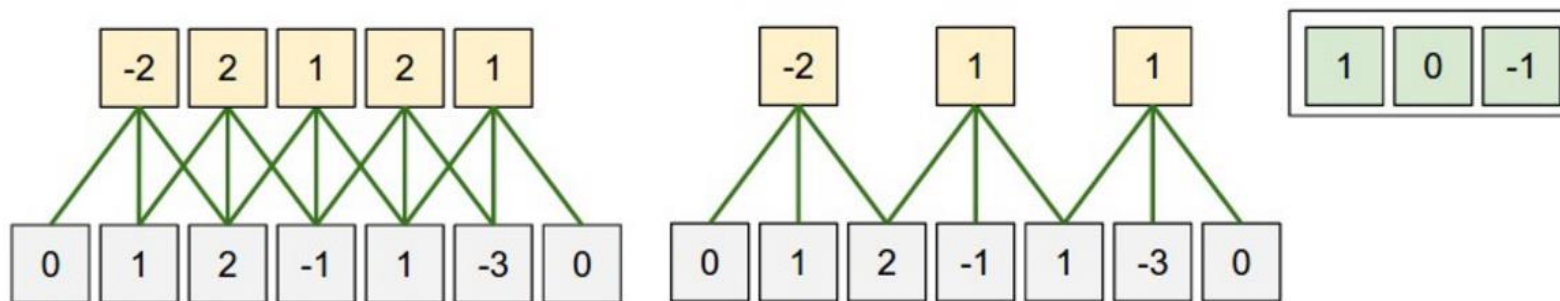
Convolution with stride > 1
is equivalent to
convolution + downsampling



- Output size:

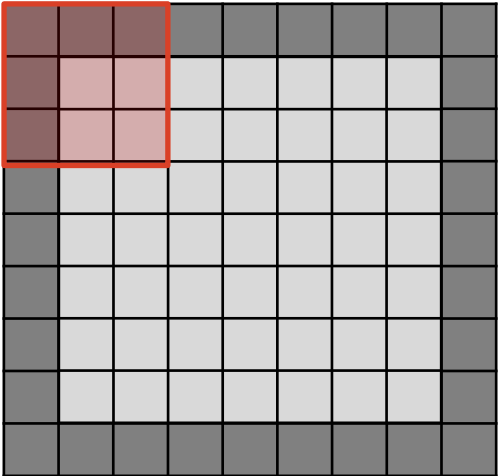
$$\frac{N-F}{s} + 1$$

- Example:



Padding

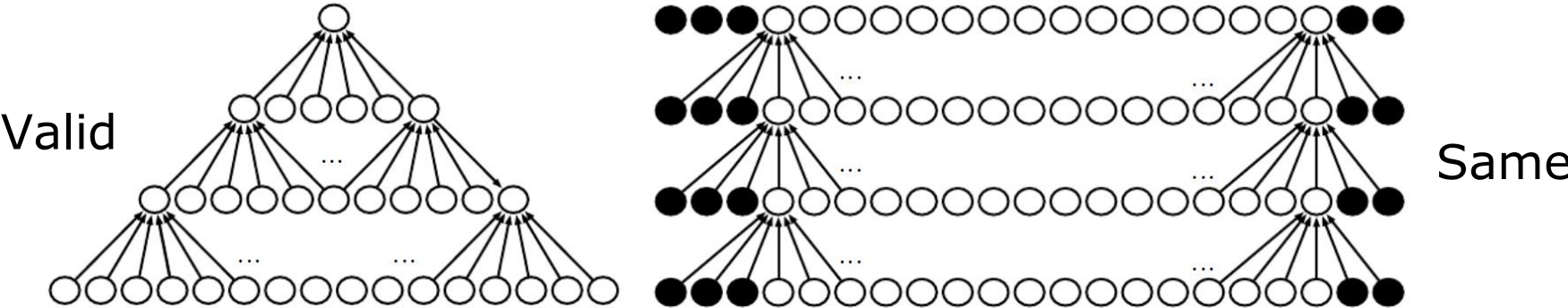
- Extend the image to facilitate processing of border pixels



- Usually pad with 0
- To preserve size pad on every side $\frac{F-1}{2}$ pixels

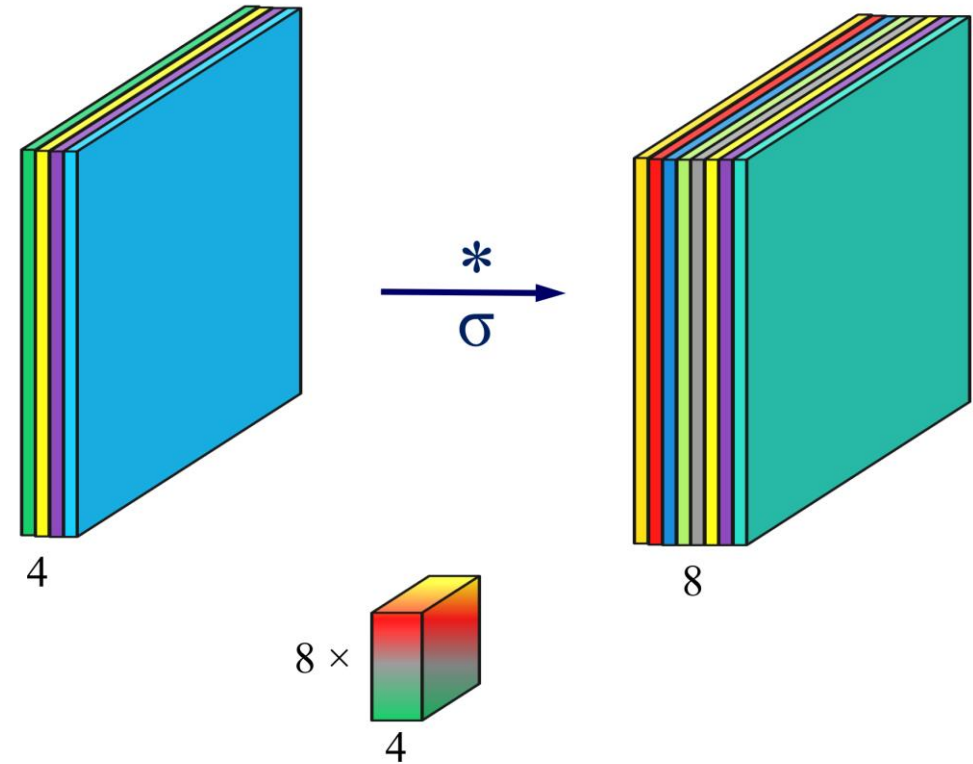


- Zero padding prevents shrinking network size
 - Valid: no zero-padding – output is smaller than input
 - Same: keeps the size of the input



Convolution layer parameters

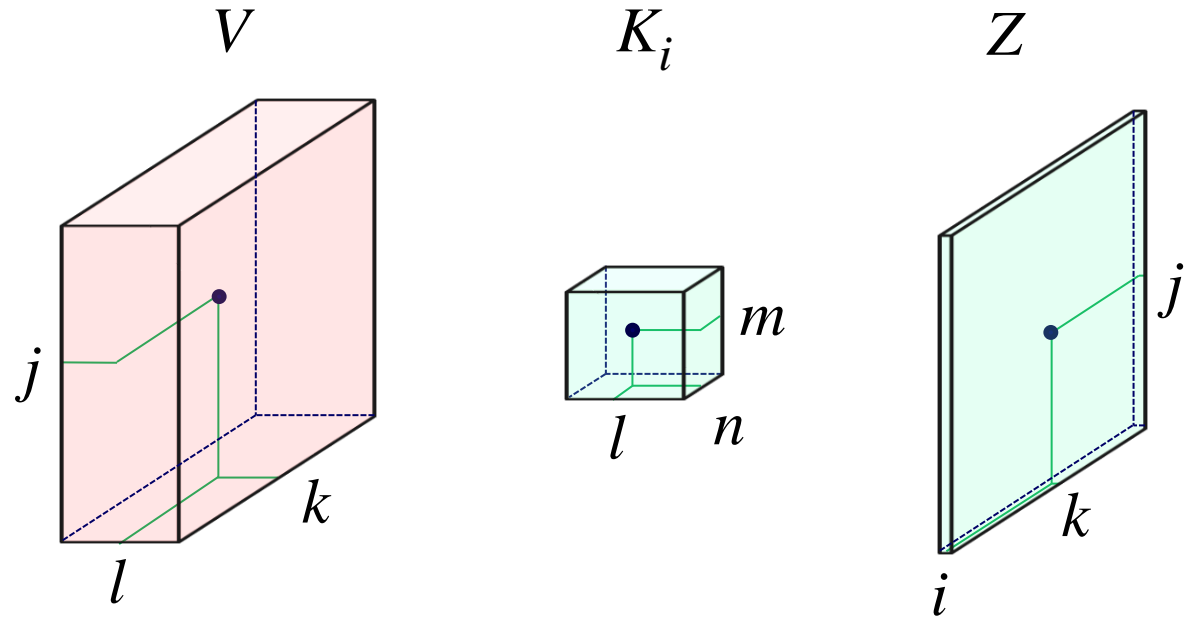
- Hyperparameters:
 - K - Number of filters
 - F - Filter size
 - S - Stride
 - P - Padding
- Input: volume of the size $W_1 \times H_1 \times D_1$
- Output volume size:
 - $W_2 = \frac{W_1 - F + 2P}{S} + 1$
 - $H_2 = \frac{H_1 - F + 2P}{S} + 1$
 - $D_2 = K$
- Number of parameters
 - Number of weights: $K \cdot F \cdot F \cdot D_1$
 - Number of biases: K



Executing convolution

- V – input
- K – kernel
- Z – output
- i – output channel
- j, k : input/output row, column
- l : input channel
- m, n : offset rows, columns

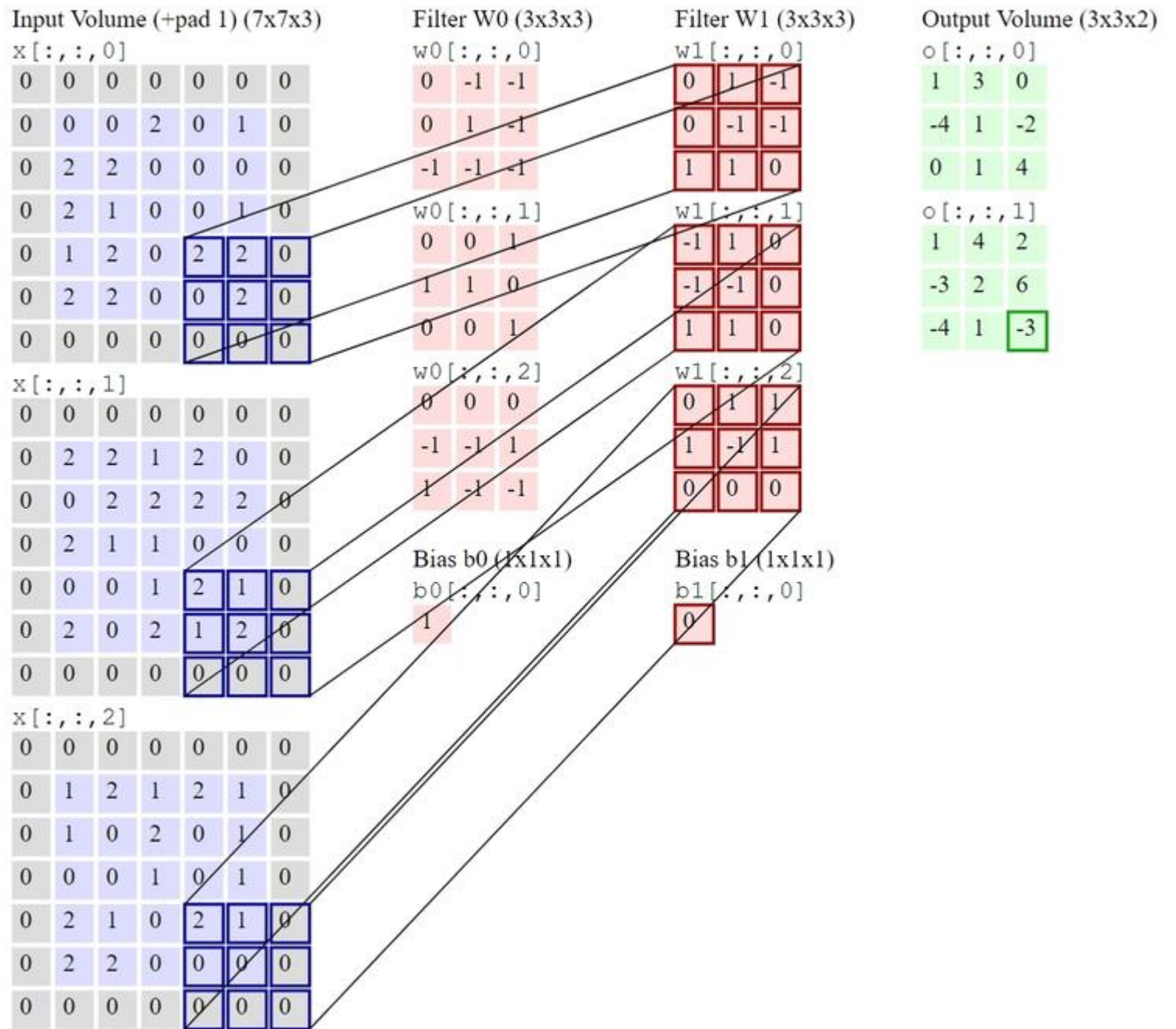
$$Z_{i,j,k} = \sum_{l,m,n} V_{l,j+m-1,k+n-1} K_{i,l,m,n}$$



- s : stride $Z_{i,j,k} = c(\mathbf{K}, \mathbf{V}, s)_{i,j,k} = \sum_{l,m,n} [V_{l,(j-1) \times s + m, (k-1) \times s + n} K_{i,l,m,n}]$

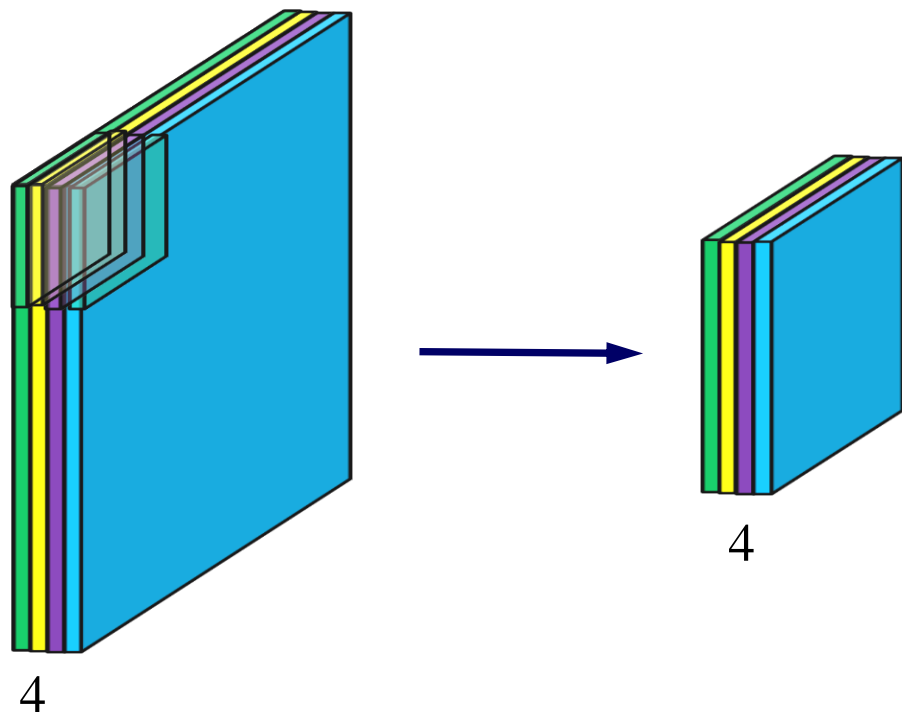
Example

- Input size: 5x5x3
- Kernel size: 3x3x3
- Num. of filters: 2
- Stride: 2
- Padding: 1
- Output size: 3x3x2

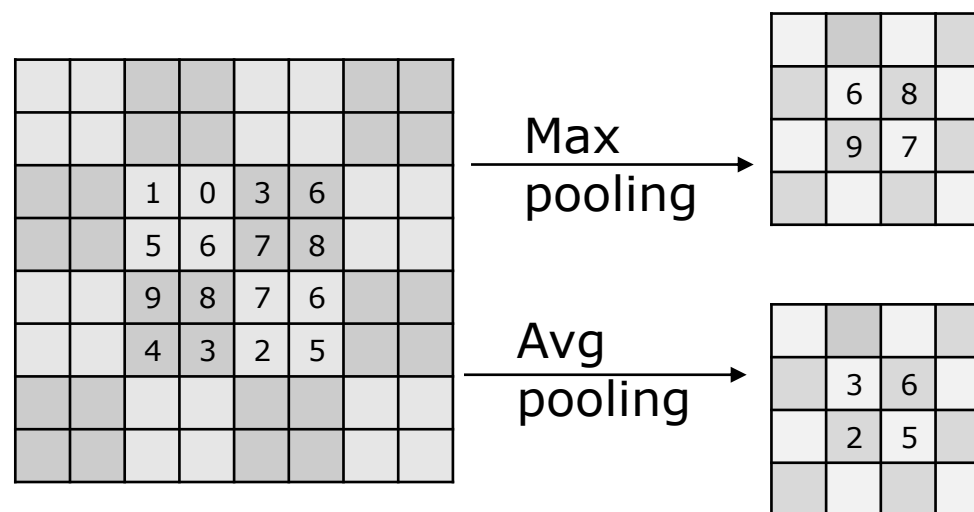


Pooling layer

- Downsampling – reduces the volume size (width and height)
- Process each activation map independently – keeps the volume depth unchanged

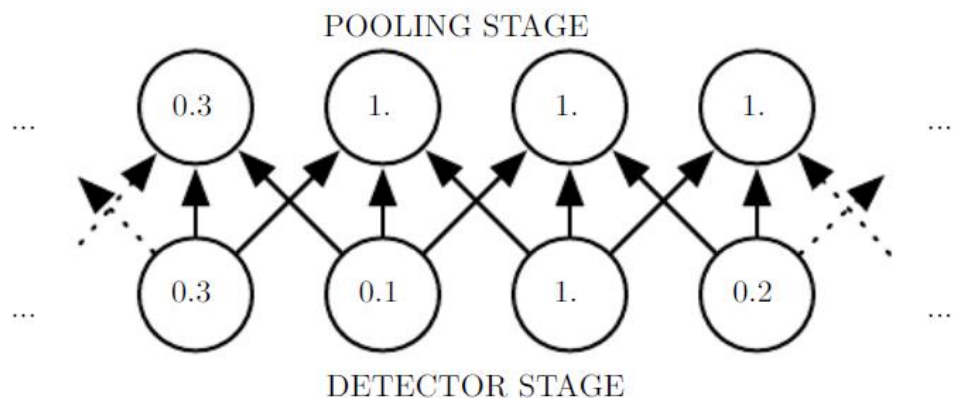
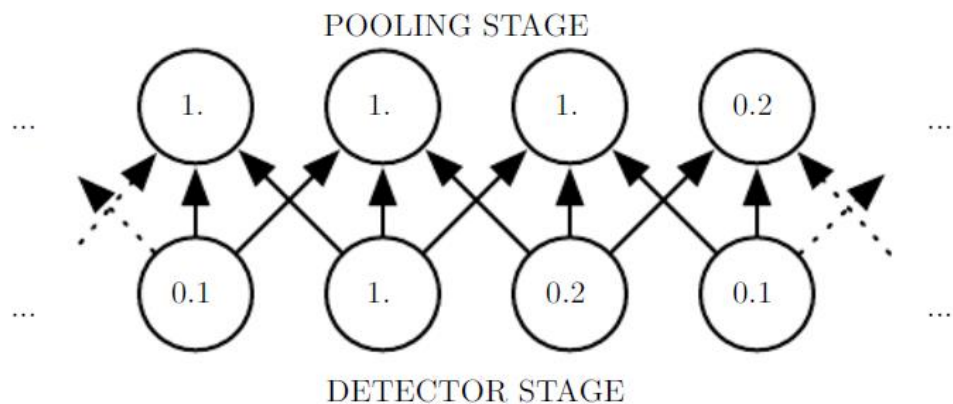


- Example with
 - $F=2$
 - $S=2$

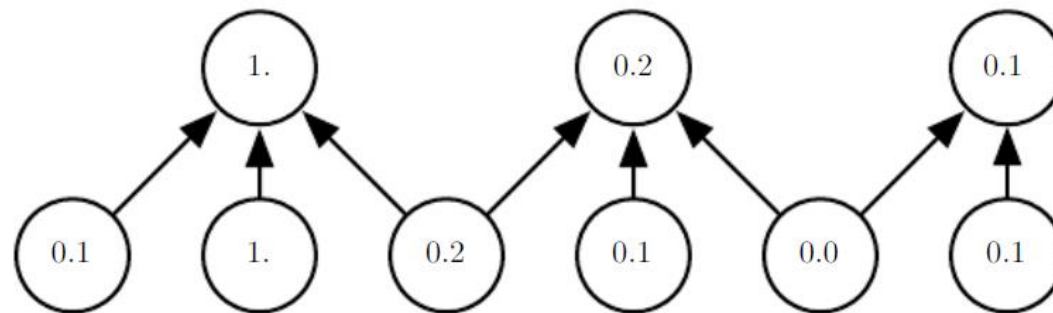


Pooling

- Max pooling introduces translation invariance

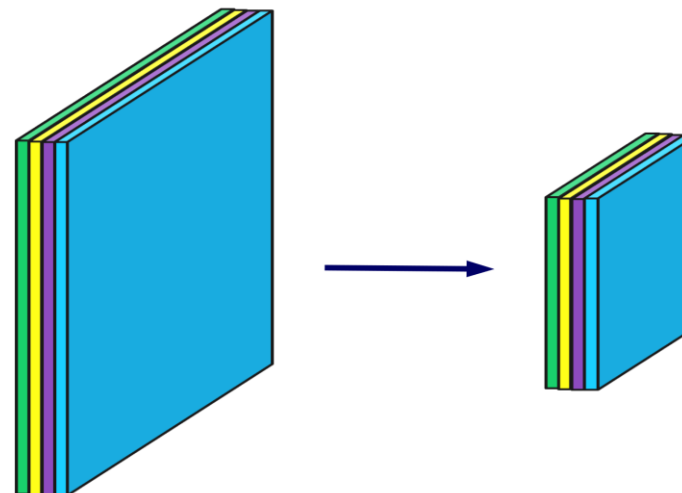


- Pooling with downsampling
 - Reduces the representation size
 - Reduces computational cost
 - Increases statistical efficiency



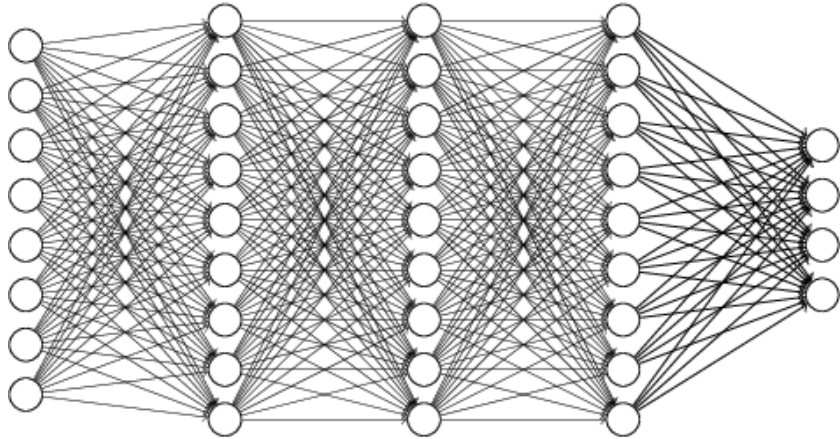
Pooling layer parameters

- Hyperparameters:
 - F – Filter size
 - S – Stride (usually >1)
- Input: volume of the size $W_1 \times H_1 \times D_1$
- Output volume size:
 - $W_2 = \frac{W_1 - F}{S} + 1$
 - $H_2 = \frac{H_1 - F}{S} + 1$
 - $D_2 = D_1$
- Number of parameters: 0



Fully connected layer

- Every neuron at the layer $l-1$ is connected to every neuron at the layer l



- Usually added at the end of the network to perform classification
- Hyperparameters:
 - N – Number of neurons
- Input: N_{l-1} neurons
- Output size: N_l neurons
- Number of parameters:
 - Number of weights: $N_{l-1} \cdot N_l$
 - Number of biases: N_l

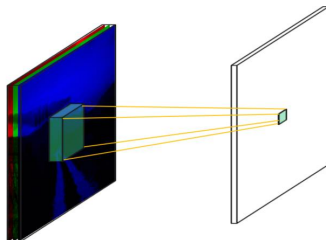
CNN layers

- Layers used to build ConvNets:

- INPUT:
raw pixel values

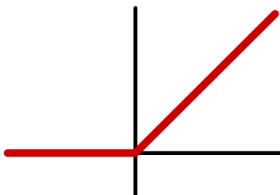


- CONV:
convolutional layer

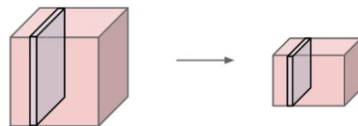


- (BN: batch normalisation)

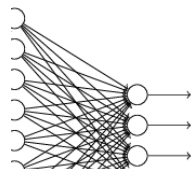
- (ReLU:)
introducing nonlinearity



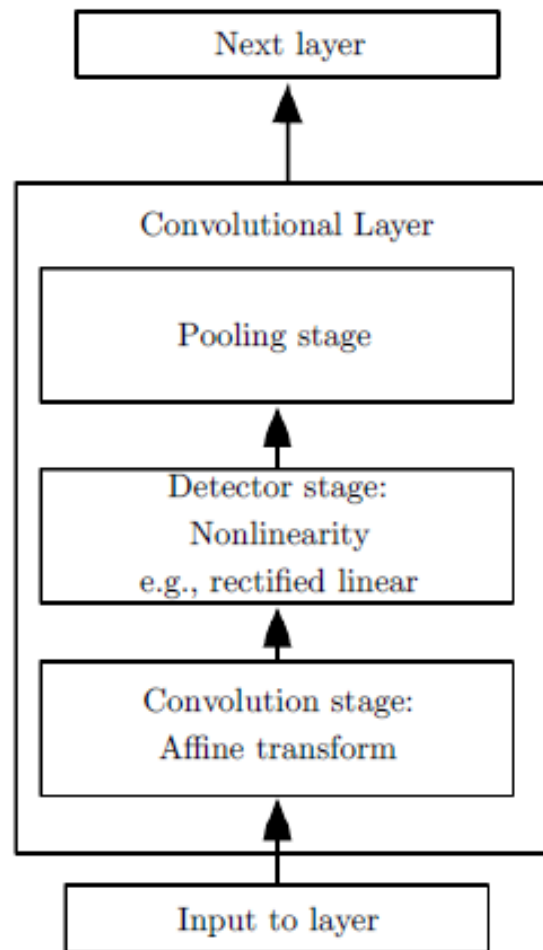
- POOL:
downsampling



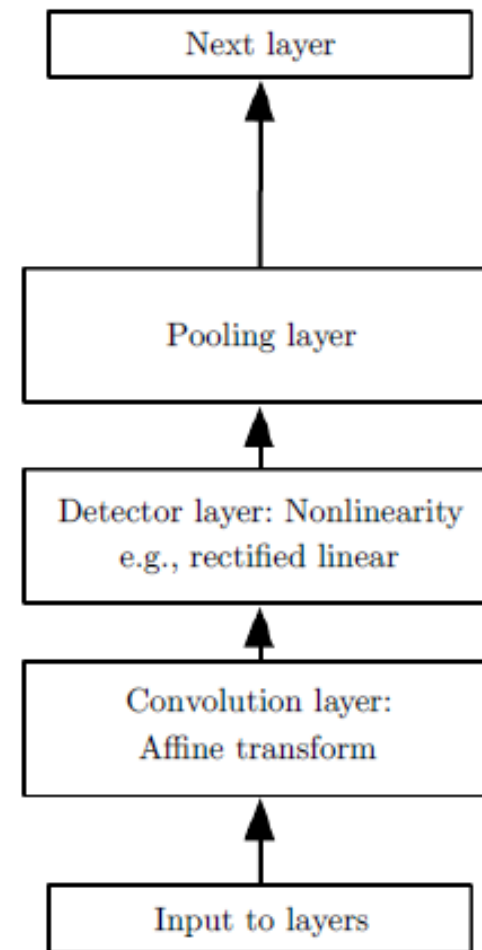
- FC:
for computing class scores
- SoftMax



Complex layer terminology

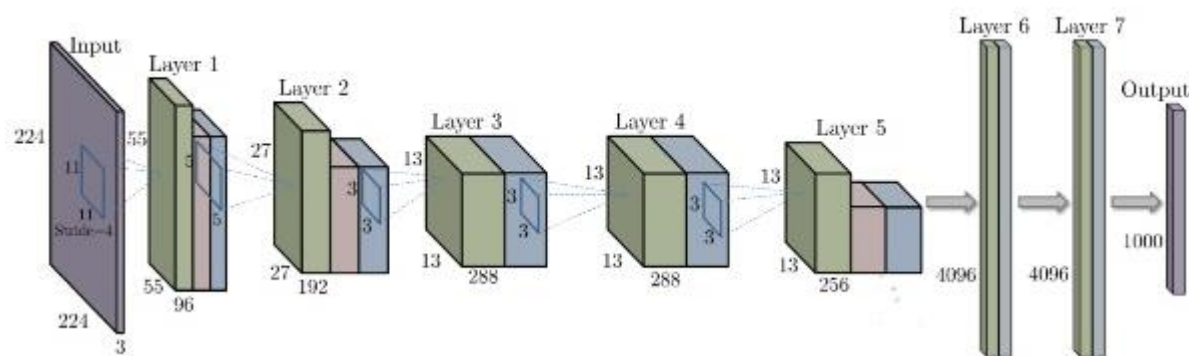


Simple layer terminology

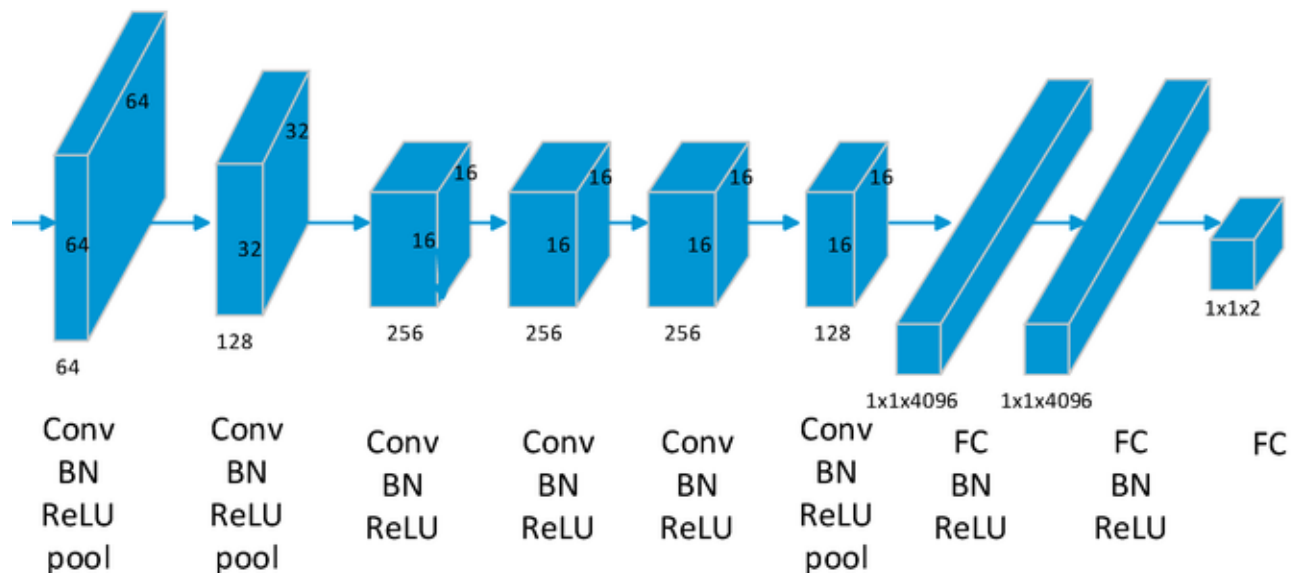


CNN architecture

- Stack the layers in an appropriate order

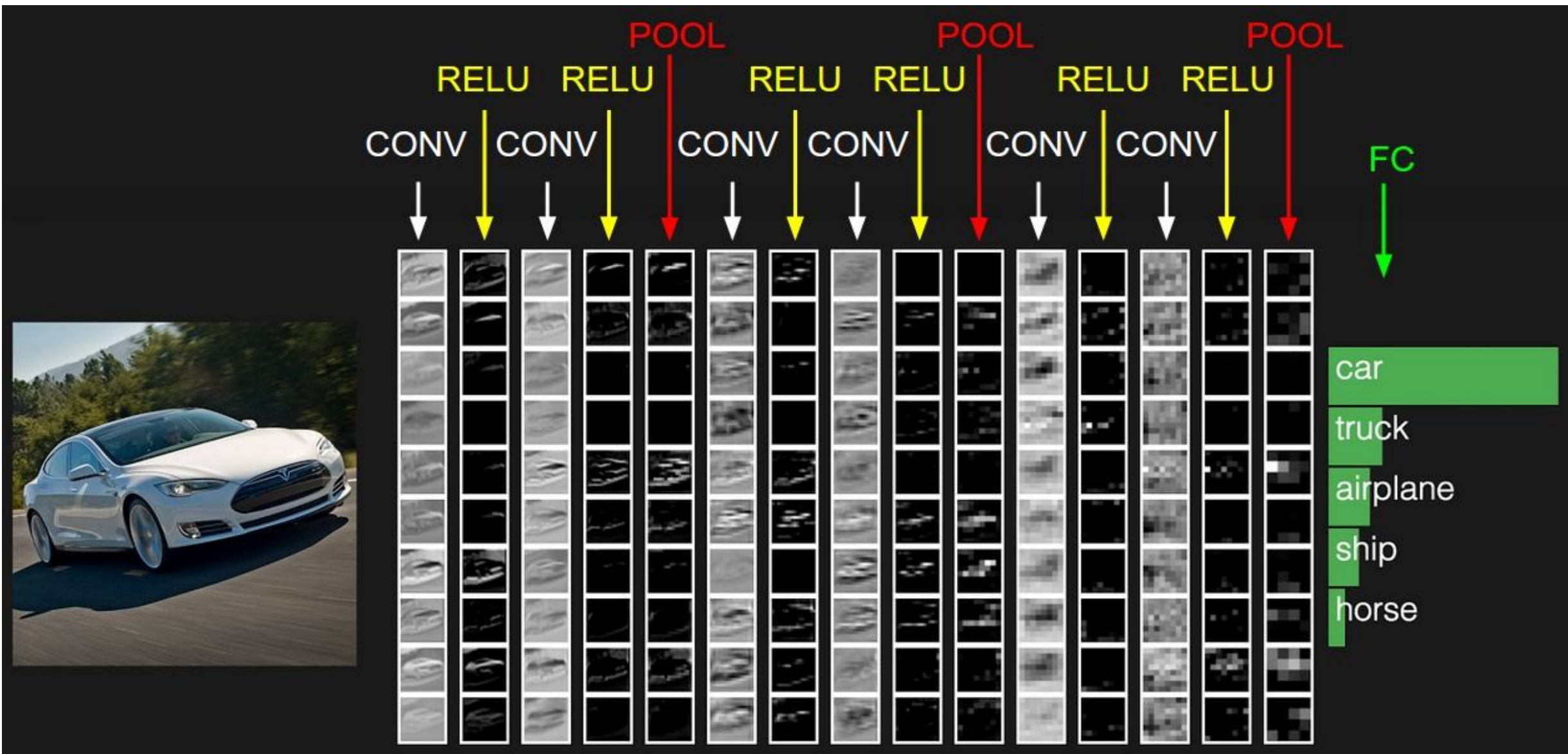


Babenko et. al.

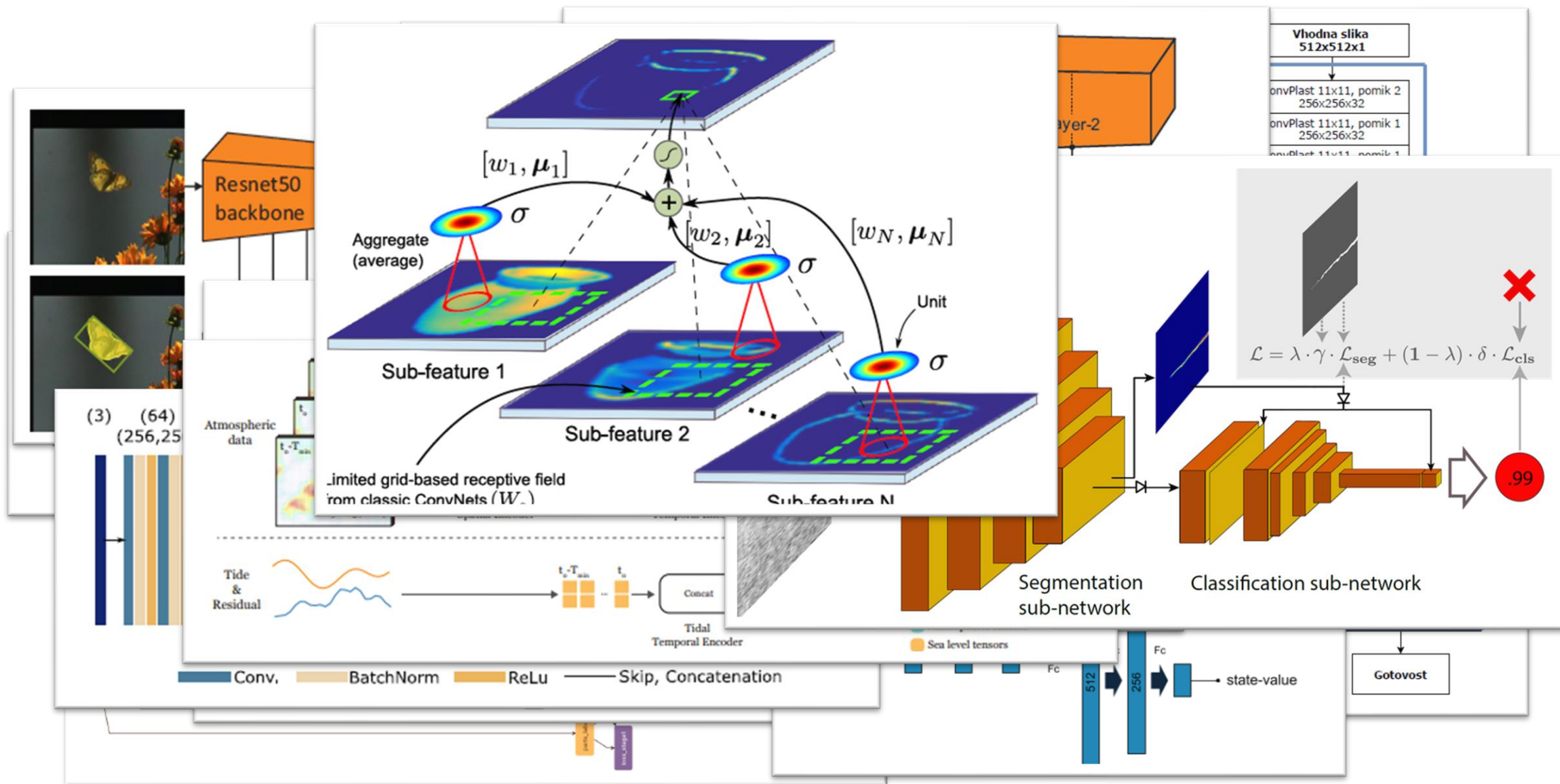


Hu et. al.

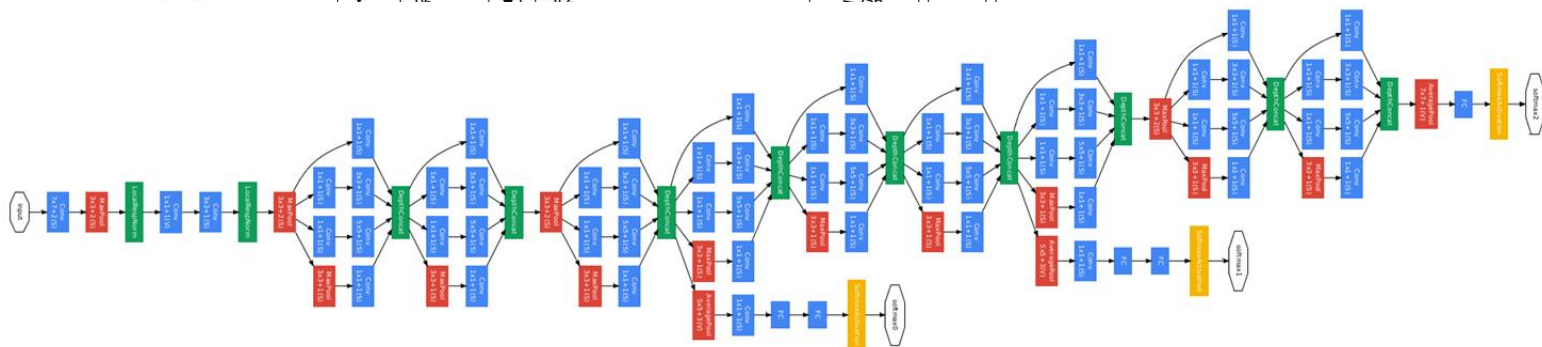
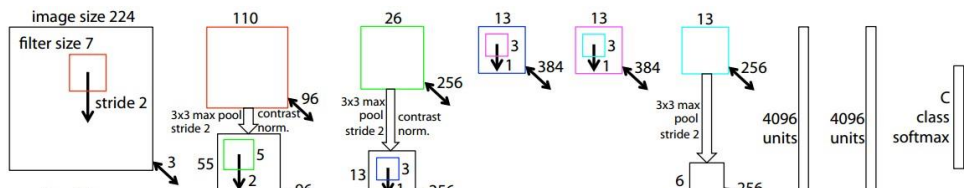
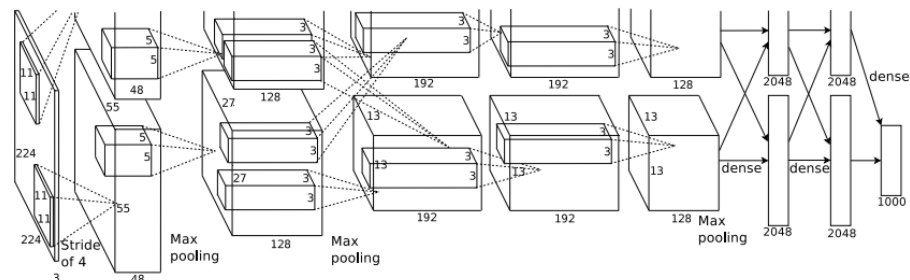
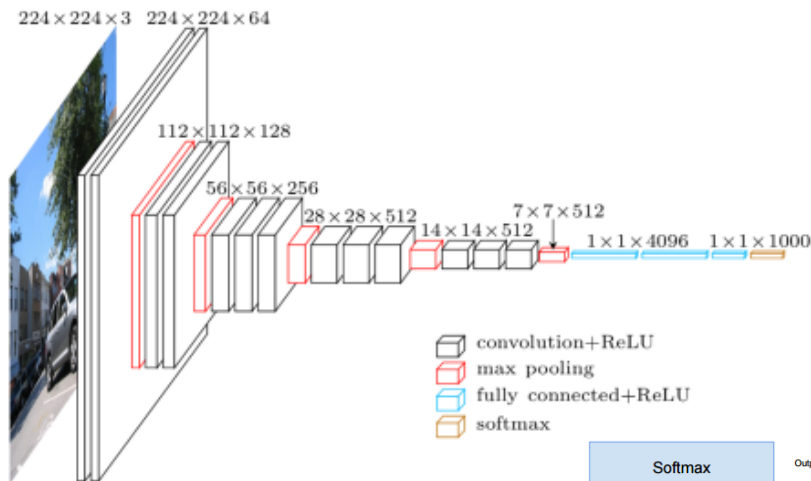
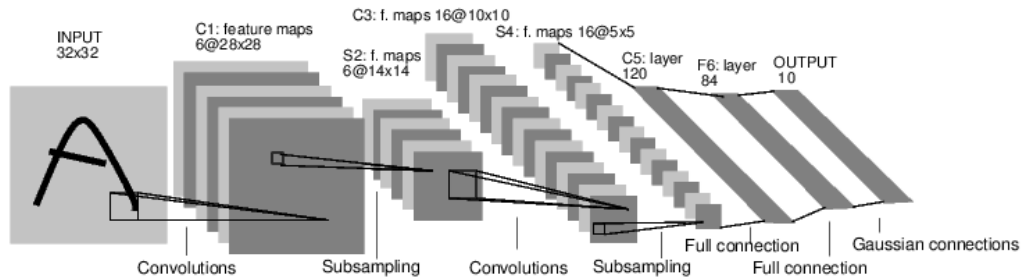
CNN architecture



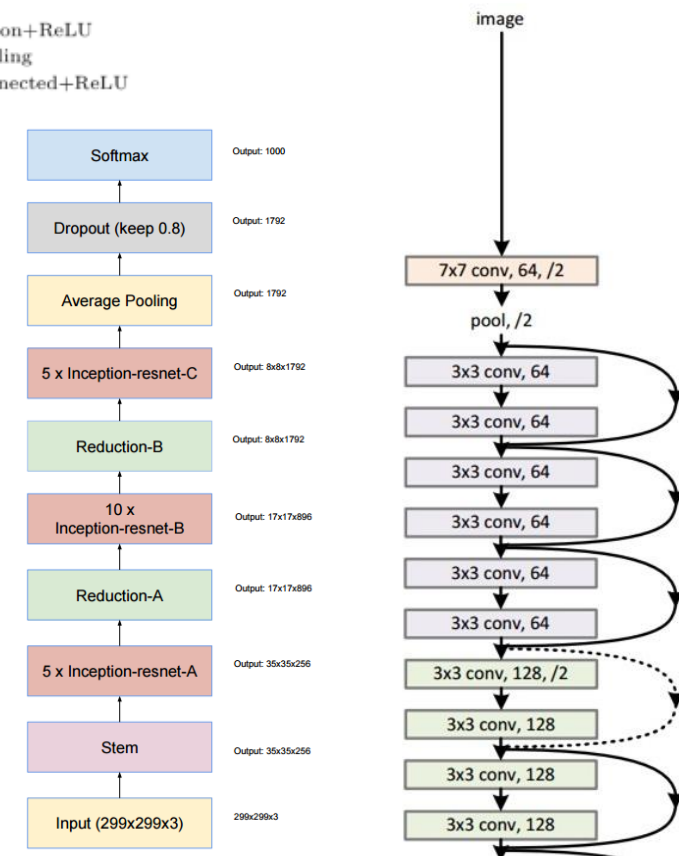
CNN architectures



Case studies



34-layer residual



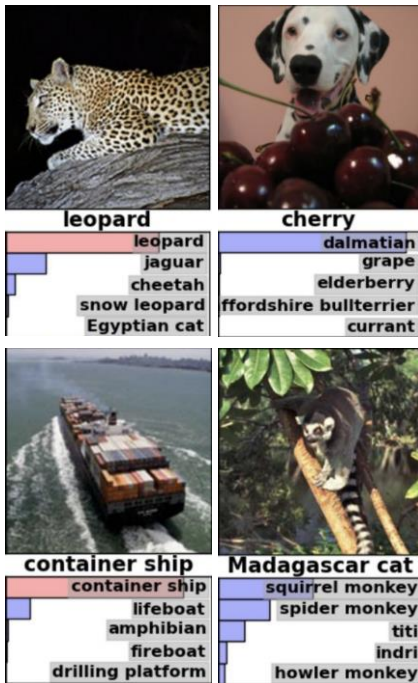
Increasing performance

IMAGENET

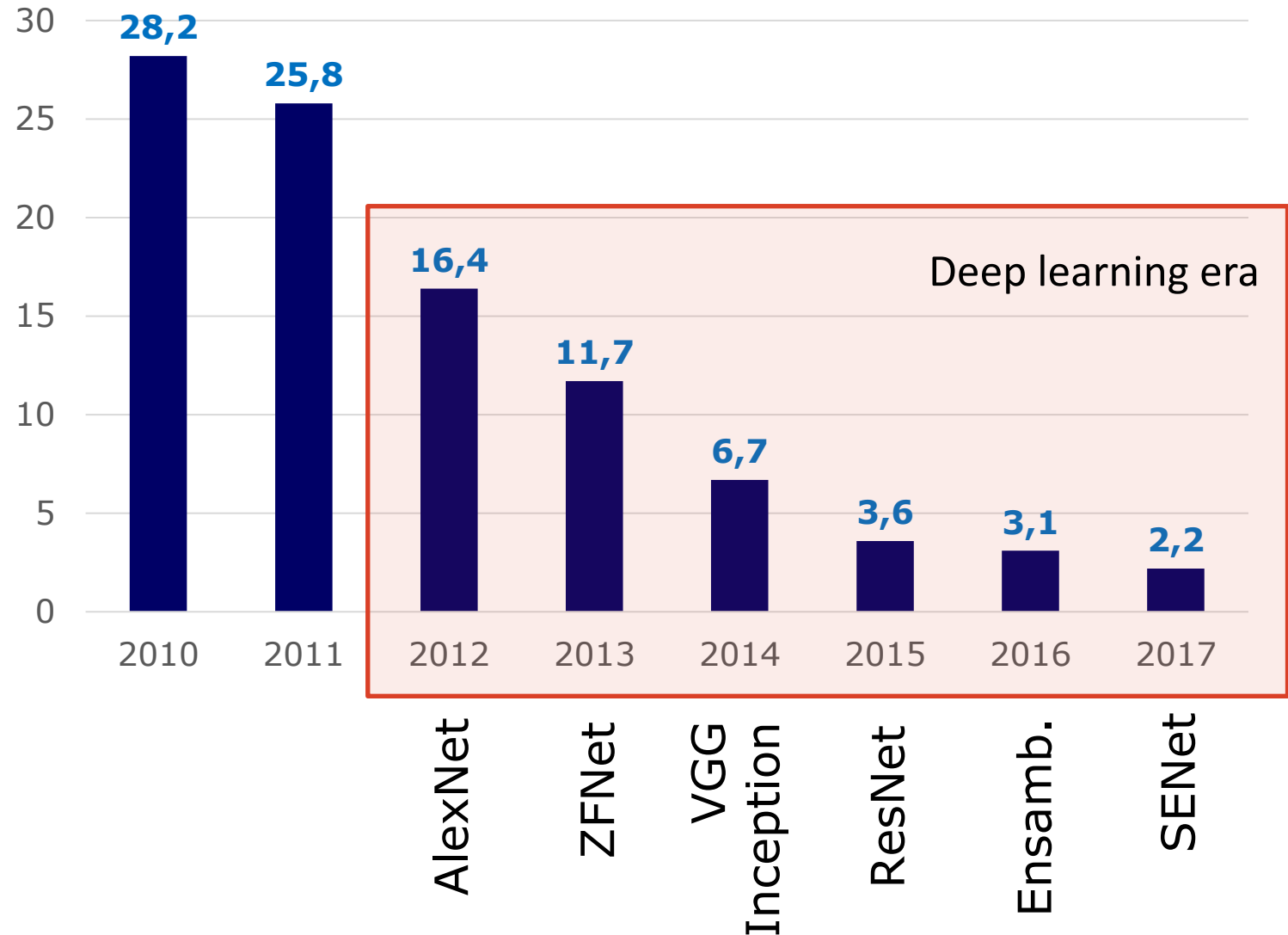
1k categories

1,3M images

Top5 classification



ILSVRC results























Architectures overview

- paperswithcode.com

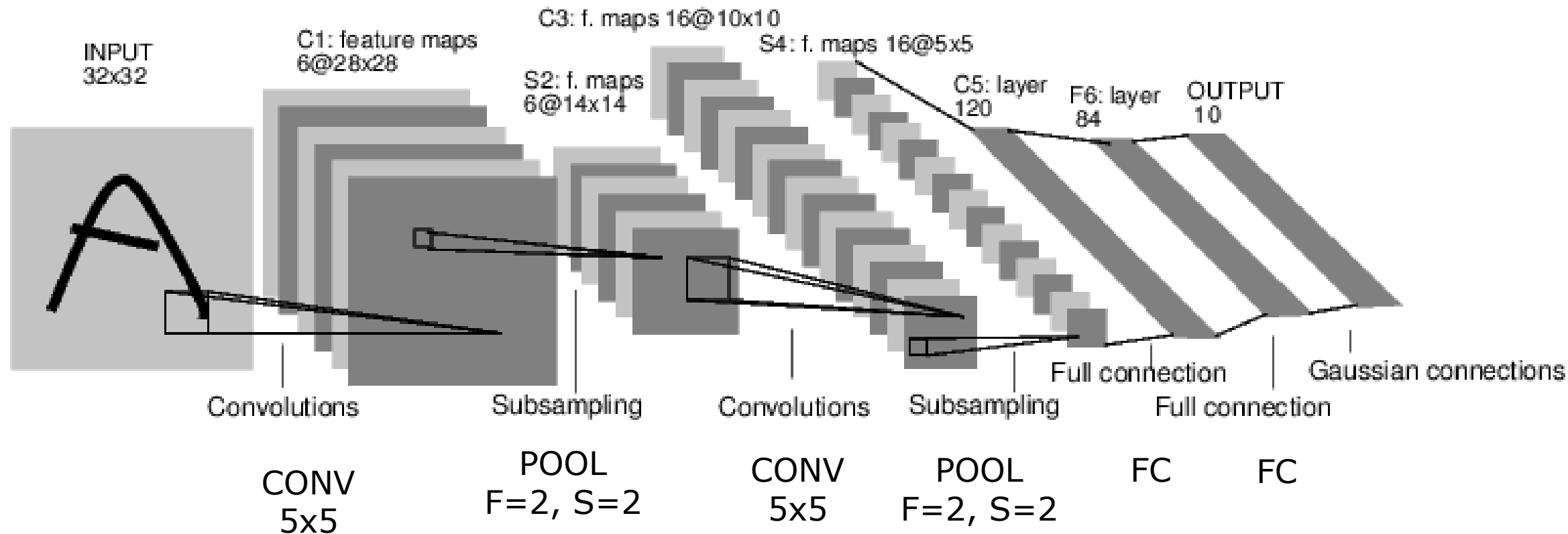
[paperswithcode.com, 2022]

- Top 20 architectures in Convolutional Neural Networks

Method	Year	Papers
 ResNet Deep Residual Learning for Image Recognition	2015	1461
 VGG Very Deep Convolutional Networks for Large-Scale Image Recognition	2014	369
 DenseNet Densely Connected Convolutional Networks	2016	300
 AlexNet ImageNet Classification with Deep Convolutional Neural Networks	2012	280
 VGG-16 Very Deep Convolutional Networks for Large-Scale Image Recognition	2014	258
 MobileNetV2 MobileNetV2: Inverted Residuals and Linear Bottlenecks	2018	201
 EfficientNet EfficientNet: Rethinking Model Scaling for Convolutional Neural Networks	2019	154
 Darknet-53 YOLOv3: An Incremental Improvement	2018	142
 ResNeXt Aggregated Residual Transformations for Deep Neural Networks	2016	120
 GoogLeNet Going Deeper with Convolutions	2014	119

 Xception Xception: Deep Learning With Depthwise Separable Convolutions	2017	94
 SqueezeNet SqueezeNet: AlexNet-level accuracy with 50x fewer parameters and <0.5MB model size	2016	71
 Inception-v3 Rethinking the Inception Architecture for Computer Vision	2015	67
 CSPDarknet53 YOLOv4: Optimal Speed and Accuracy of Object Detection	2020	46
 MobileNetV1 MobileNets: Efficient Convolutional Neural Networks for Mobile Vision Applications	2017	44
 LeNet	1998	44
 Darknet-19 YOLO9000: Better, Faster, Stronger	2016	44
 WideResNet Wide Residual Networks	2016	42
 ShuffleNet ShuffleNet: An Extremely Efficient Convolutional Neural Network for Mobile Devices	2017	36
 MobileNetV3 Searching for MobileNetV3	2019	34

LeNet-5

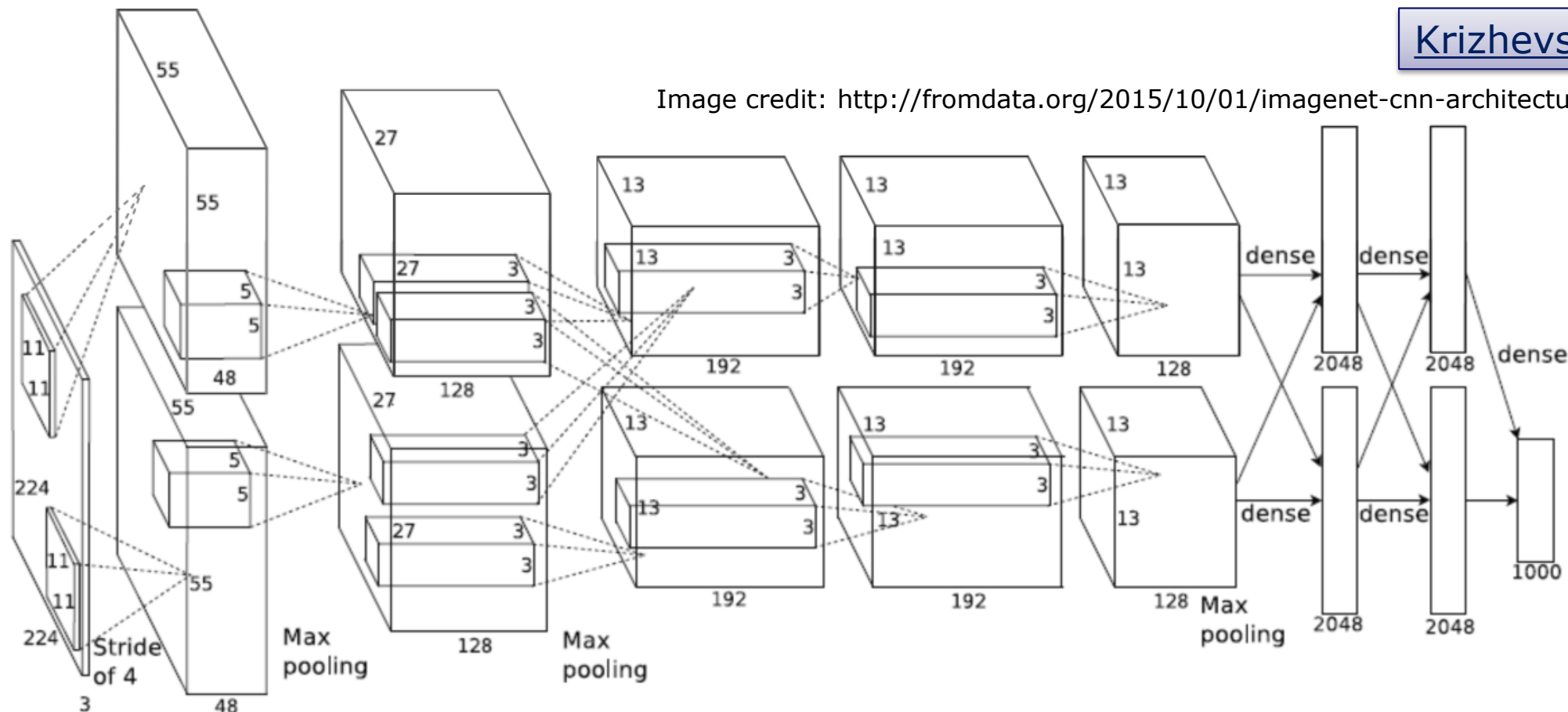


LeCun et al., 1998

AlexNet

Krizhevsky, 2012

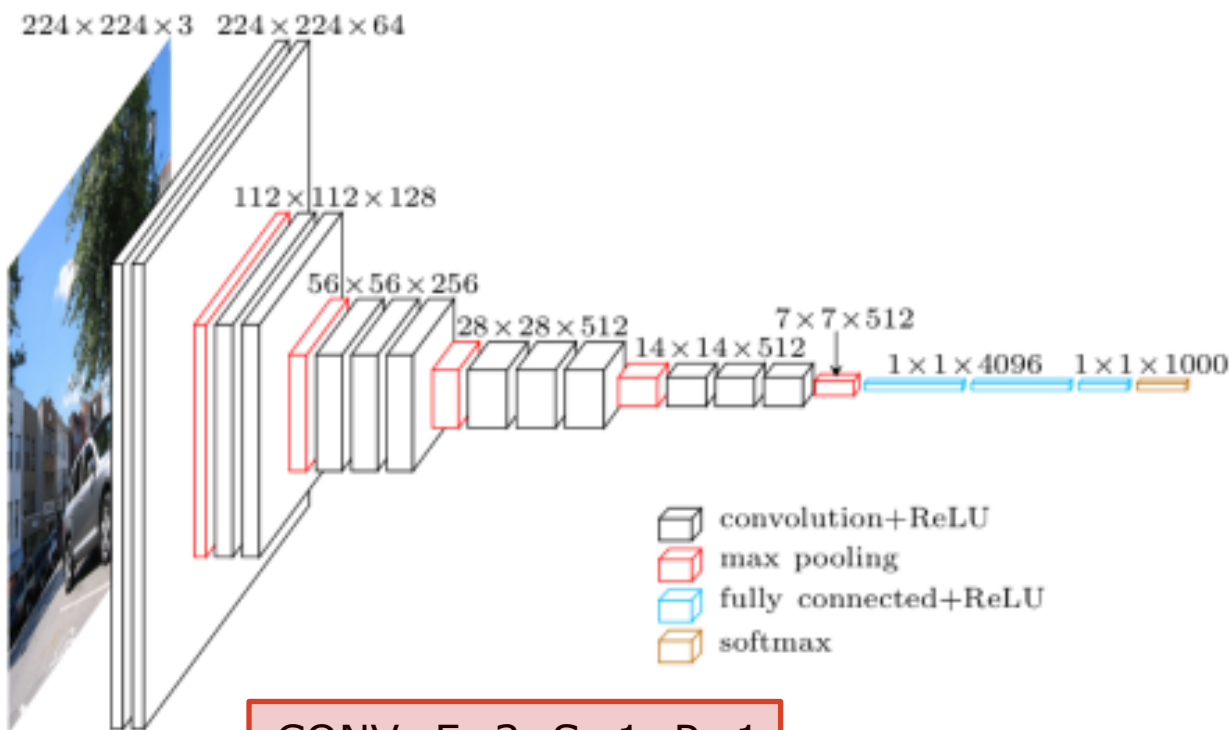
Image credit: <http://fromdata.org/2015/10/01/imagenet-cnn-architecture-image/>



CONV1	POOL	CONV2	POOL	CONV3	CONV4	CONV5	POOL	FC6	FC7	FC8
F=11	F=3	F=5	F=3	F=3	F=3	F=3	F=3	4096	4096	1000
S=4	S=2	S=1	S=2	S=1	S=1	S=1	S=2			
		P=2		P=1	P=1	P=1				

- ReLU, data augmentation, Dropout, Momentum, L2 regularisation

VGG



CONV: F=3, S=1, P=1
POOL: F=2, S=2

- Classical CNN backbone shape
- VGG16, VGG19

Simonyan & Zisserman, 2014

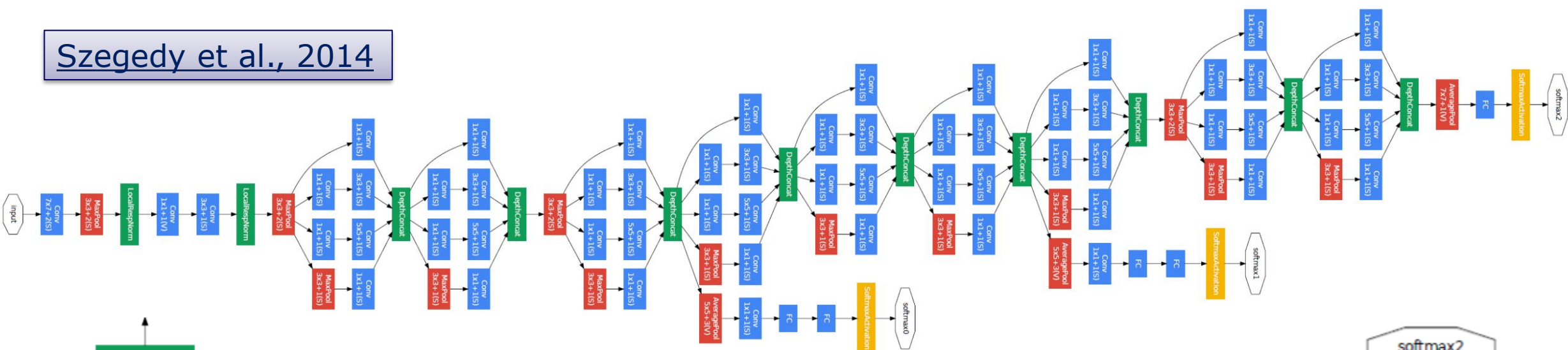
ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input (224 × 224 RGB image)					
conv3-64	conv3-64 LRN	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64
maxpool					
conv3-128	conv3-128	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128
maxpool					
conv3-256	conv3-256	conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256 conv3-256	conv3-256 conv3-256 conv3-256 conv3-256
maxpool					
conv3-512	conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 conv1-512	conv3-512 conv3-512 conv3-512	conv3-512 conv3-512 conv3-512 conv3-512
maxpool					
conv3-512	conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 conv1-512	conv3-512 conv3-512 conv3-512	conv3-512 conv3-512 conv3-512 conv3-512
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					

Table 2: Number of parameters (in millions).

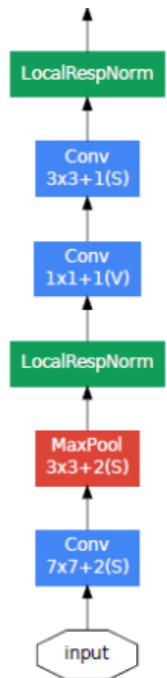
Network	A,A-LRN	B	C	D	E
Number of parameters	133	133	134	138	144

GoogLeNet / Inception

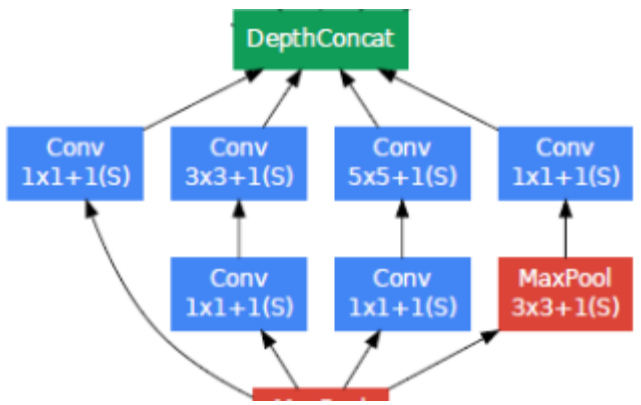
Szegedy et al., 2014



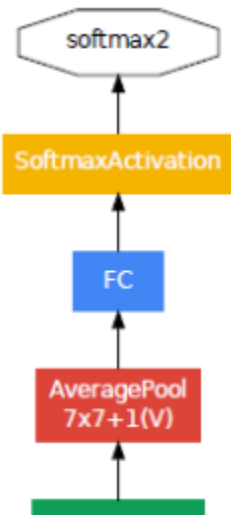
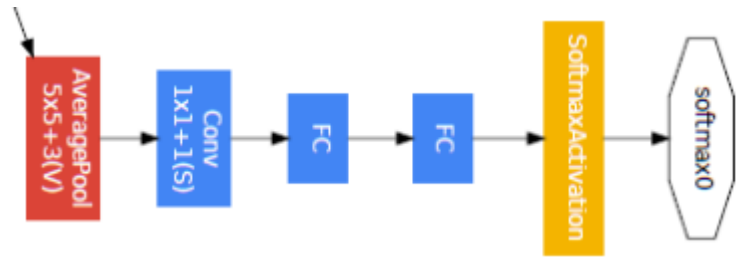
Stem network



Inception module



Auxiliary output

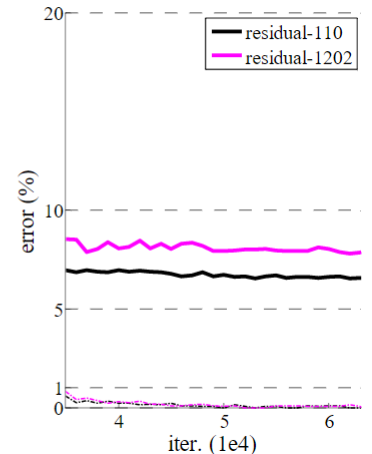
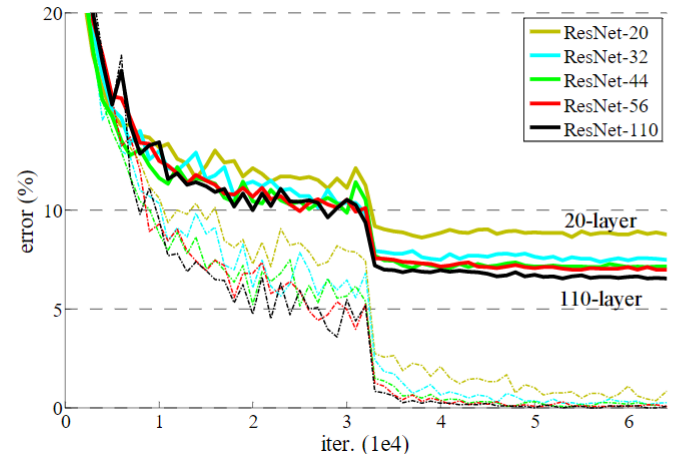
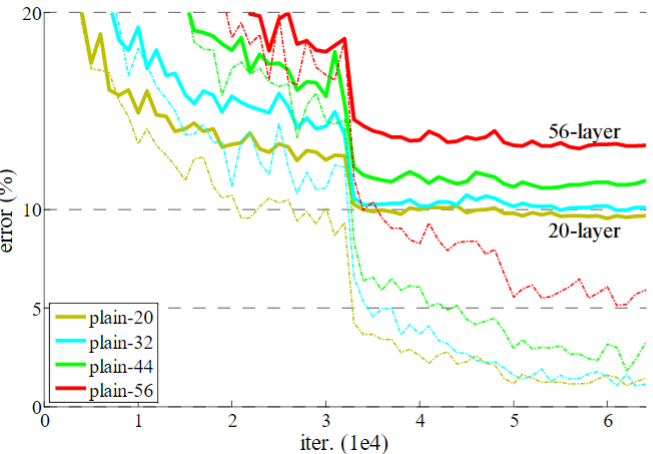
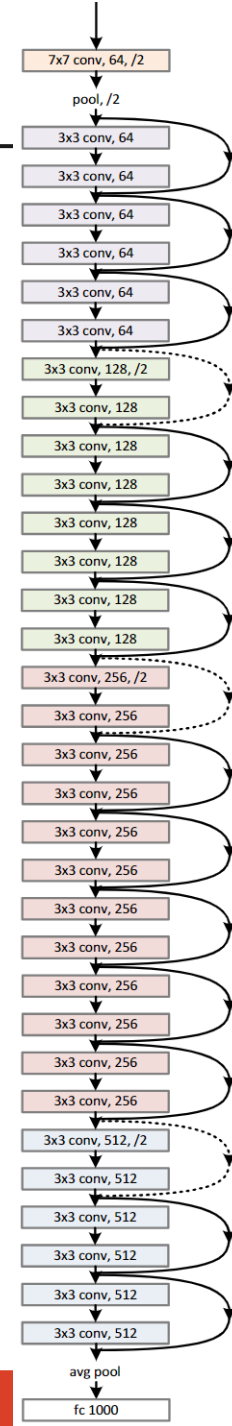
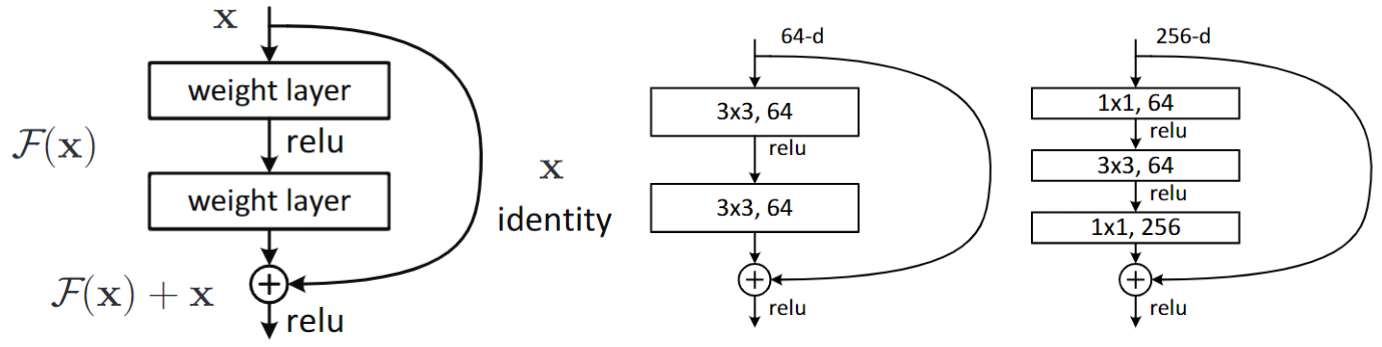
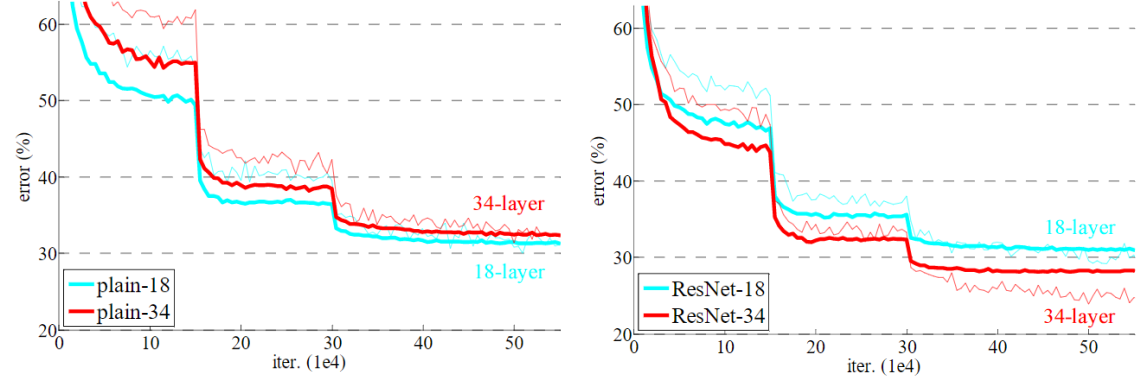


Classifier output

ResNet

- Going deeper!
- Plain deep networks do not work
- Shortcut connections!
 - Fighth vanishing gradient problem
- Learn residual functions

$$y = \mathcal{F}(x, \{W_i\}) + x$$
- Bottleneck building blocks
- Very deep networks:
 - 152, 101, 50, 34, 18



	plain	ResNet
18 layers	27.94	27.88
34 layers	28.54	25.03

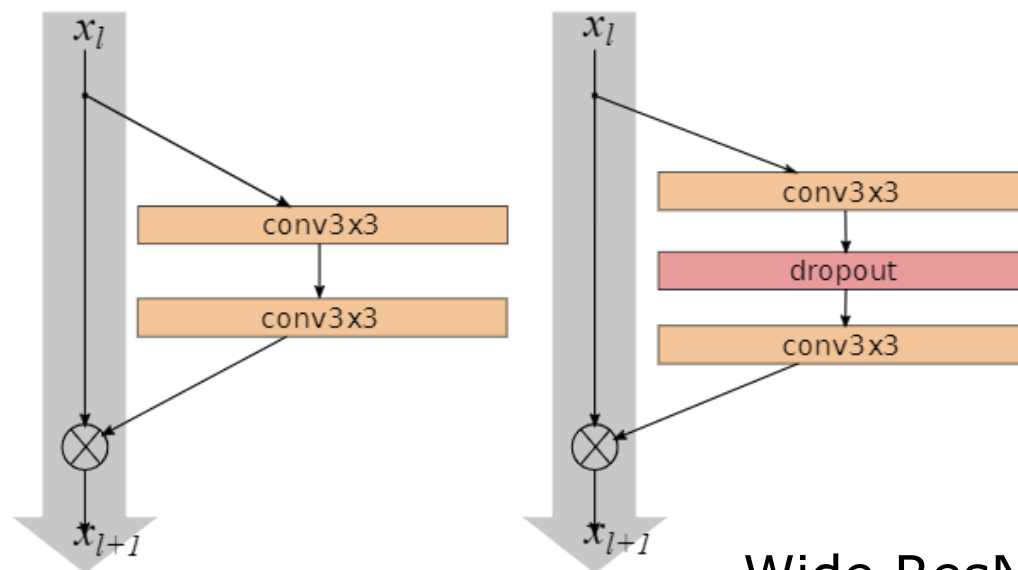
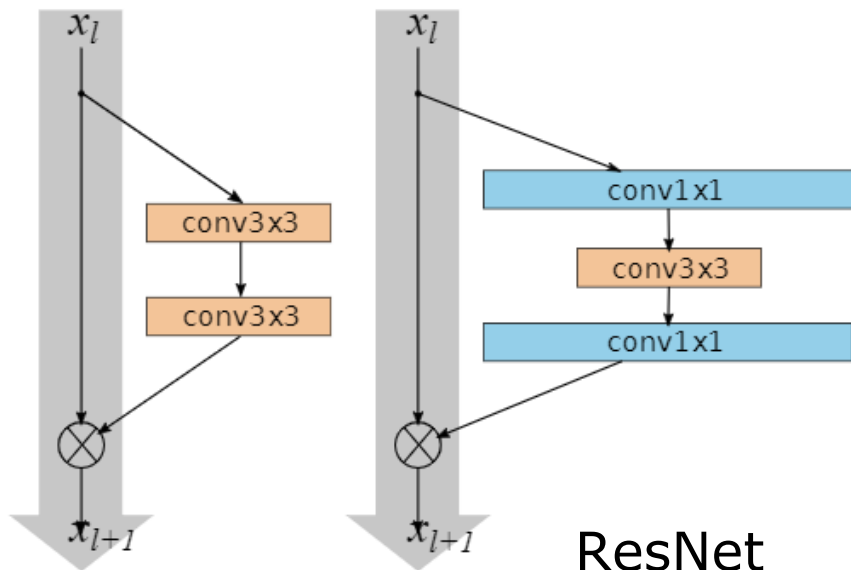
He et al., 2015

Wide ResNet

- Wide Residual Networks
 - Width instead of depth
 - Adding more feature planes
 - Parallelisable

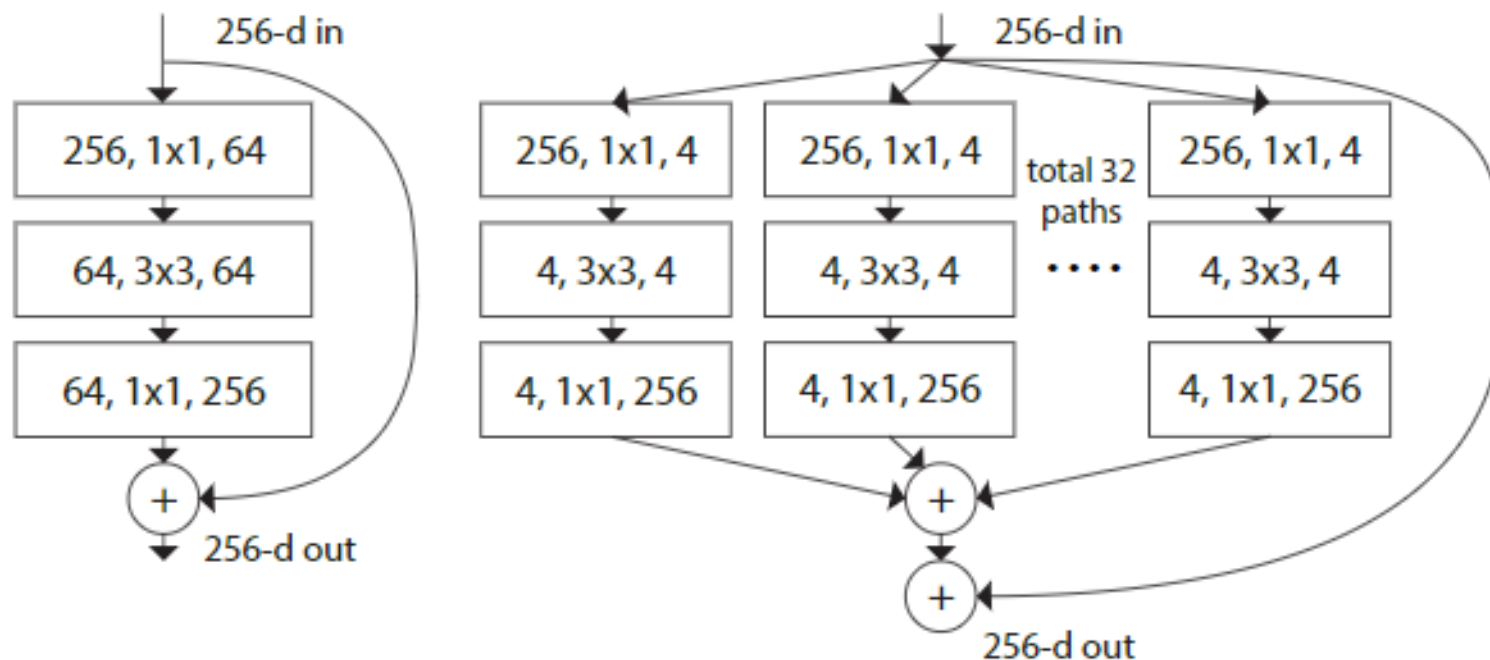
Zagoruyko et al. 2016

group name	output size	block type = $B(3,3)$
conv1	32×32	$[3 \times 3, 16]$
conv2	32×32	$\begin{bmatrix} 3 \times 3, 16 \times k \\ 3 \times 3, 16 \times k \end{bmatrix} \times N$
conv3	16×16	$\begin{bmatrix} 3 \times 3, 32 \times k \\ 3 \times 3, 32 \times k \end{bmatrix} \times N$
conv4	8×8	$\begin{bmatrix} 3 \times 3, 64 \times k \\ 3 \times 3, 64 \times k \end{bmatrix} \times N$
avg-pool	1×1	$[8 \times 8]$



ResNeXt

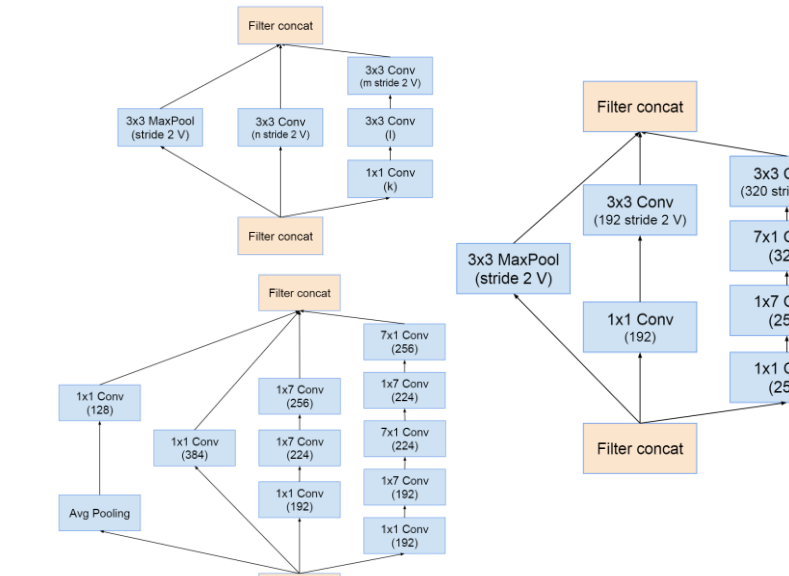
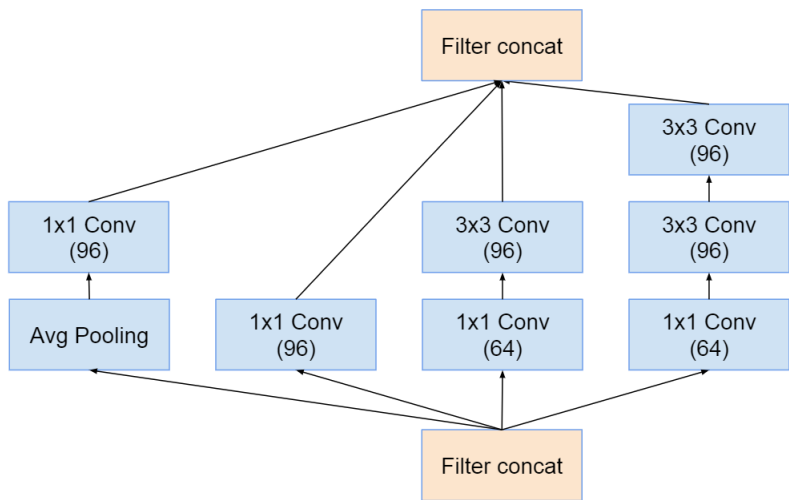
- Aggregated Residual Transformations for Deep Neural Networks
 - ResNet blocks widened with multiple pathways
 - That are summed together (in contrast to Inception)



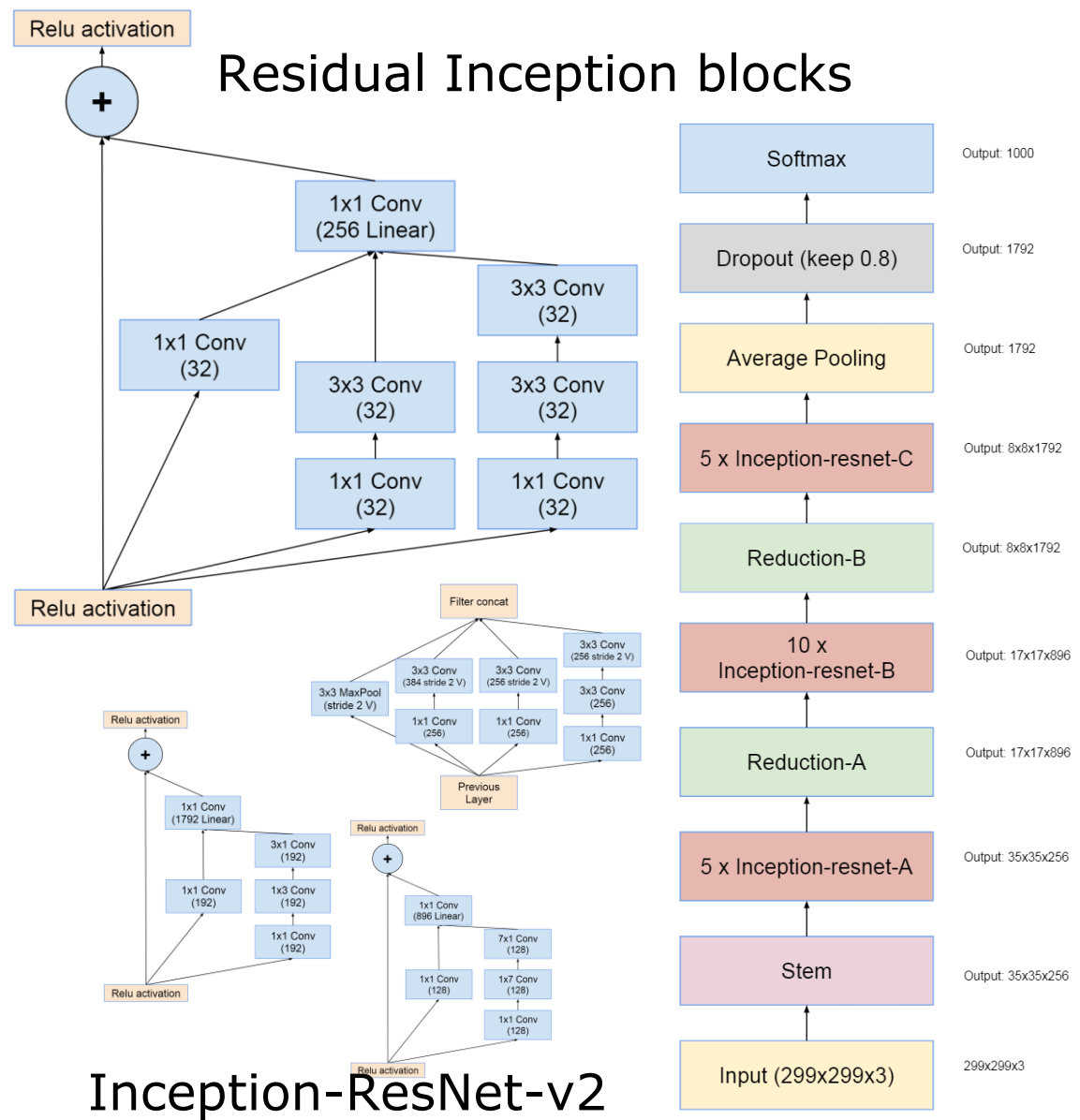
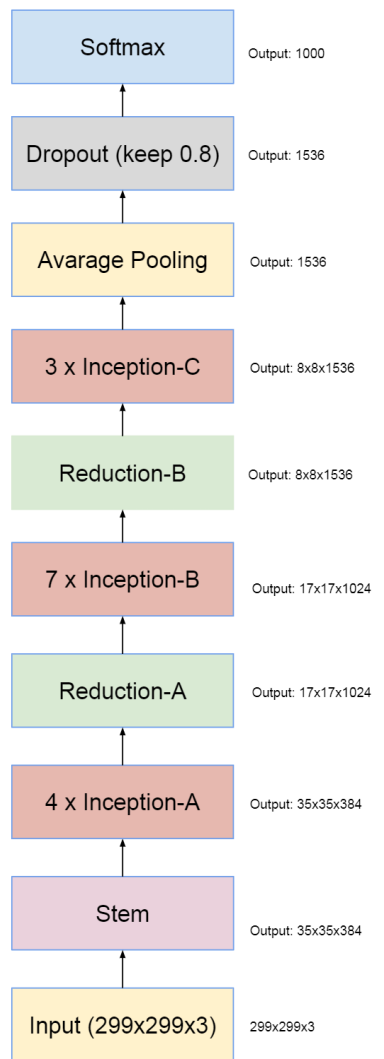
Xie et al. 2016

Inception-v4 and Inception-ResNet

Szegedy et al., 2016



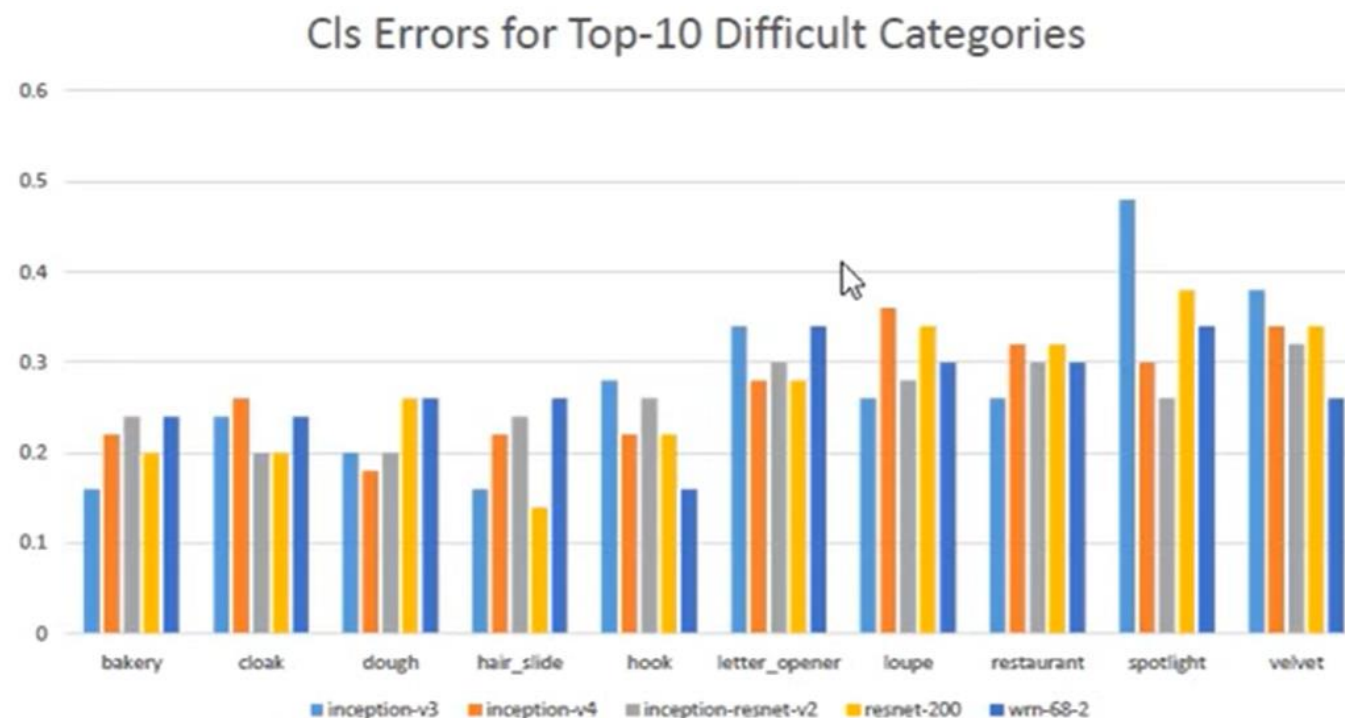
Inception-v4



Inception-ResNet-v2

Ensemble methods

- Merge the results of different models



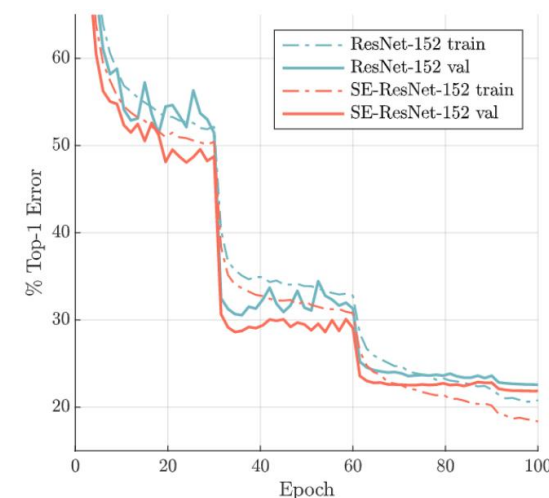
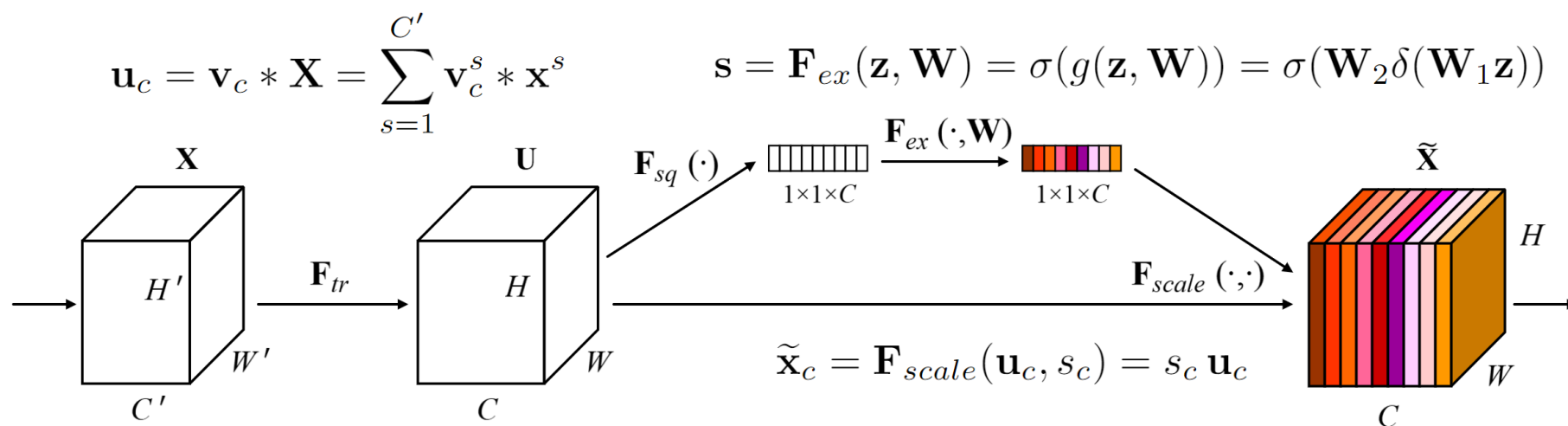
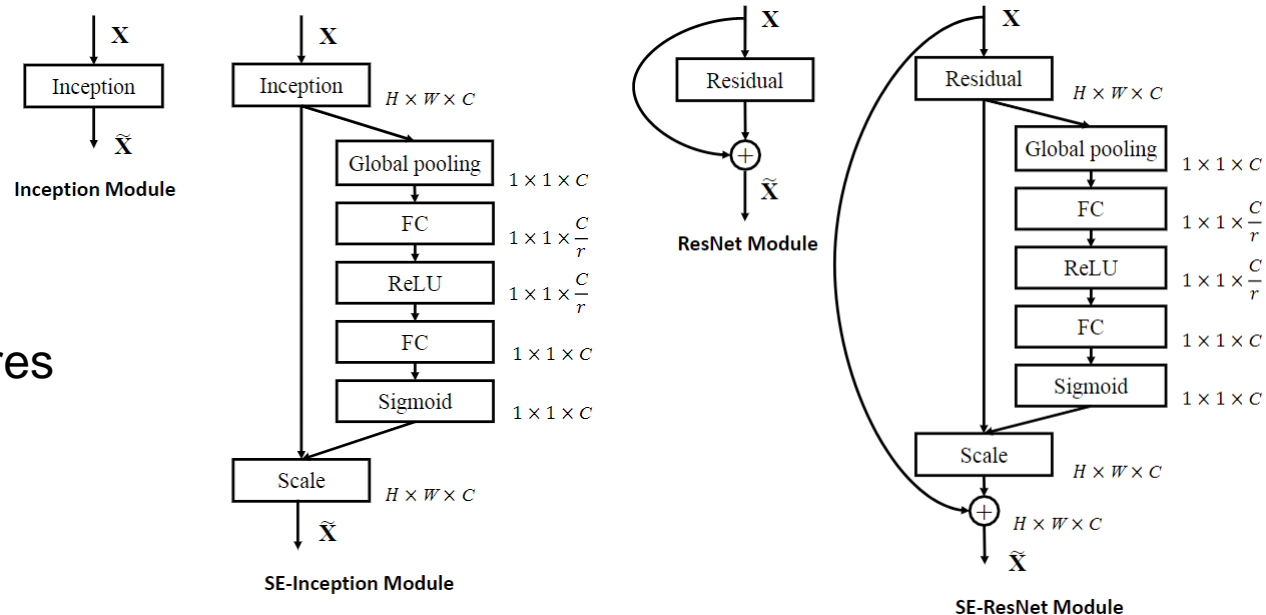
Trimps-Soushen@ILSVRC2016

	Inception-v3	Inception-v4	Inception-Resnet-v2	Resnet-200	Wrn-68-3	Fusion (Val.)	Fusion (Test)
Err. (%)	4.20	4.01	3.52	4.26	4.65	2.92 (-0.6)	2.99

SENet

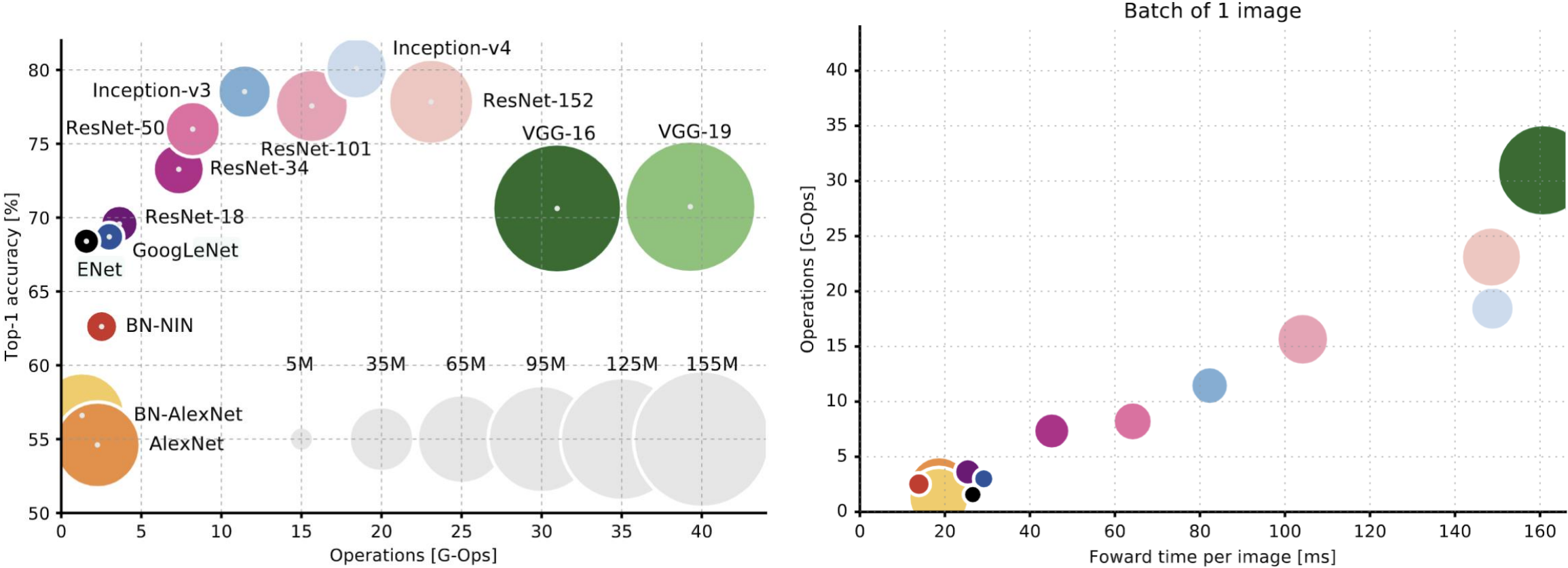
- Squeeze-and-Excitation Networks
- Explore channel relationships
- SE blocks
 - adaptively recalibrate channel-wise feature responses
 - Can be stacked together in SENet architectures
 - Can improve CNN architectures

Hu et al., 2017



Benchmarking architectures

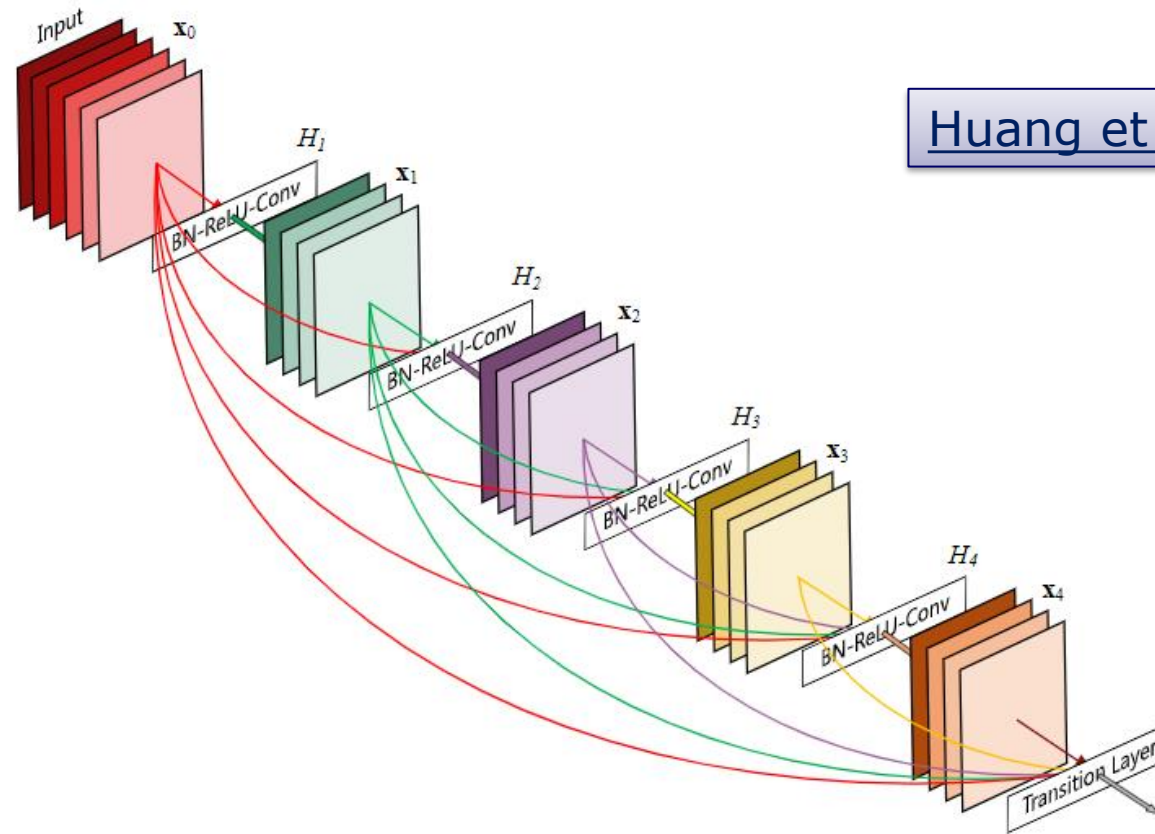
- Accuracy, number of parameters, number of operations and inference time



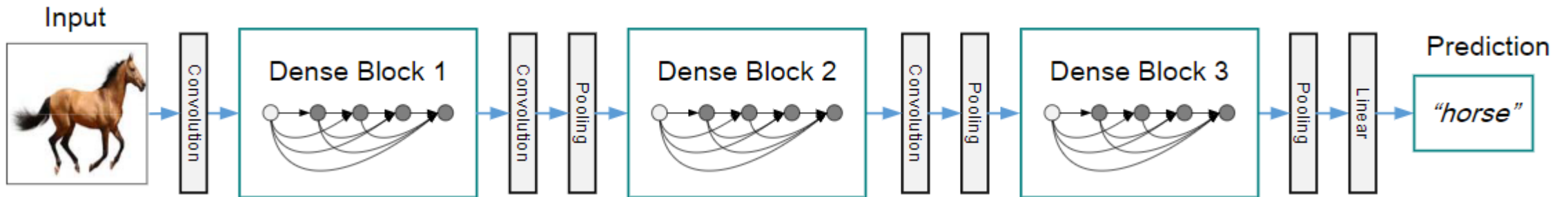
Canziani et al., 2017

DenseNet

- Densely Connected Convolutional Networks
 - Every layer connected to every other layer in a feed-forward fashion
 - Dense connectivity
 - Model compactness
 - Strong gradient flow
 - Implicit deep supervision
 - Feature reuse



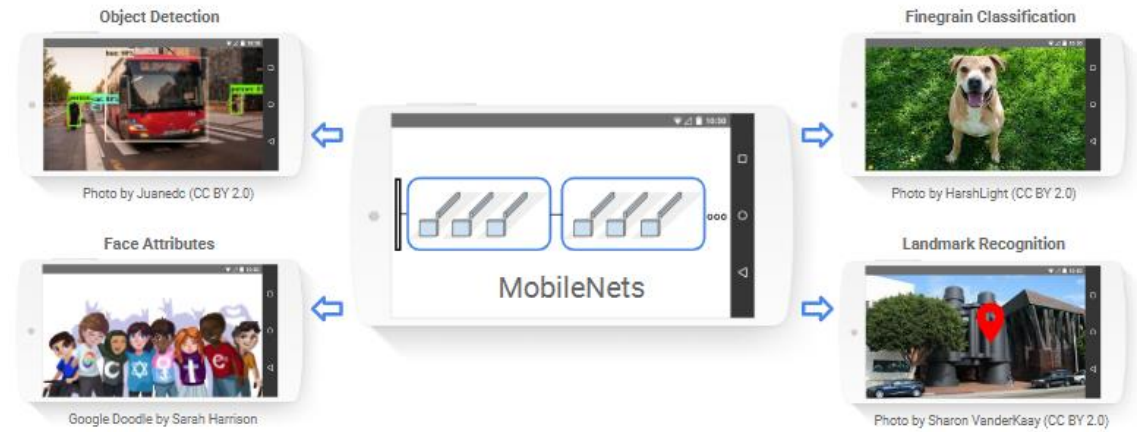
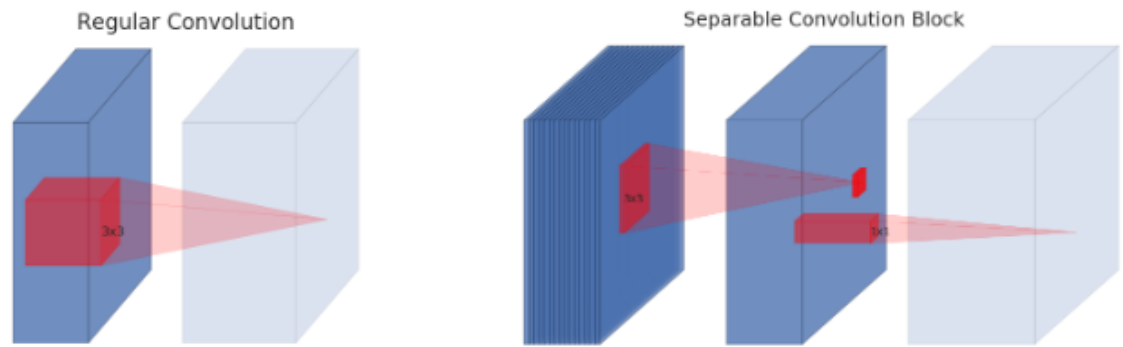
Huang et al. 2017



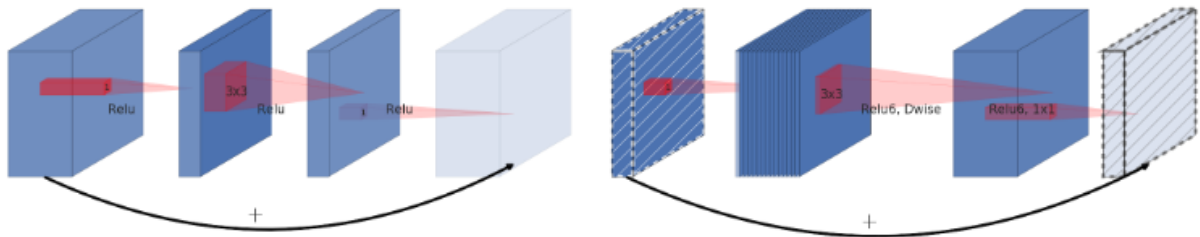
MobileNets

- Efficient Convolutional Neural Networks for Mobile Applications
- Efficient models for mobile and embedded vision applications
- Depthwise separable convolution:
 - Depthwise convolution
 - Pointwise (1x1) convolution

[Howard et al. 2017](#)



- MobileNetV2: Inverted Residuals and Linear Bottlenecks



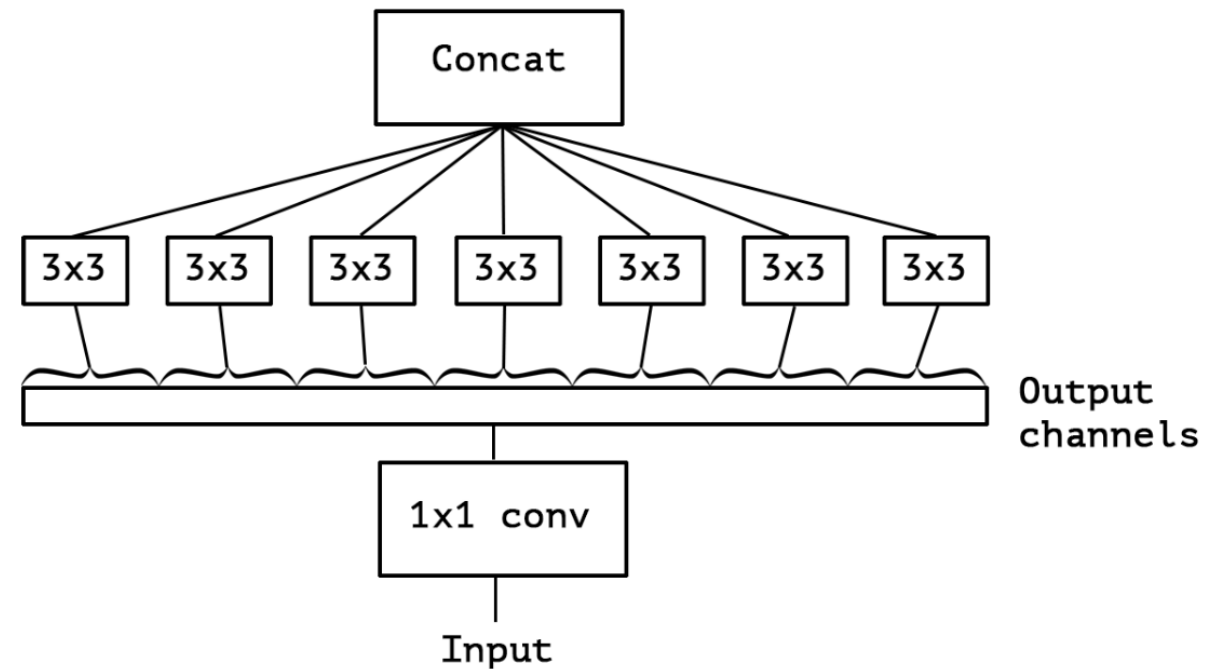
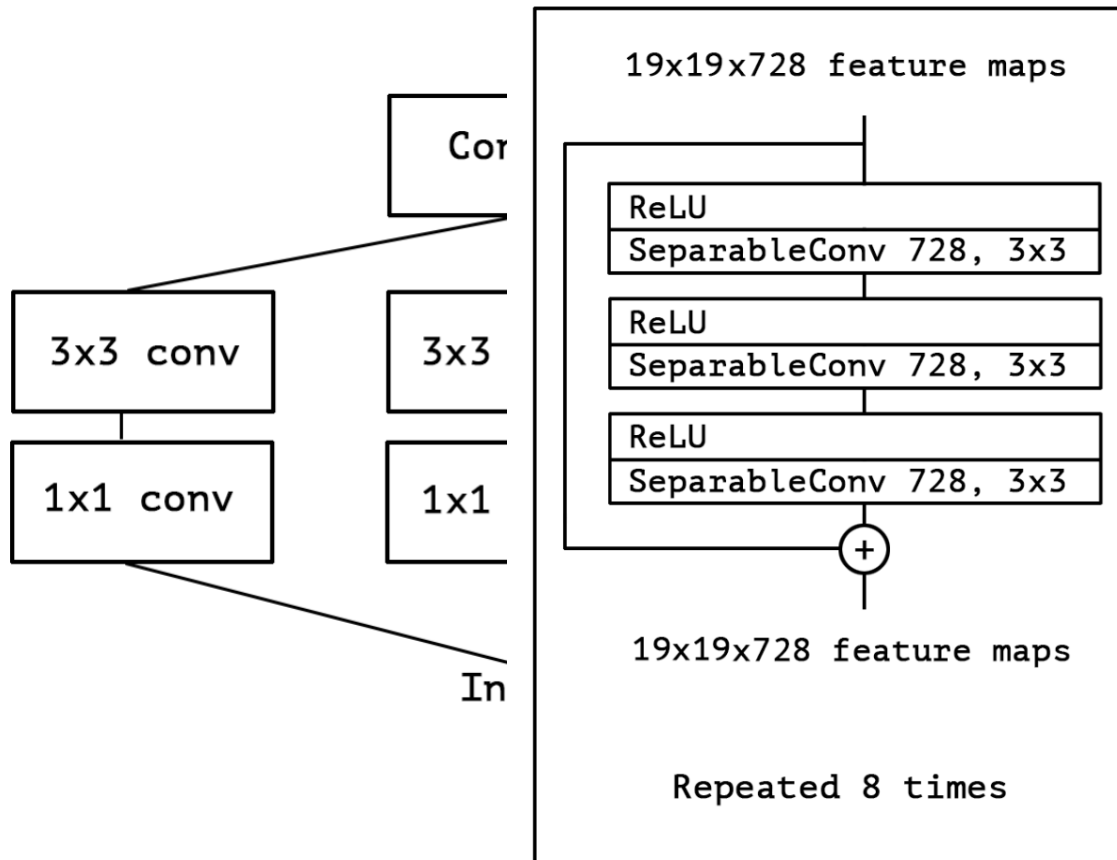
[Sandler et al. 2018](#)

- MobileNetV3: NAS+ NetAdapt

[Howard et al. 2019](#)

Xception

- Extreme Inception – mapping of cross-channels correlations and spatial correlations in the feature maps of convolutional neural networks can be entirely decoupled
- Inception modules replaced by depthwise separable convolutions with residual conn.
- Continuum between regular and depthwise separable convolution

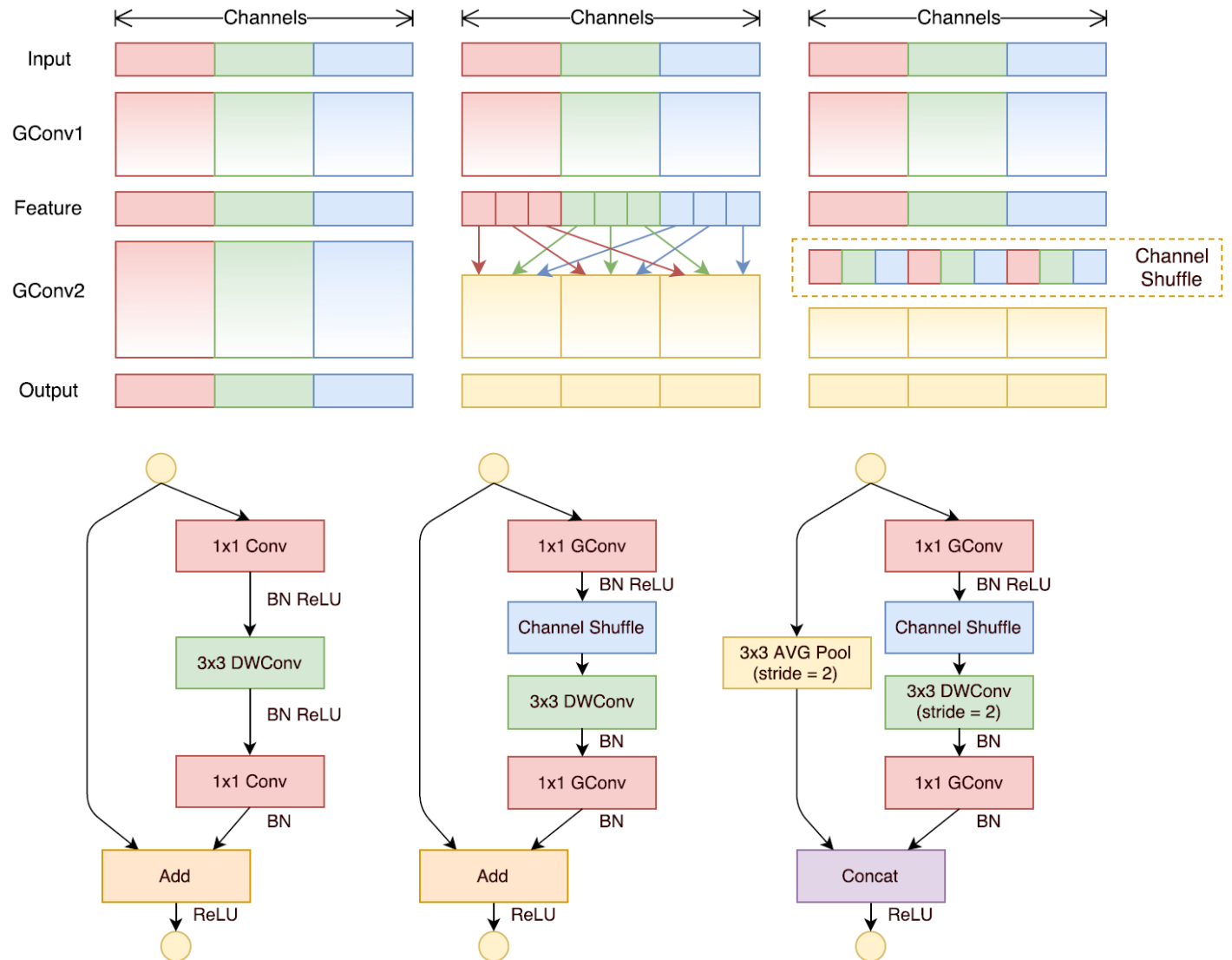


Chollet 2017

ShuffleNet

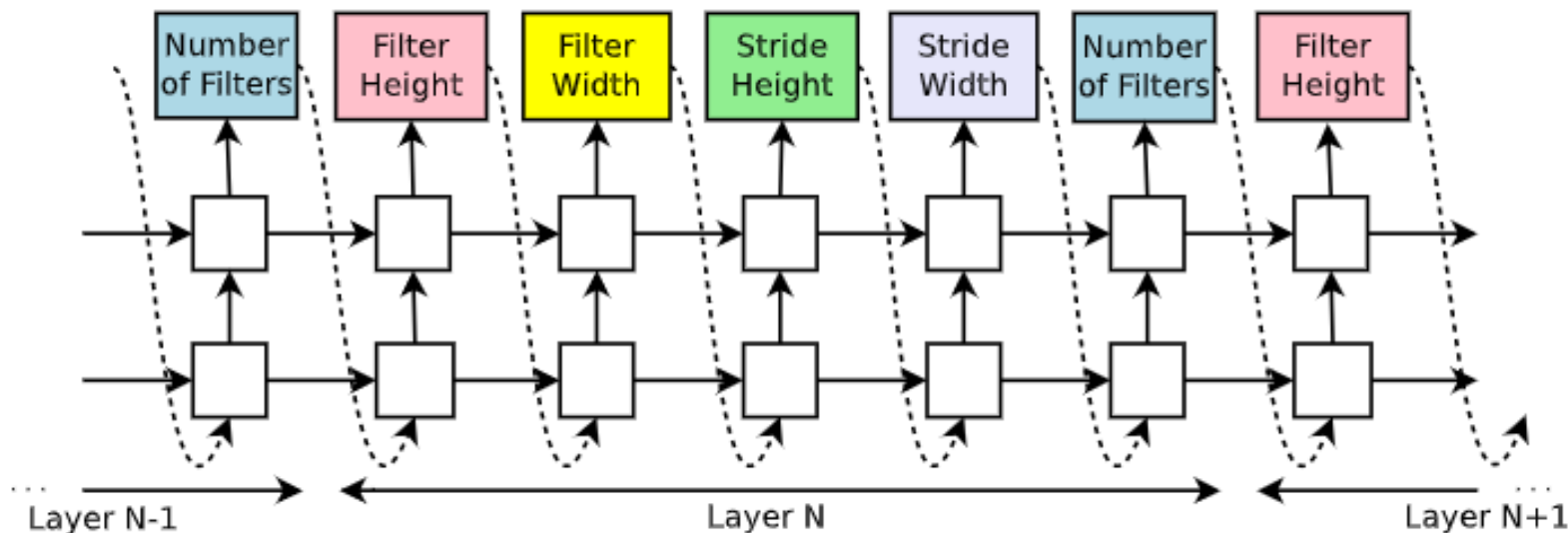
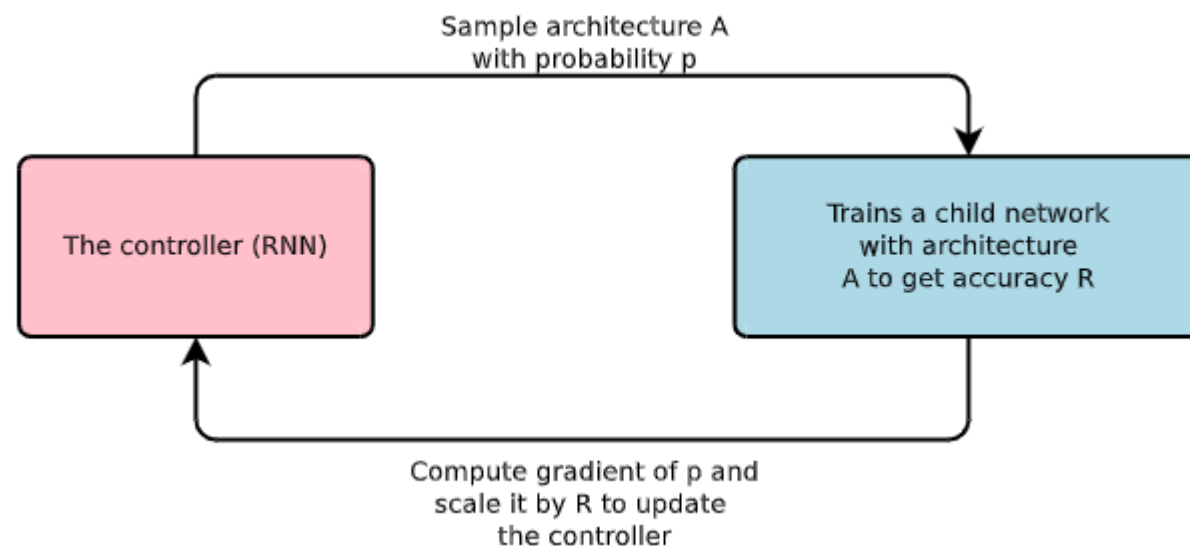
- An Extremely Efficient Convolutional Neural Network for Mobile Devices
- Group convolutions
- Bottleneck units
- Channel shuffle
- Allows using wider feature maps
- 13x faster than AlexNet at the same accuracy
- Typically outperforms other architectures at the same MFLOPS

Zhang et al. 2018



Neural Architecture Search

- Neural architecture search with reinforcement learning
- Recurrent network to generate model descriptions of neural networks
- Maximising the expected accuracy of the generated architectures on a validation set

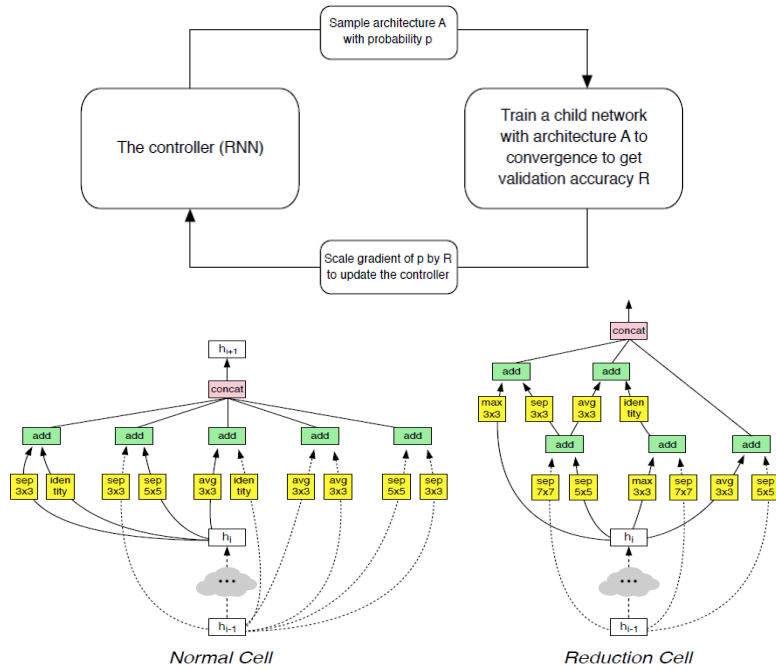


Zoph & Le 2016

Zoph et al. 2017

Neural Architecture Search

- Search the space of architectures to find the optimal one given available resources
- 500 GPUs across 4 days resulting in 2,000 GPU-hours on NVidia P100

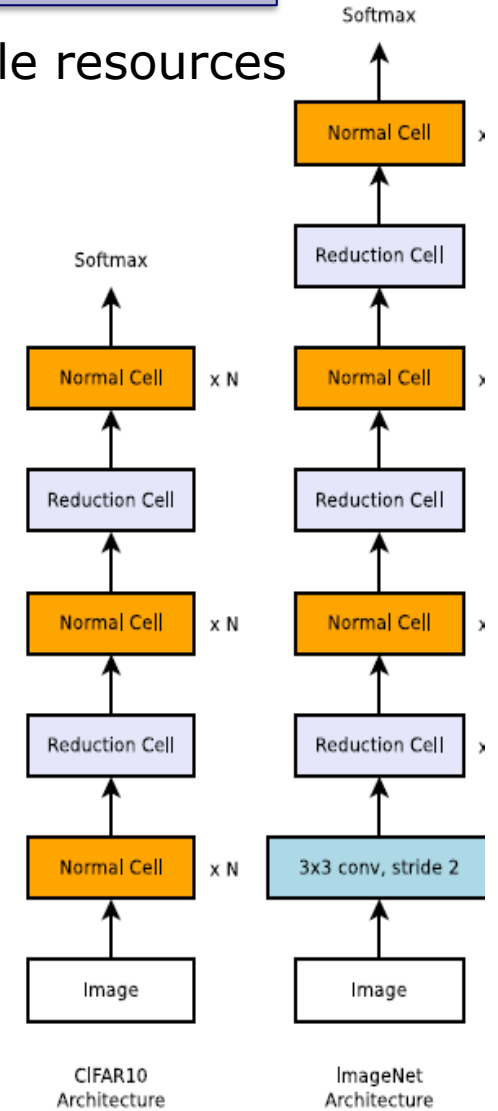
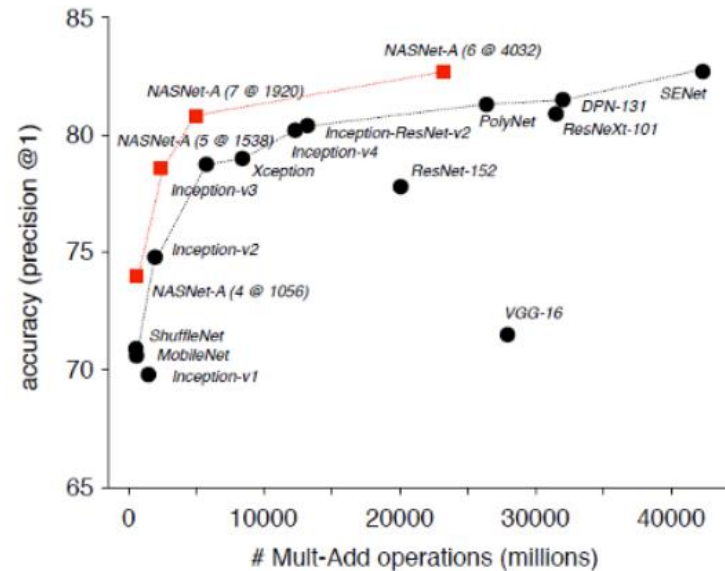


Available operations to select from:

- identity
- 1x7 then 7x1 convolution
- 3x3 average pooling
- 5x5 max pooling
- 1x1 convolution
- 3x3 depthwise-separable conv
- 7x7 depthwise-separable conv
- 1x3 then 3x1 convolution
- 3x3 dilated convolution
- 3x3 max pooling
- 7x7 max pooling
- 3x3 convolution
- 5x5 depthwise-separable conv

Best convolutional cells (NASNet-A) for CIFAR-10

- Other architecture search methods:
 - AmoebaNet, Real et al., 2018
 - MoreMNAS, Chu et. al, 2019, ...



EfficientNet

- Scaling the network in

depth: $d = \alpha^\phi$

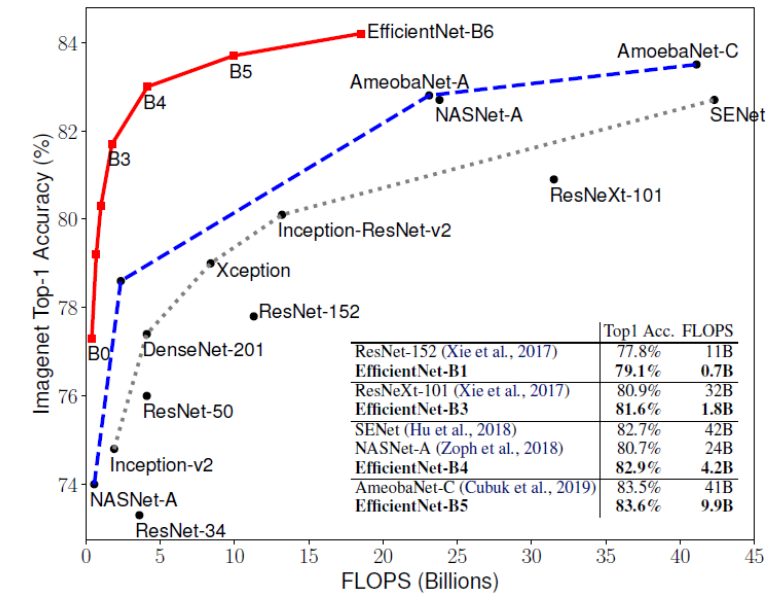
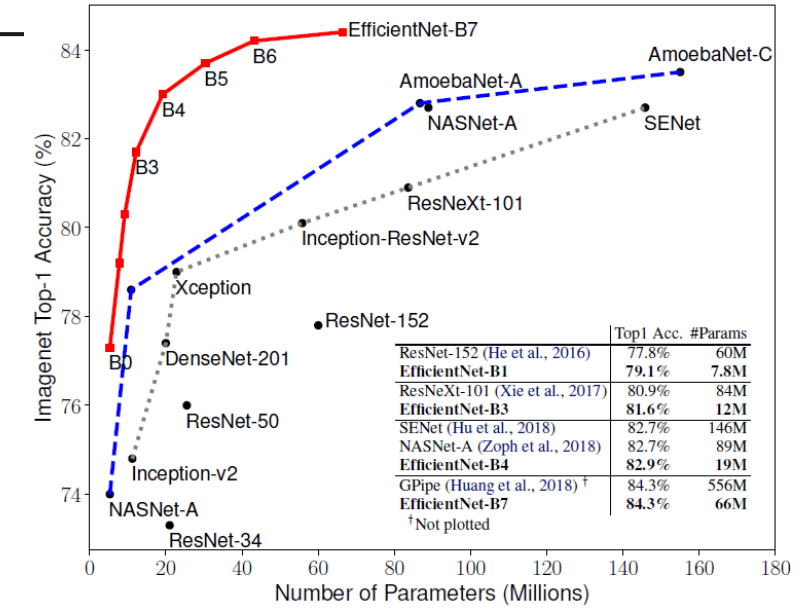
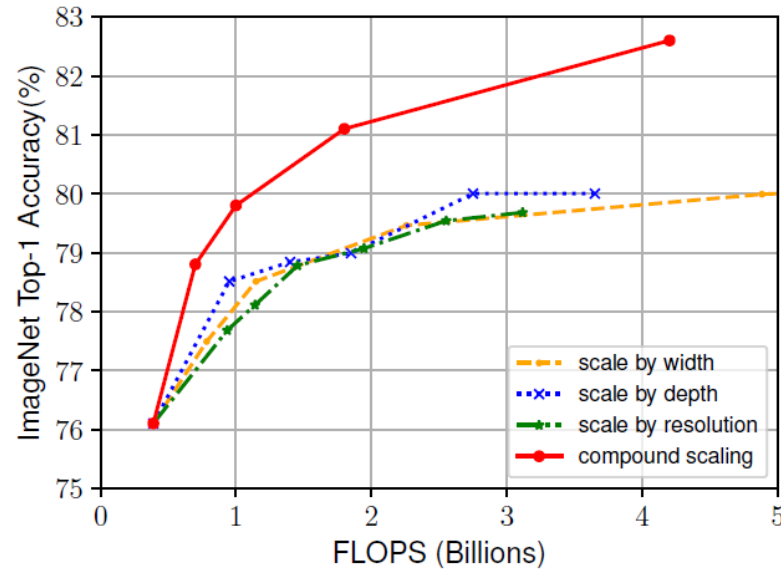
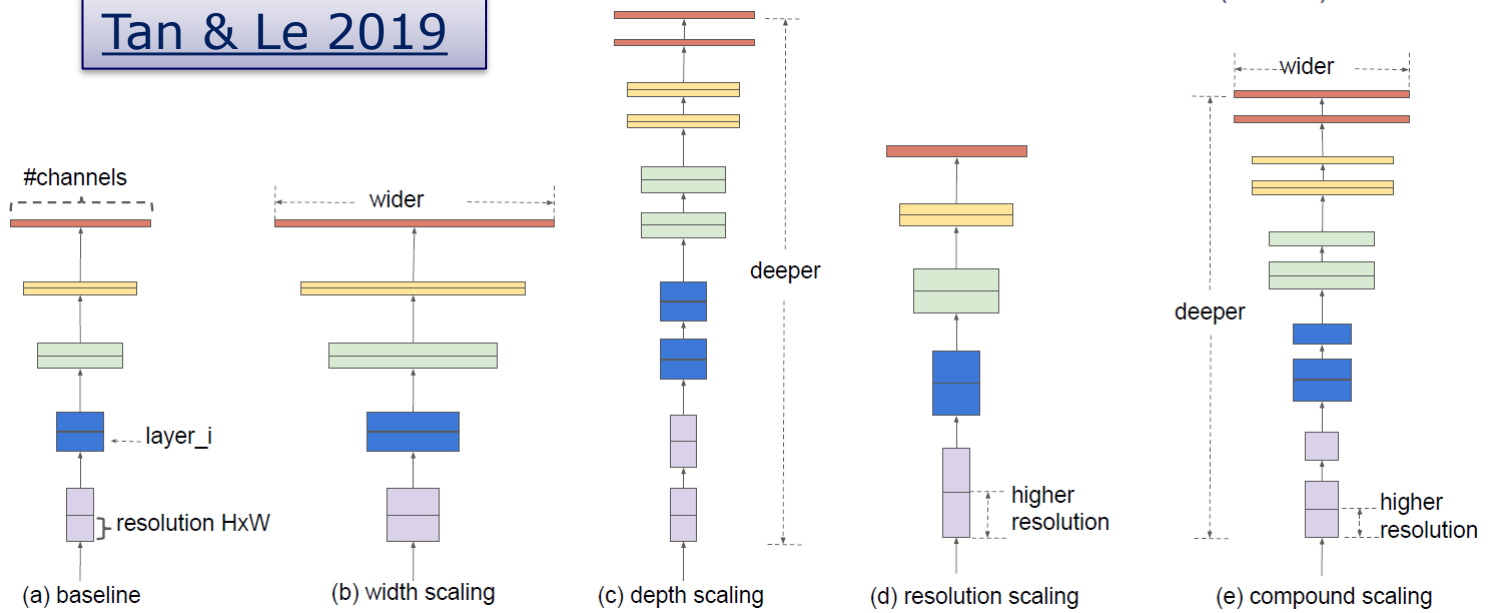
width: $w = \beta^\phi$

resolution: $r = \gamma^\phi$

s.t. $\alpha \cdot \beta^2 \cdot \gamma^2 \approx 2$

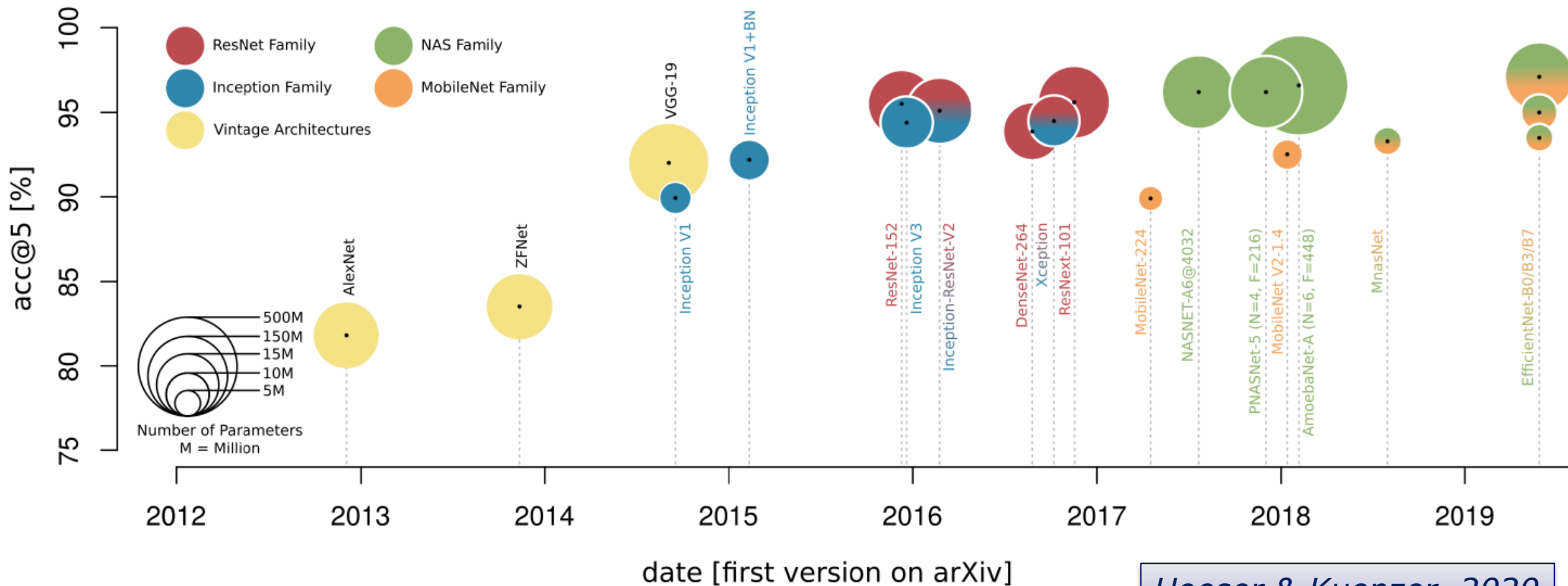
$\alpha \geq 1, \beta \geq 1, \gamma \geq 1$

Tan & Le 2019



Architectures overview

- Date of publication, main type



Hoeser & Kuenzer, 2020

Architectures overview

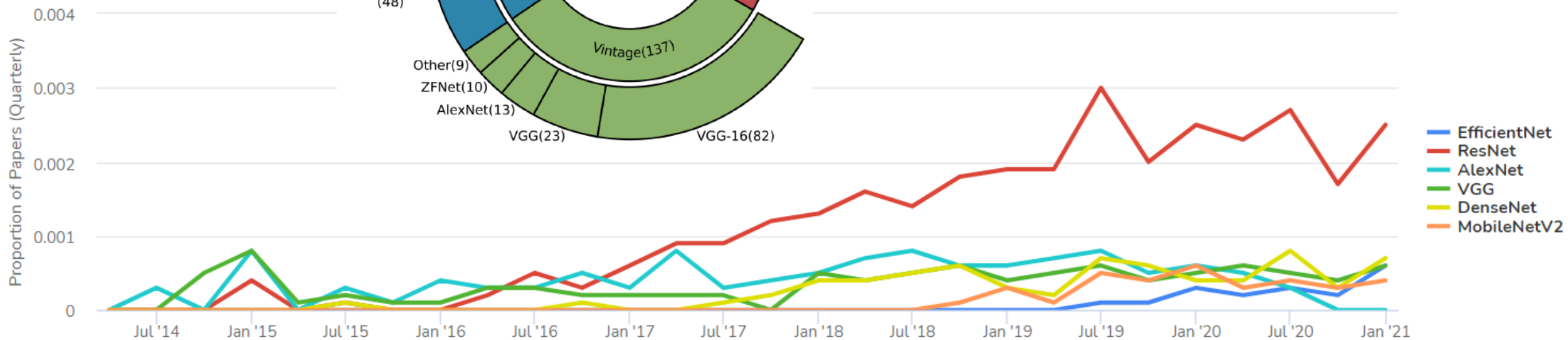
- Main characteristics

Architecture	Year	Bottleneck	Factorisation	Residual	NAS	M Parameters	acc@5 [%]
AlexNet [3]	2012					62	81.8
ZFNet [38]	2013					62	83.5
VGG-19 [39]	2014					144	91.9
Inception-V1 + BN [41]	2015	✓				11	92.2
ResNet-152 [43]	2015	✓		✓		60	95.5
Inception-V3 [42]	2015	✓	✓			24	94.4
DenseNet-264 [46]	2016	✓		✓		34	93.9
Xception [45]	2016	✓	✓	✓		23	94.5
ResNeXt-101 [44]	2016	✓		✓		84	95.6
MobileNet-224 [50]	2017	✓	✓	✓		4.2	89.9
NasNet [49]	2017	✓	✓	✓	✓	89	96.2
MobileNet V2 [108]	2018	✓	✓	✓		6.1	92.5
MnasNet [51]	2018	✓	✓	✓	✓	5.2	93.3
EfficientNet-B7 [52]	2019	✓	✓	✓	✓	66	97.1

Hoeser & Kuenzer, 2020

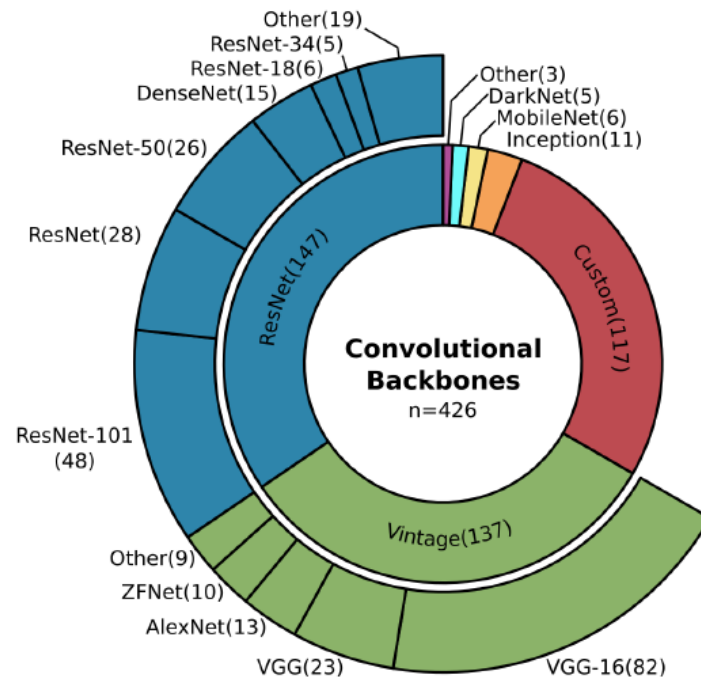
Architectures overview

Usage Over Time



(remote sensing domain)

Hoeser et. al 2020

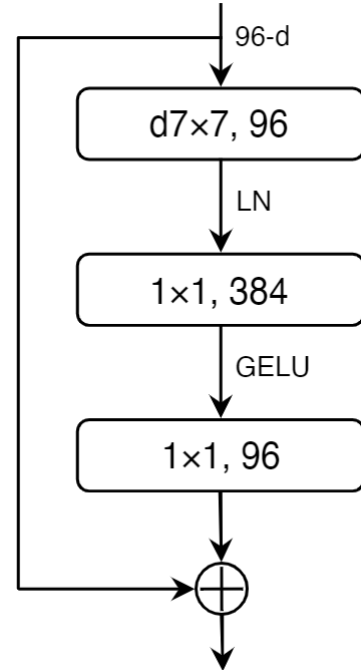
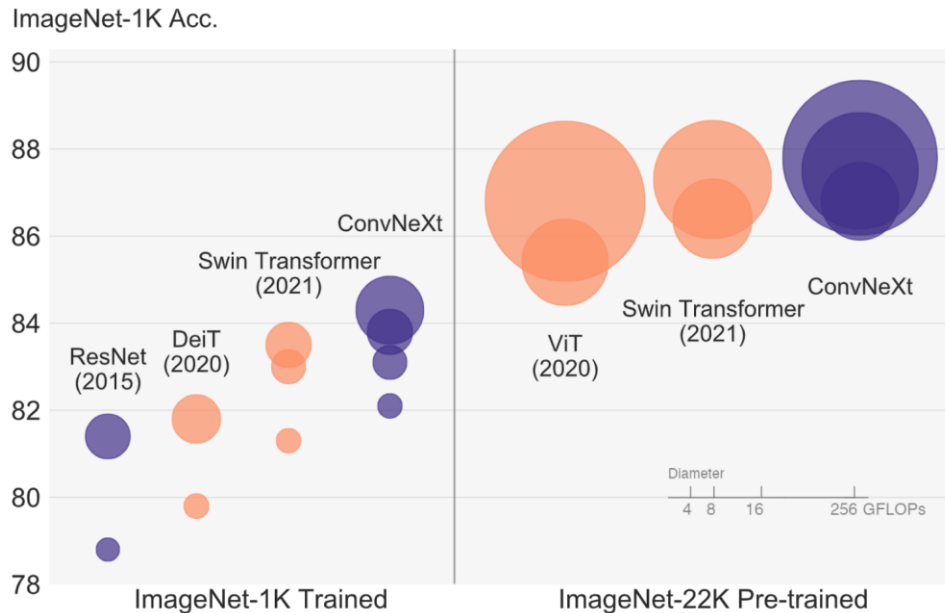
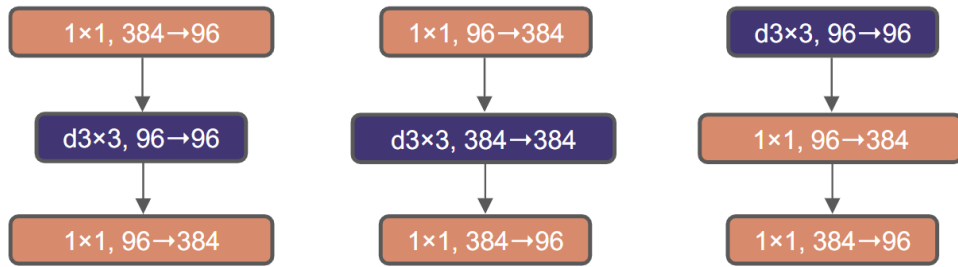


⚠ This feature is experimental; we are continuously improving our matching algorithm.

[paperswithcode.com, 2021]

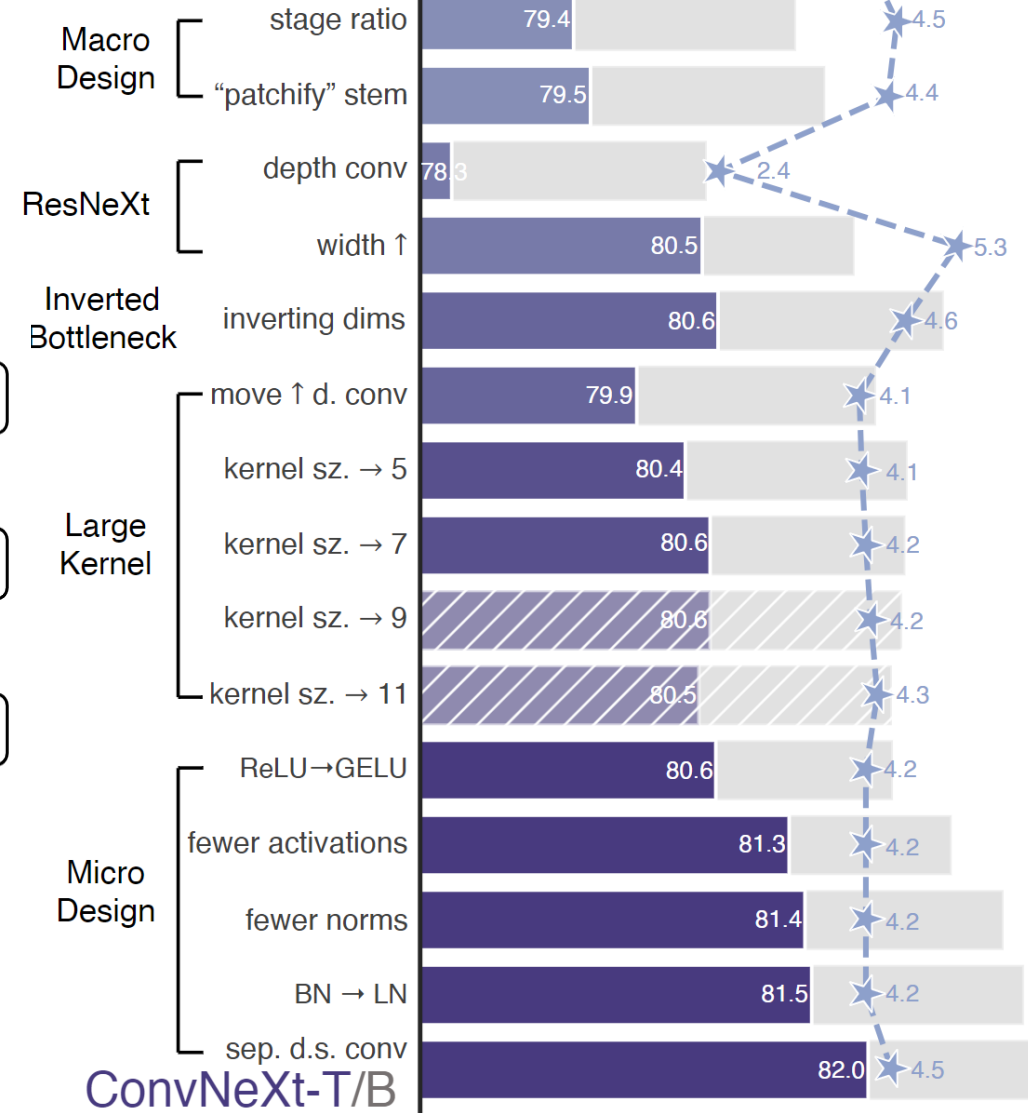
ConvNext

- A ConvNet for the 2020s
- Transformer-inspired modifications of ResNet



Liu et al. 2022

ResNet-50/200



ConvNeXt-T/B

Swin-T/B























Architectures overview

- paperswithcode.com

[paperswithcode.com, 2022]

- Top 20 methods in Convolutional Neural Networks

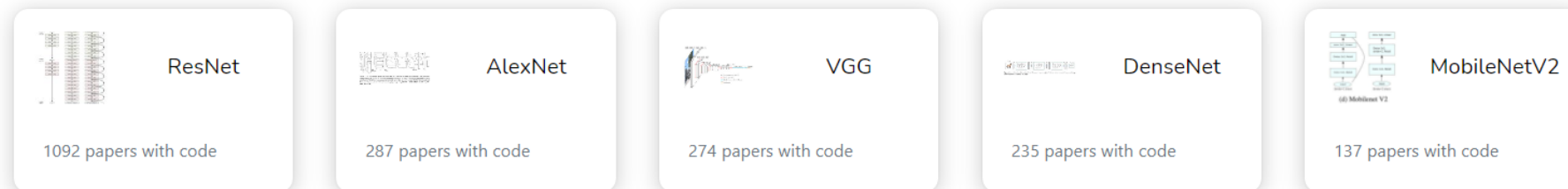
Method	Year	Papers
 ResNet Deep Residual Learning for Image Recognition	2015	1461
 VGG Very Deep Convolutional Networks for Large-Scale Image Recognition	2014	369
 DenseNet Densely Connected Convolutional Networks	2016	300
 AlexNet ImageNet Classification with Deep Convolutional Neural Networks	2012	280
 VGG-16 Very Deep Convolutional Networks for Large-Scale Image Recognition	2014	258
 MobileNetV2 MobileNetV2: Inverted Residuals and Linear Bottlenecks	2018	201
 EfficientNet EfficientNet: Rethinking Model Scaling for Convolutional Neural Networks	2019	154
 Darknet-53 YOLOv3: An Incremental Improvement	2018	142
 ResNeXt Aggregated Residual Transformations for Deep Neural Networks	2016	120
 GoogLeNet Going Deeper with Convolutions	2014	119

 Xception Xception: Deep Learning With Depthwise Separable Convolutions	2017	94
 SqueezeNet SqueezeNet: AlexNet-level accuracy with 50x fewer parameters and <0.5MB model size	2016	71
 Inception-v3 Rethinking the Inception Architecture for Computer Vision	2015	67
 CSPDarknet53 YOLOv4: Optimal Speed and Accuracy of Object Detection	2020	46
 MobileNetV1 MobileNets: Efficient Convolutional Neural Networks for Mobile Vision Applications	2017	44
 LeNet	1998	44
 Darknet-19 YOLO9000: Better, Faster, Stronger	2016	44
 WideResNet Wide Residual Networks	2016	42
 ShuffleNet ShuffleNet: An Extremely Efficient Convolutional Neural Network for Mobile Devices	2017	36
 MobileNetV3 Searching for MobileNetV3	2019	34

Architectures overview

Image Models

[paperswithcode.com, 2021]



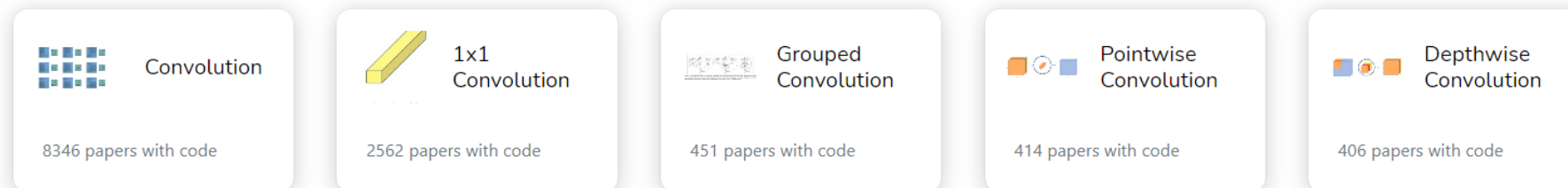
▶ See all 102 methods

Image Model Blocks



▶ See all 79 methods

Convolutions



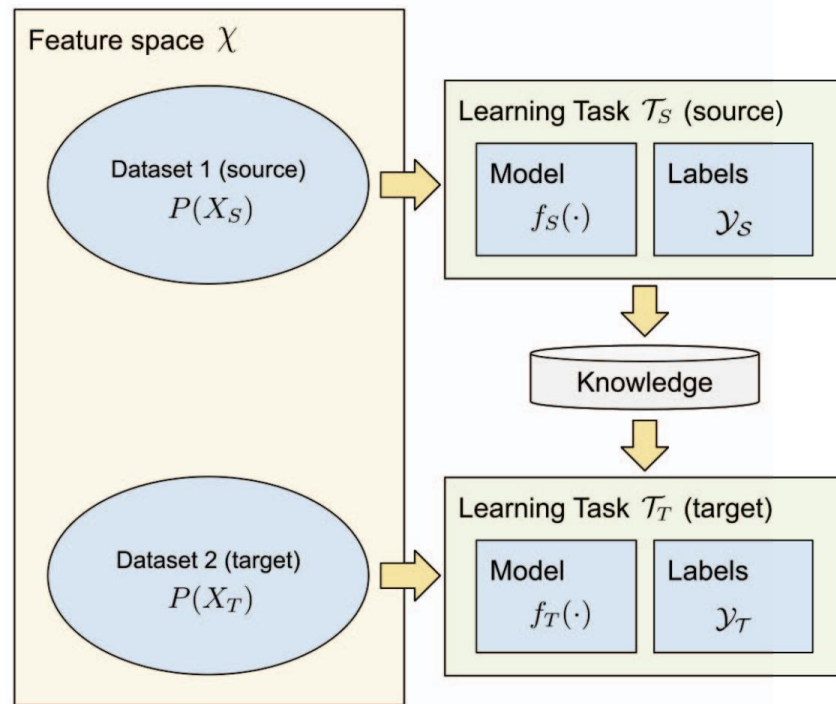
▶ See all 35 methods

Pretrained models

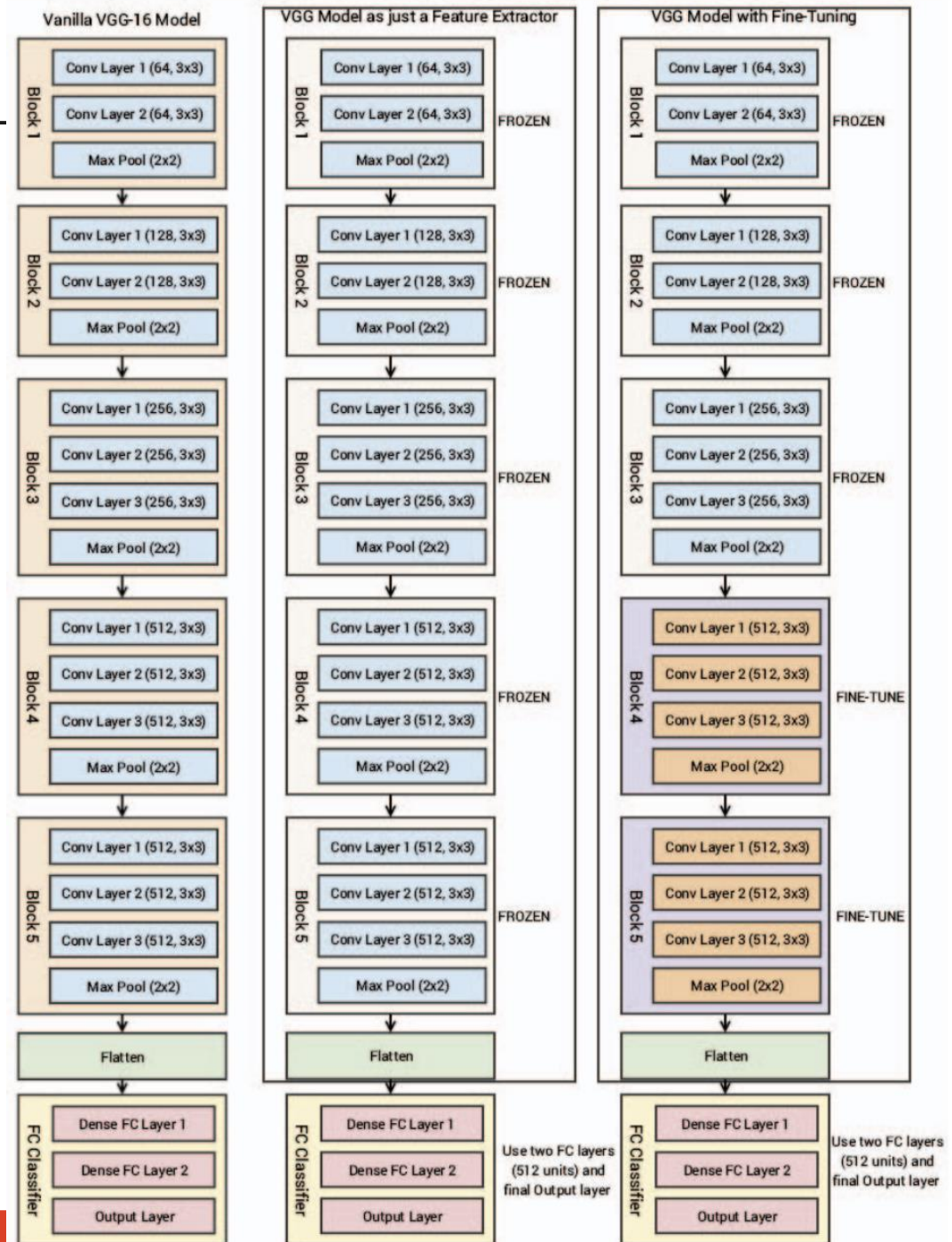
```
import torchvision.models as models
resnet18 = models.resnet18(pretrained=True)
alexnet = models.alexnet(pretrained=True)
squeezenet = models.squeezenet1_0(pretrained=True)
vgg16 = models.vgg16(pretrained=True)
densenet = models.densenet161(pretrained=True)
inception = models.inception_v3(pretrained=True)
googlenet = models.googlenet(pretrained=True)
shufflenet = models.shufflenet_v2_x1_0(pretrained=True)
mobilenet_v2 = models.mobilenet_v2(pretrained=True)
mobilenet_v3_large = models.mobilenet_v3_large(pretrained=True)
mobilenet_v3_small = models.mobilenet_v3_small(pretrained=True)
resnext50_32x4d = models.resnext50_32x4d(pretrained=True)
wide_resnet50_2 = models.wide_resnet50_2(pretrained=True)
mnasnet = models.mnasnet1_0(pretrained=True)
```

Transfer learning

- Train on a large related dataset
- Fine-tune on the target dataset
- Heavily used



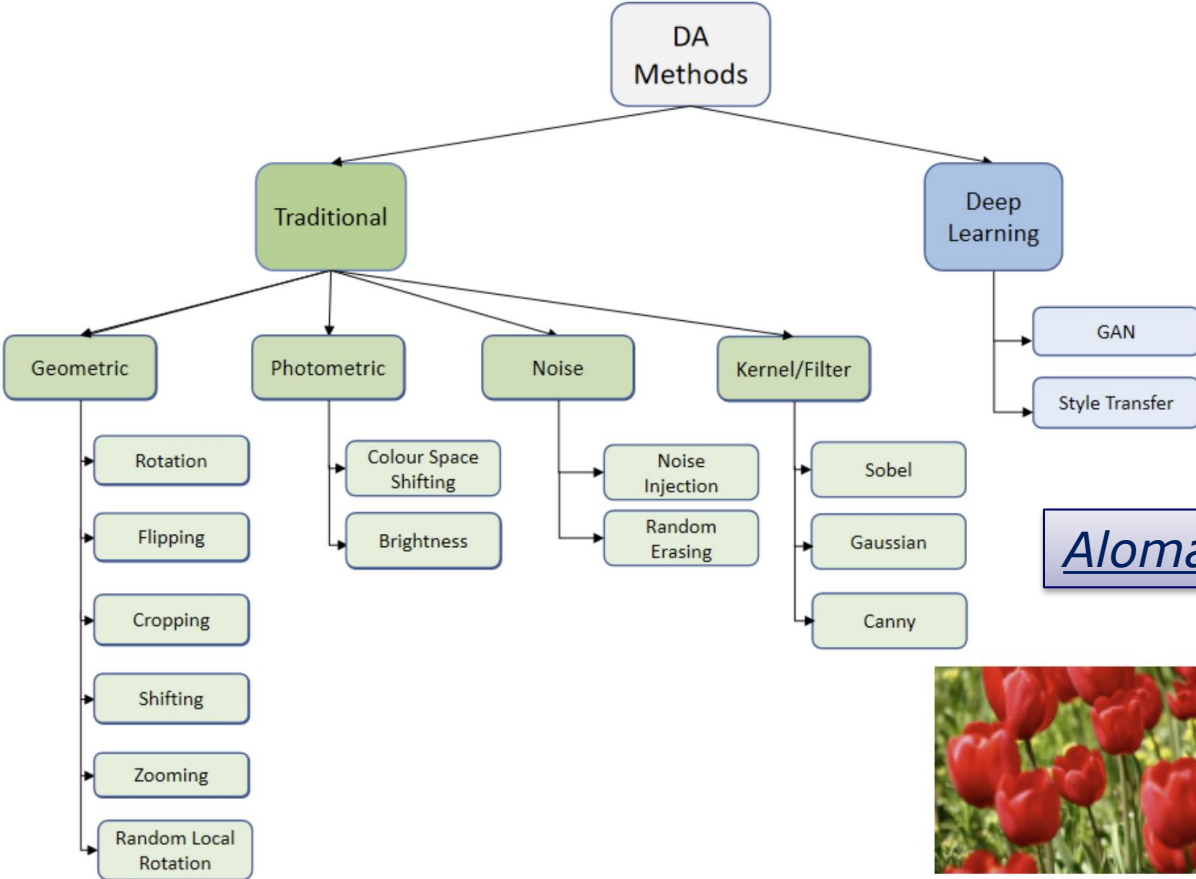
Ribani & Marengoni 2019



Use two FC layers (512 units) and final Output layer

Use two FC layers (512 units) and final Output layer

Data augmentation

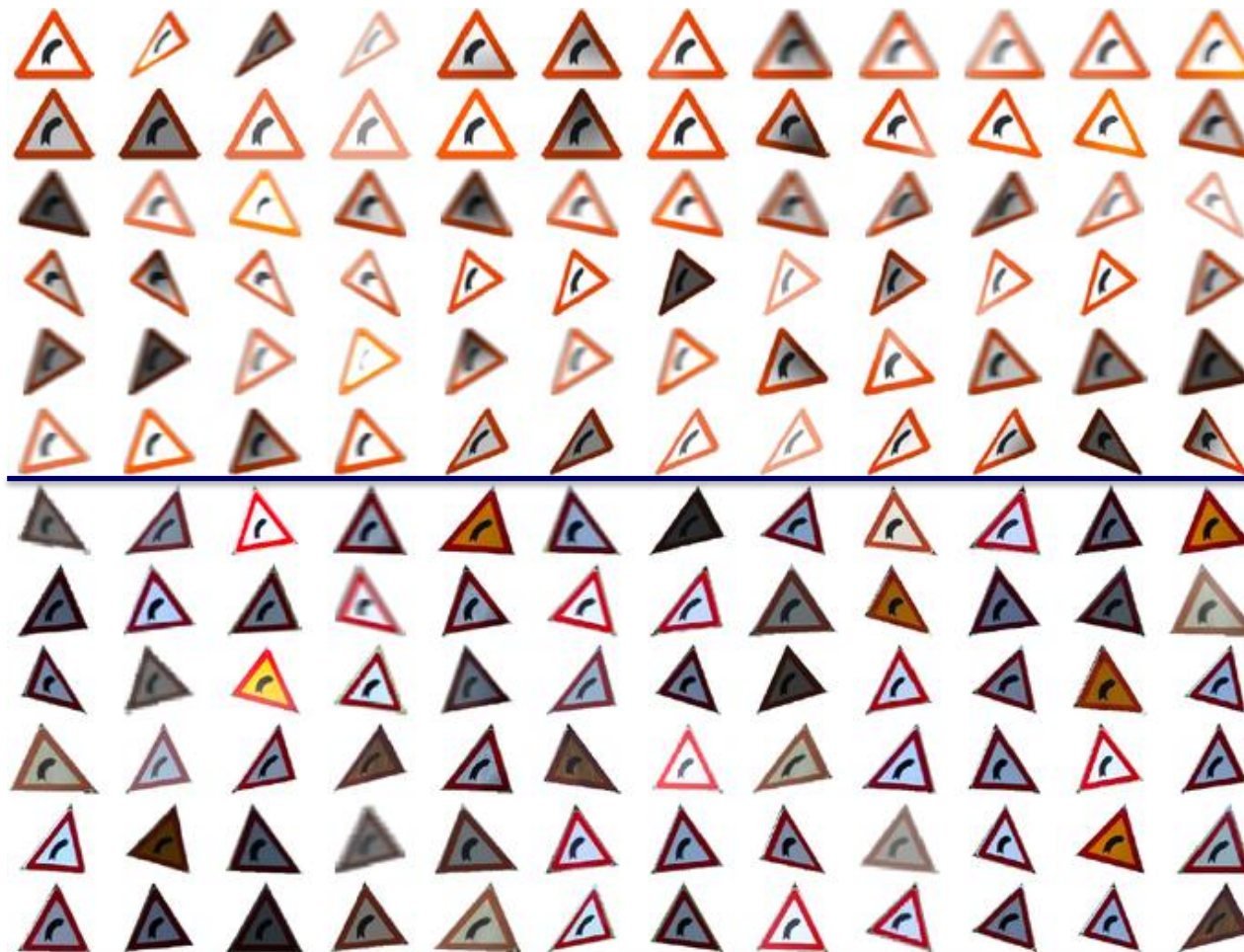


Alomar et al., 2023



Data augmentation

- Any meaningful transformations
- Random mix/combinations of :
 - translation
 - rotation
 - stretching
 - shearing,
 - lens distortions, ...
- Distribution of augmented images (features, parameters) should correspond to the distribution of original training images!
- The augmented images could be generated in advance or on the fly during training
- Simple to implement, use it!
- Especially useful for small datasets
- Kind of regularisation

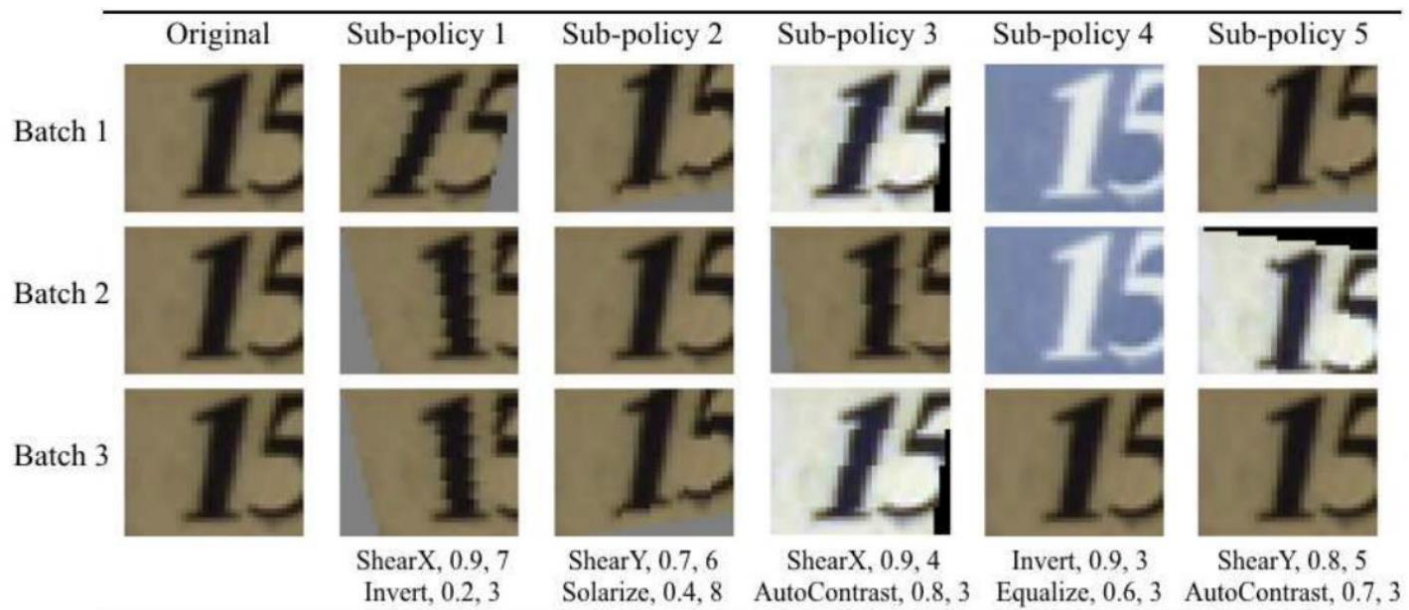


Tabernik & Skočaj, 2020

Automatic Data Augmentation - AutoAugment

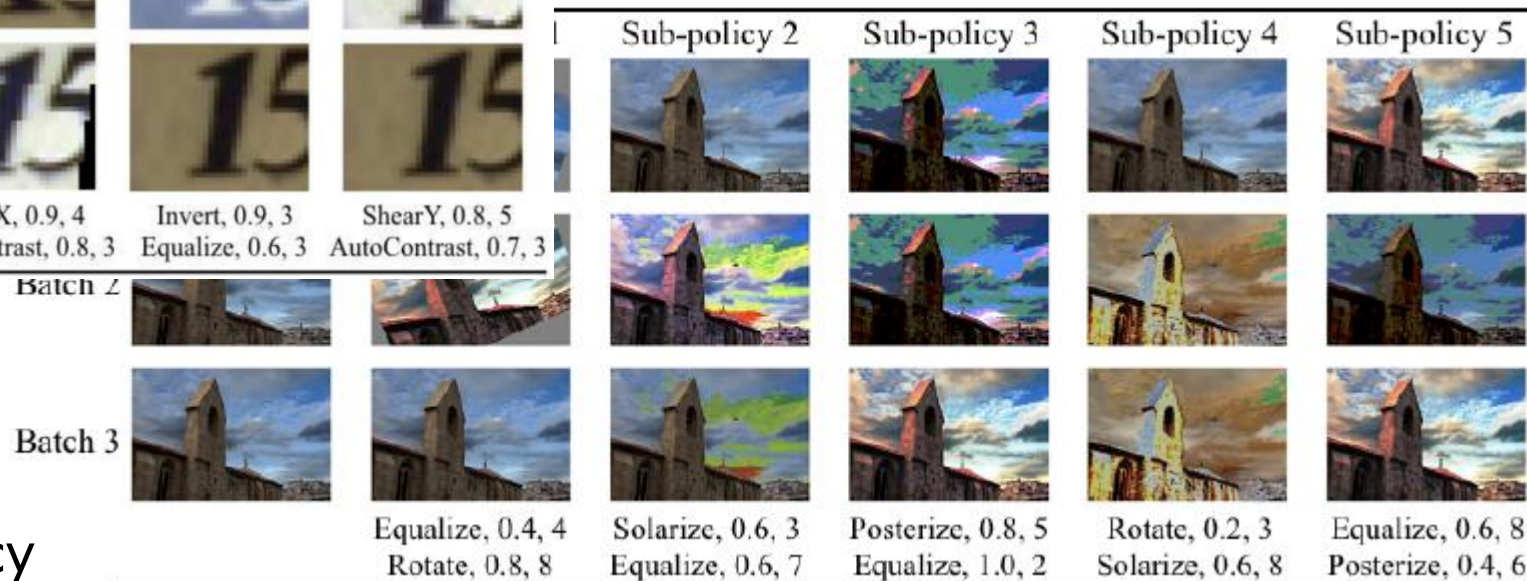
Cubuk et. al 2018

- Trained like Neural architecture search
 - Search for optimal augmentation parameters (operations; probability, magnitude)
- Proximal Policy Optimization Algorithms



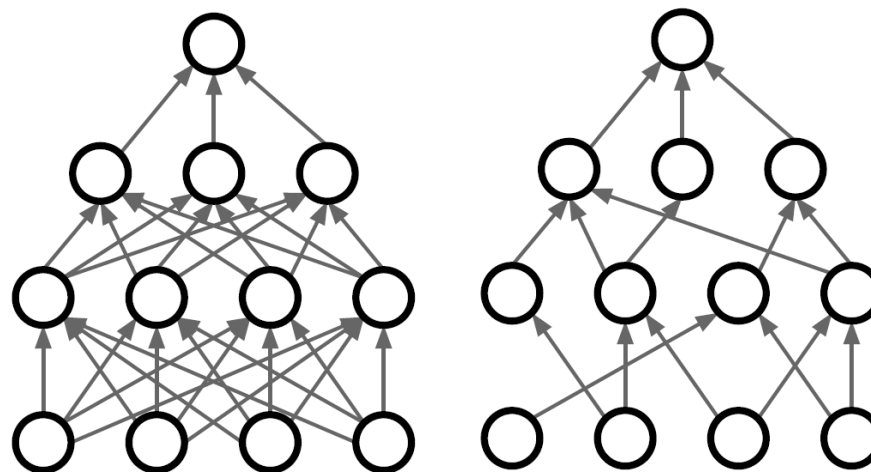
Dataset	GPU hours	Best published results	Our results
CIFAR-10	5000	2.1	1.5
CIFAR-100	0	12.2	10.7
SVHN	1000	1.3	1.0
Stanford Cars	0	5.9	5.2
ImageNet	15000	3.9	3.5

- Learning Augmentation Strategies from Data
- Transfer learning -> transfer augmentation policy

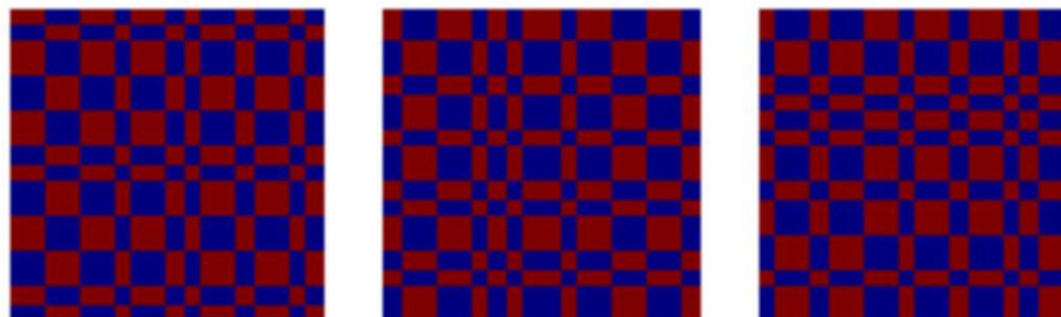


Regularisation

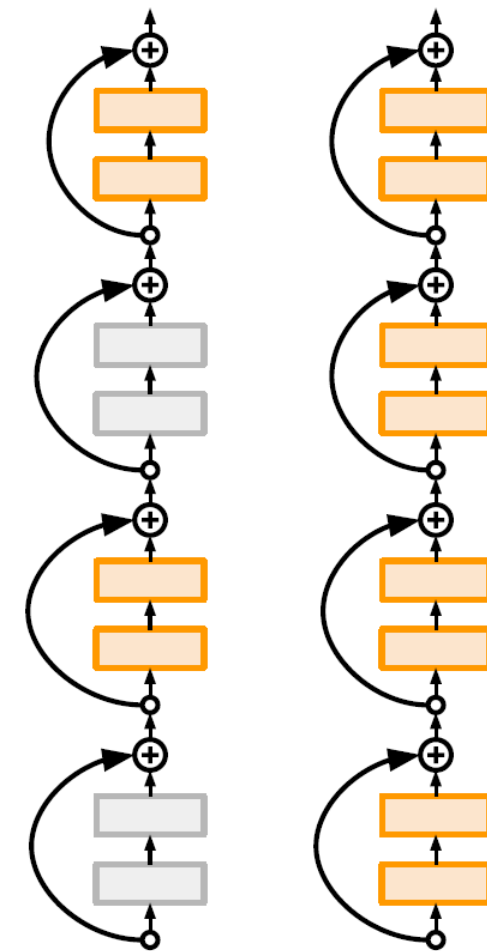
- Data Augmentation
- L2 regularisation
- Dropout
- Batch Normalization
- DropConnect
- Fractional Max Pooling
- Stochastic Depth
- Cutout / Random Crop
- Mixup



Wan et. al 2013



Graham et. al 2014

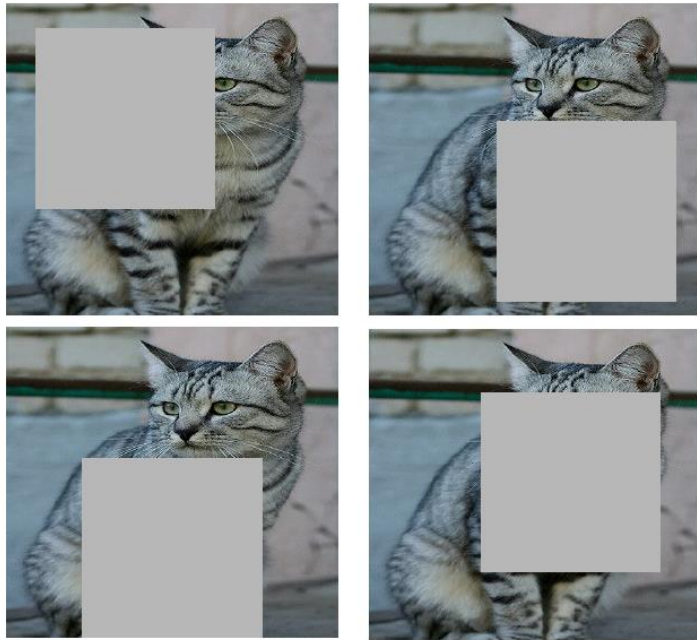


Huang et. al 2016

Regularisation: Cutout

- Randomly mask image regions

DeVries & Taylor, 2017

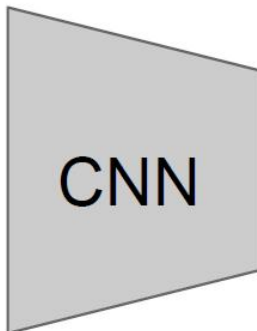


Method	C10	C10+	C100	C100+	SVHN
ResNet18 [5]	10.63 ± 0.26	4.72 ± 0.21	36.68 ± 0.57	22.46 ± 0.31	-
ResNet18 + cutout	9.31 ± 0.18	3.99 ± 0.13	34.98 ± 0.29	21.96 ± 0.24	-
WideResNet [22]	6.97 ± 0.22	3.87 ± 0.08	26.06 ± 0.22	18.8 ± 0.08	1.60 ± 0.05
WideResNet + cutout	5.54 ± 0.08	3.08 ± 0.16	23.94 ± 0.15	18.41 ± 0.27	1.30 ± 0.03
Shake-shake regularization [4]	-	2.86	-	15.85	-
Shake-shake regularization + cutout	-	2.56 ± 0.07	-	15.20 ± 0.21	-

Regularisation: Mixup

- Blend two images and labels

Zhang et. al 2018



Target label:
cat: 0.4
dog: 0.6

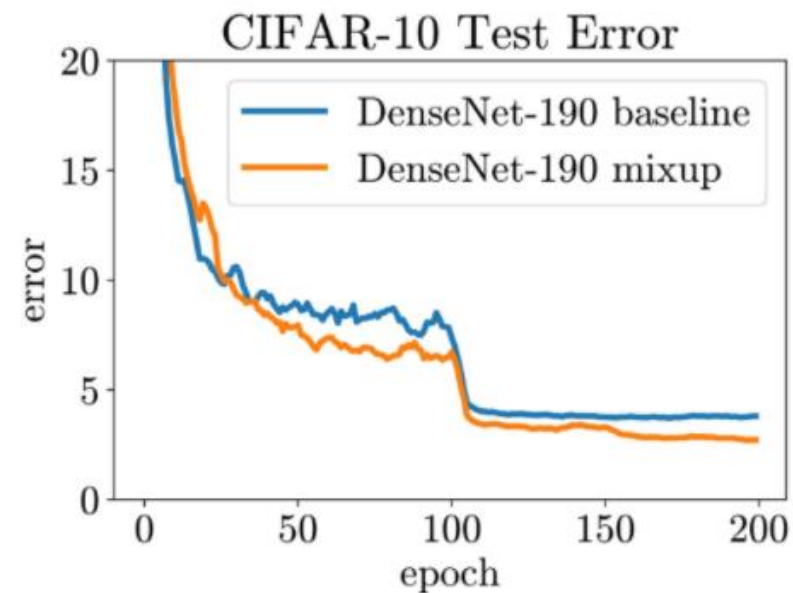


Randomly blend the pixels of pairs of training images, e.g. 40% cat, 60% dog

$$\hat{x} = \lambda x_i + (1 - \lambda)x_j$$

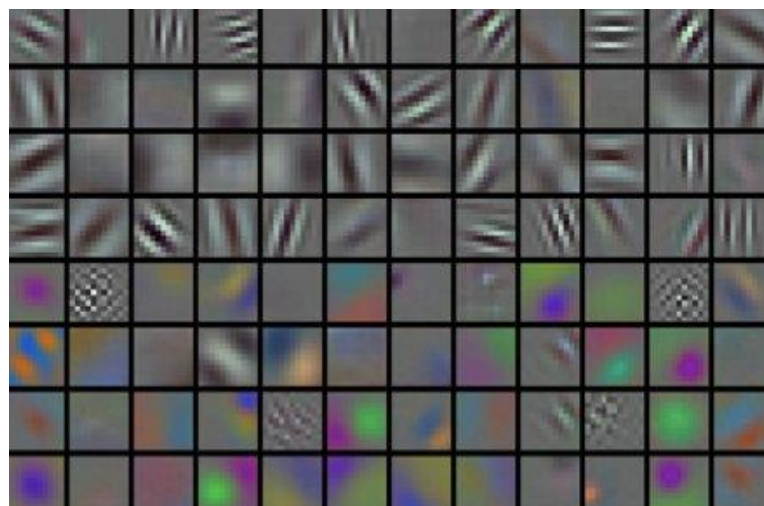
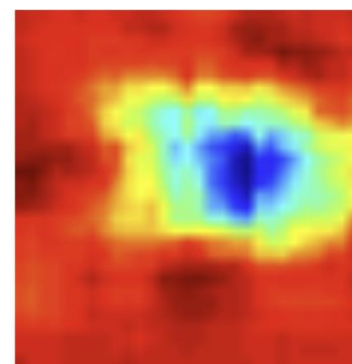
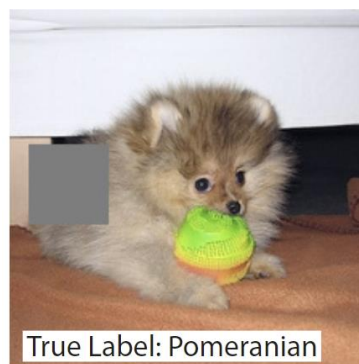
$$\lambda \sim \text{Beta}(\alpha = 0.2)$$

$$\hat{y} = \lambda y_i + (1 - \lambda)y_j$$



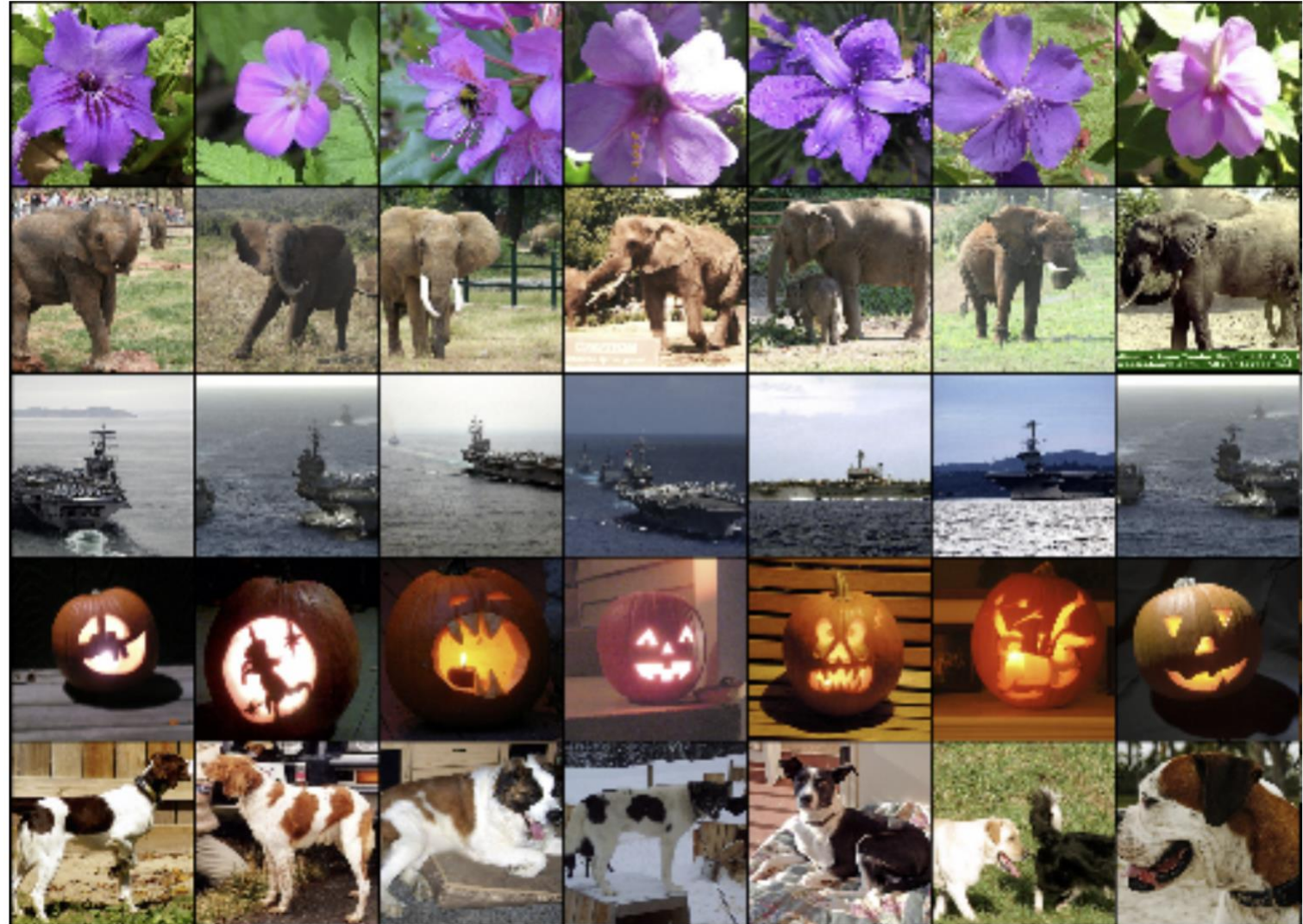
Explainability of CNNs

- Visualising filters and semantically similar images
- Dimensionality reduction
- Maximally activating patches
- Visualising activations
- Deconvolution
- Guided backpropagation
- Occlusion sensitivity, LIME
- Class activation maps and Grad-CAM



Visualising filters and images

- Visualising filters on the first layer



- Images with similar embedding (last layer features)

[Krizhevsky, 2012](#)

t-SNE visualization of CNN codes

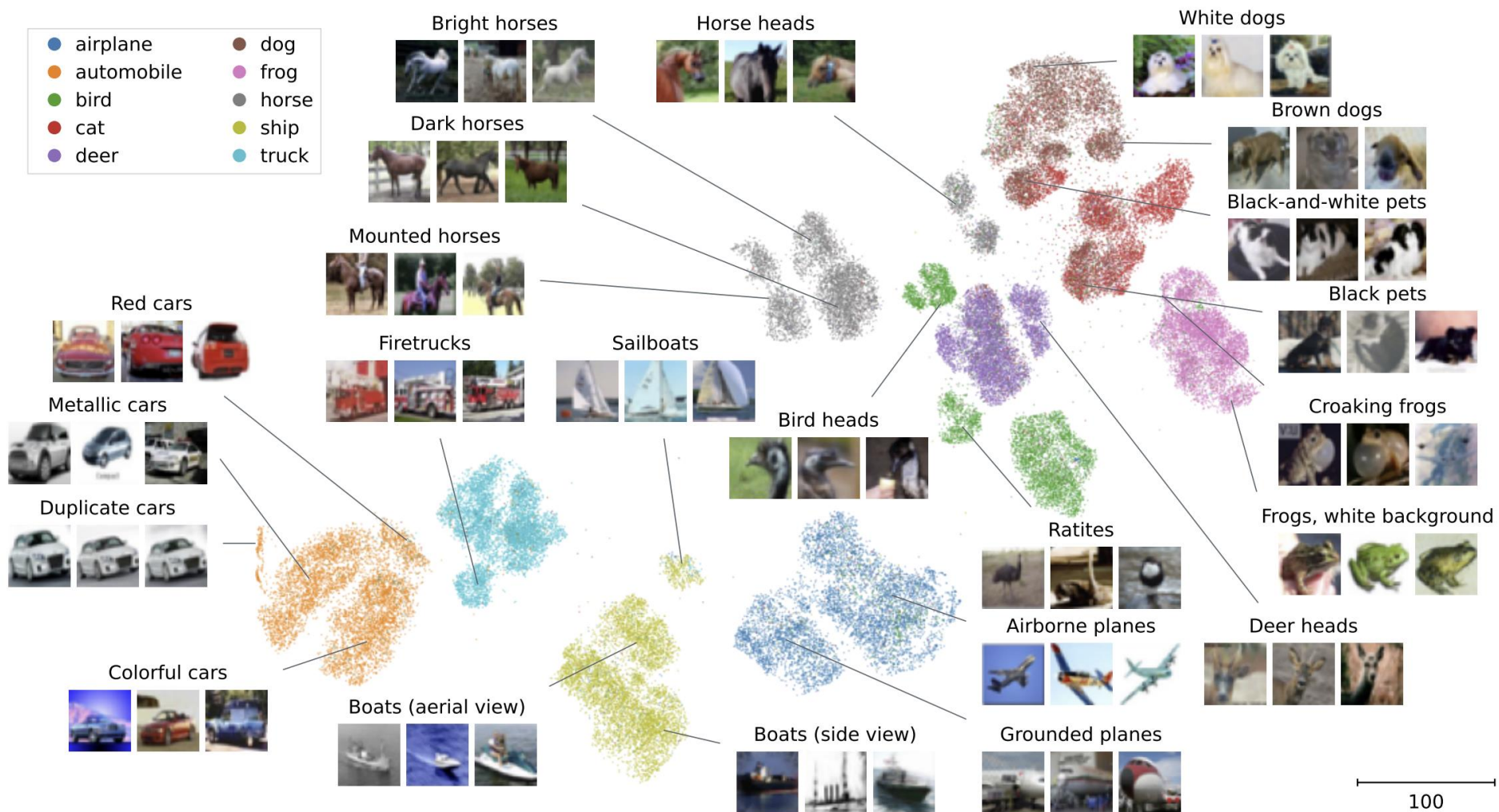
van der Maaten, 2013

Karpathy, 2014



- Unsupervised visualisation using contrastive learning

Bohm et al., 2023



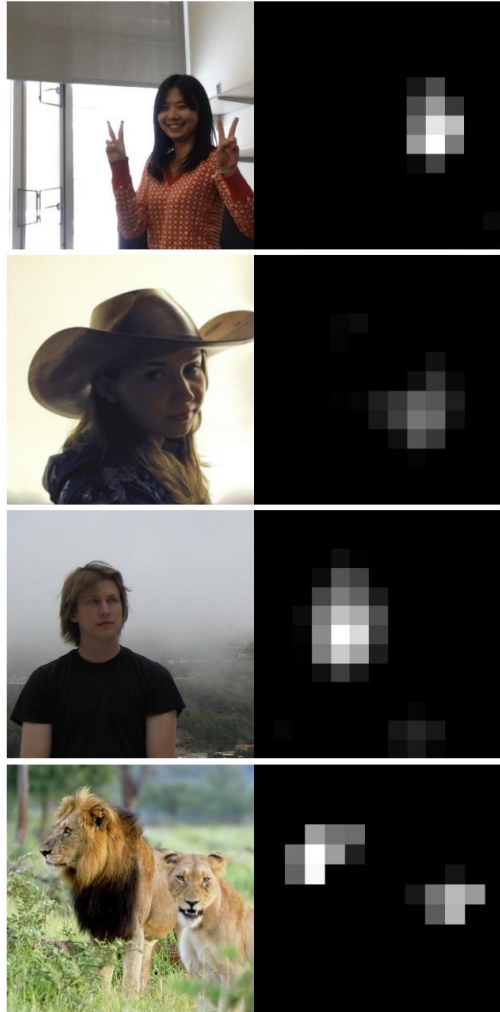
Maximally Activating Patches

- Patches in the images that activate a particular neuron at a particular layer most



Springenberg et al., 2015

Visualising activations



conv1 p1 n1 conv2 p2 n2 conv3 conv4 conv5 p5 fc6 fc7 fc8 prob

1.00 school bus
0.00 minibus
0.00 passenger car
0.00 trolleybus
0.00 moving van

fwd conv1_0 | Back: off | Boost: 0/1

Yosinsky et al., 2015

Deconvolution

Zeiler & Fergus, 2013



Layer 1



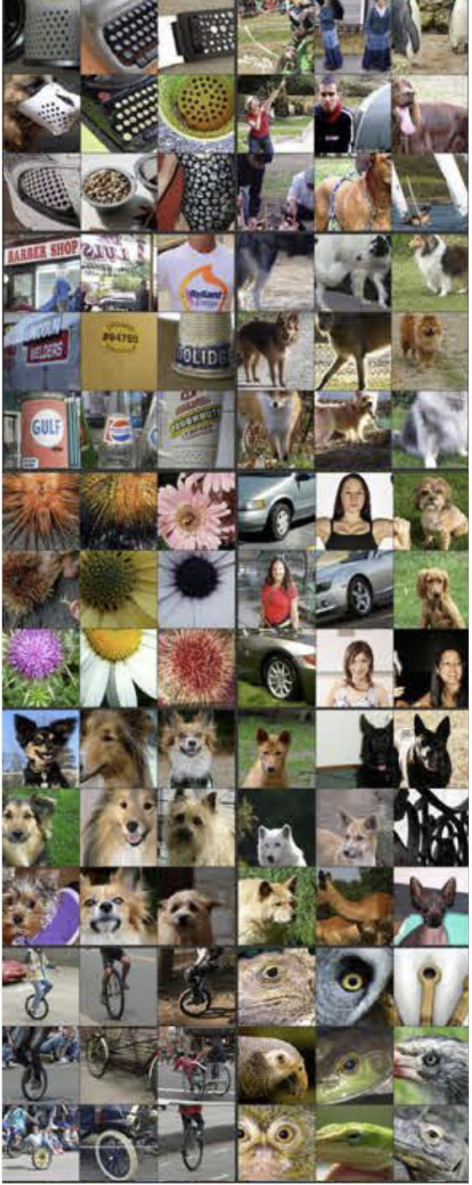
Layer 3



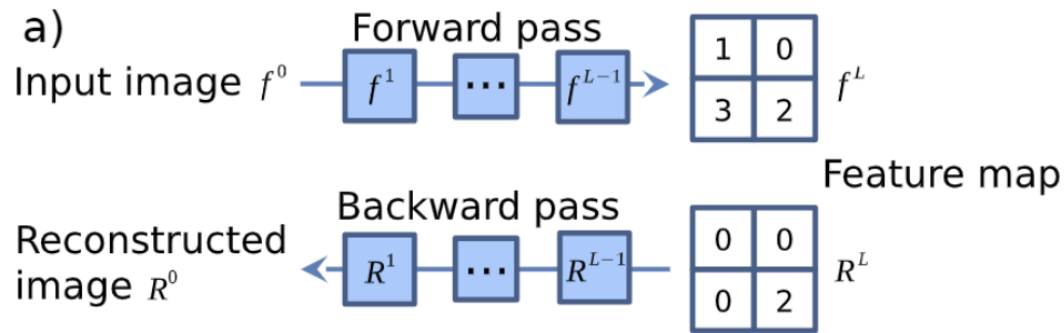
Layer 4



Layer 5



- Backpropagate to the image

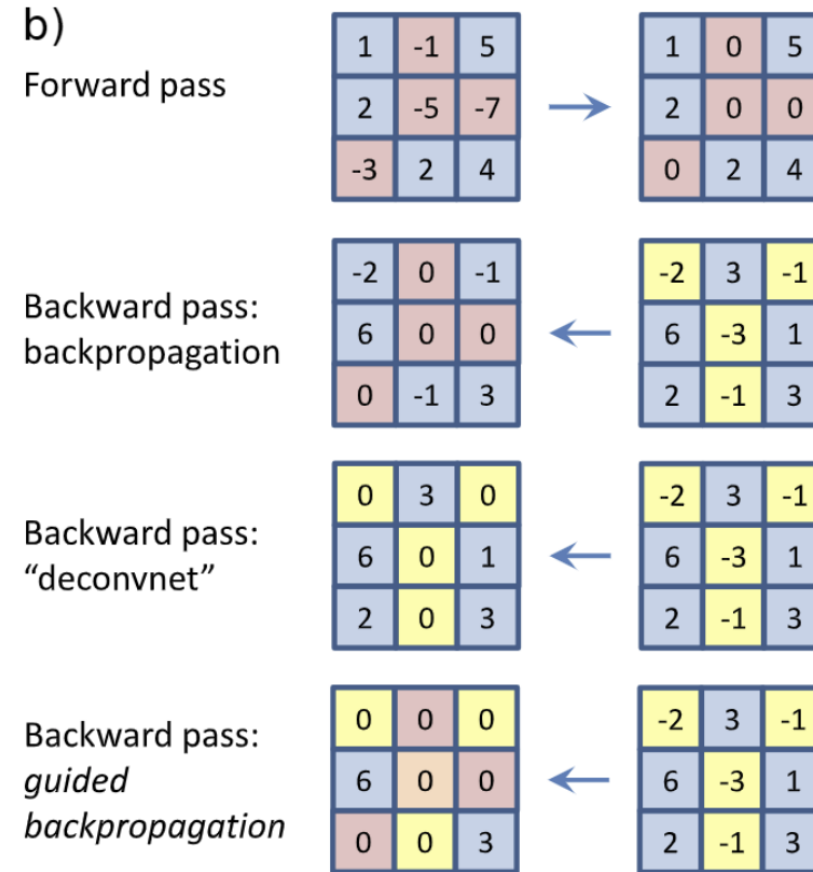


c) activation: $f_i^{l+1} = \text{relu}(f_i^l) = \max(f_i^l, 0)$

backpropagation: $R_i^l = (f_i^l > 0) \cdot R_i^{l+1}$, where $R_i^{l+1} = \frac{\partial f^{out}}{\partial f_i^{l+1}}$

backward 'deconvnet': $R_i^l = (R_i^{l+1} > 0) \cdot R_i^{l+1}$

guided backpropagation: $R_i^l = (f_i^l > 0) \cdot (R_i^{l+1} > 0) \cdot R_i^{l+1}$



Guided backpropagation

Springenberg et al., 2015

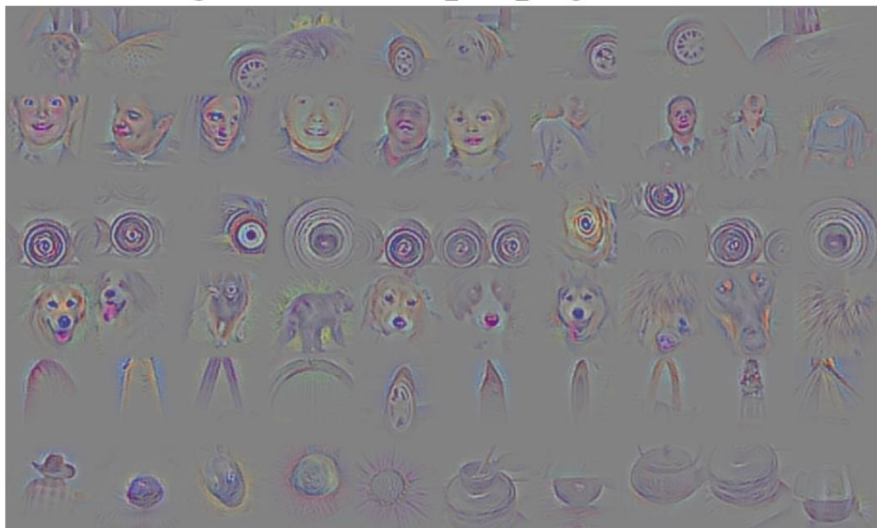
guided backpropagation



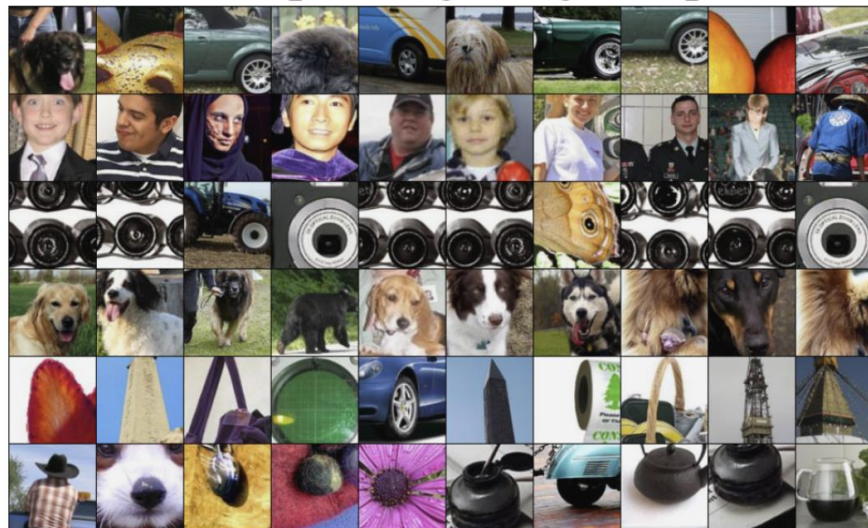
corresponding image crops



guided backpropagation

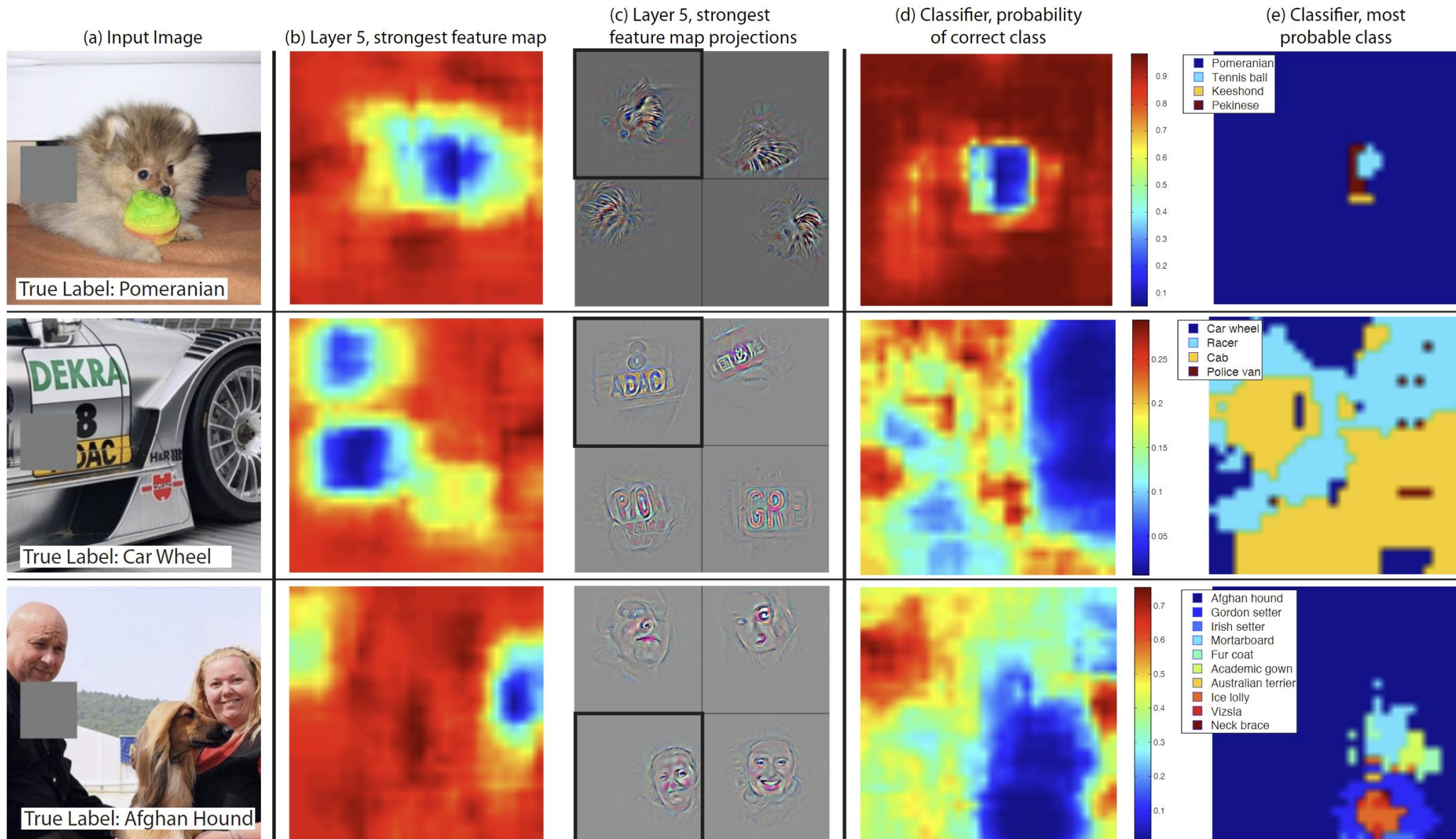


corresponding image crops



Occlusion Sensitivity

Zeiler & Fergus, 2013



LIME

- Local Interpretable Model agnostic Explanations

Ribeiro et al., 2016



1.



2.



$m = [1, 1, 1, 0, \dots, 0]$
 $y = [0.516, 0.233, 0.123, \dots, 0.057]$

...



$m = [1, 1, 0, 0, \dots, 0]$
 $y = [0.812, 0.031, 0.123, \dots, 0.017]$



$m = [0, 1, 1, 0, \dots, 1]$
 $y = [0.312, 0.431, 0.023, \dots, 0.057]$

3.

M

0,1,1,0 ... 1
 1,1,0,0 ... 0
 ...
 ...
 ...
 1,1,1,0 ... 0

Y

0.312, 0.431, 0.023 ... 0.057
 0.812, 0.031, 0.123 ... 0.017
 ...
 ...
 ...
 0.516, 0.233, 0.123 ... 0.057

4.

Jonke, 2020



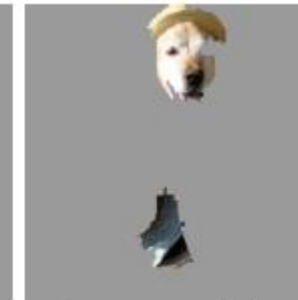
(a) Original Image



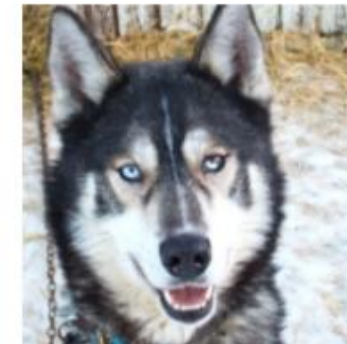
(b) Explaining *Electric guitar*



(c) Explaining *Acoustic guitar*



(d) Explaining *Labrador*

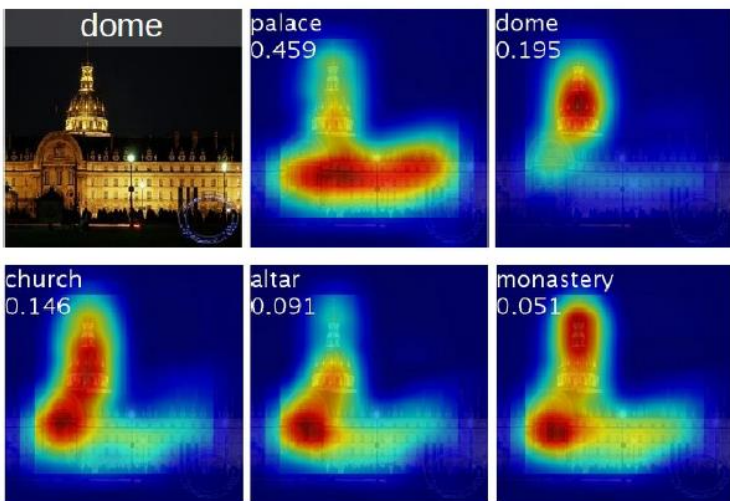
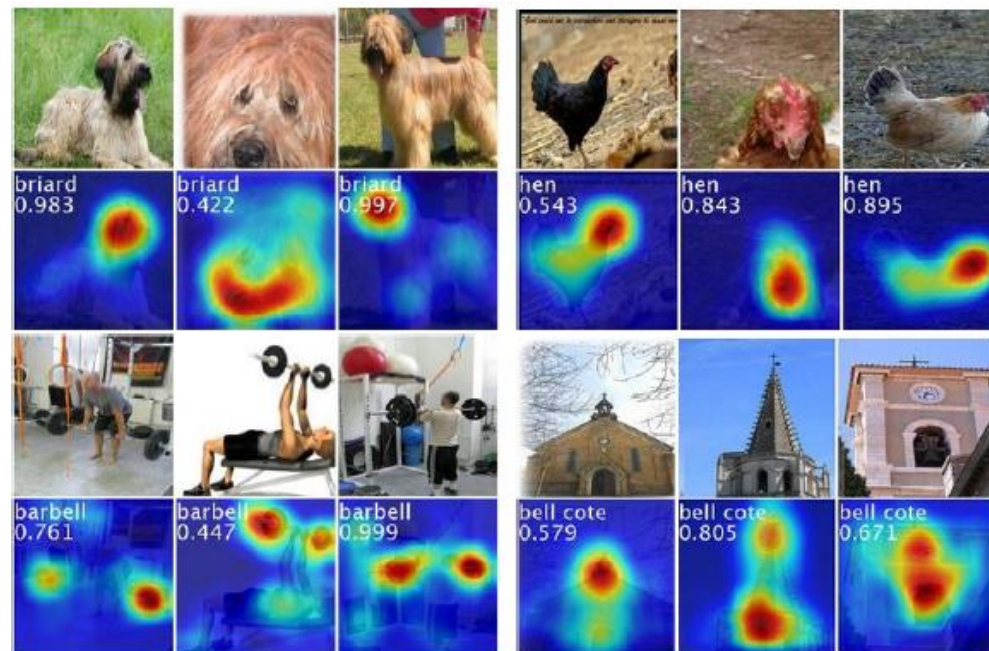
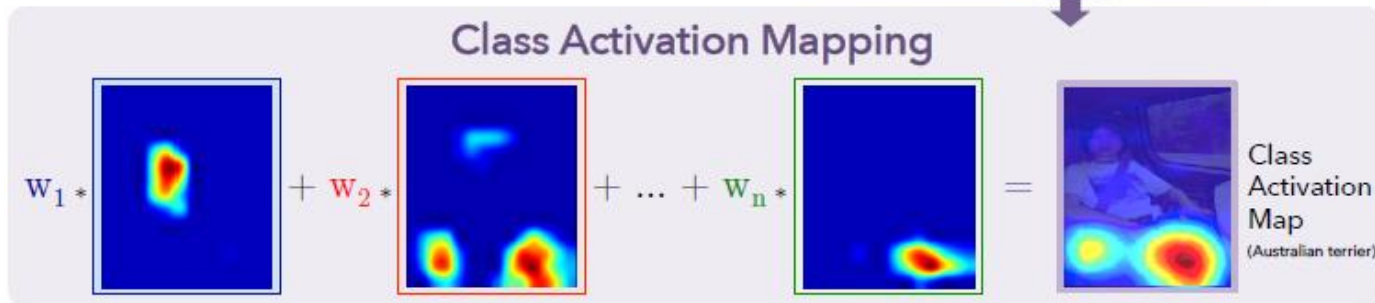
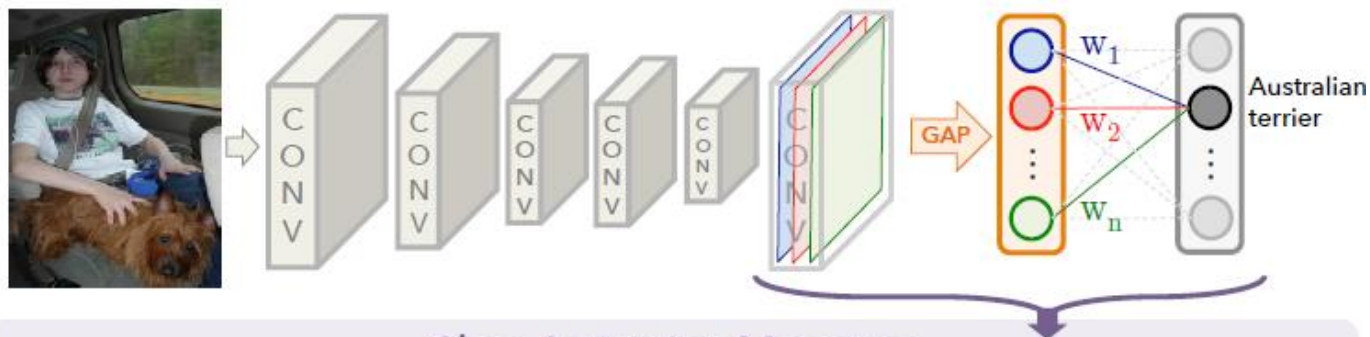


(a) Husky classified as wolf

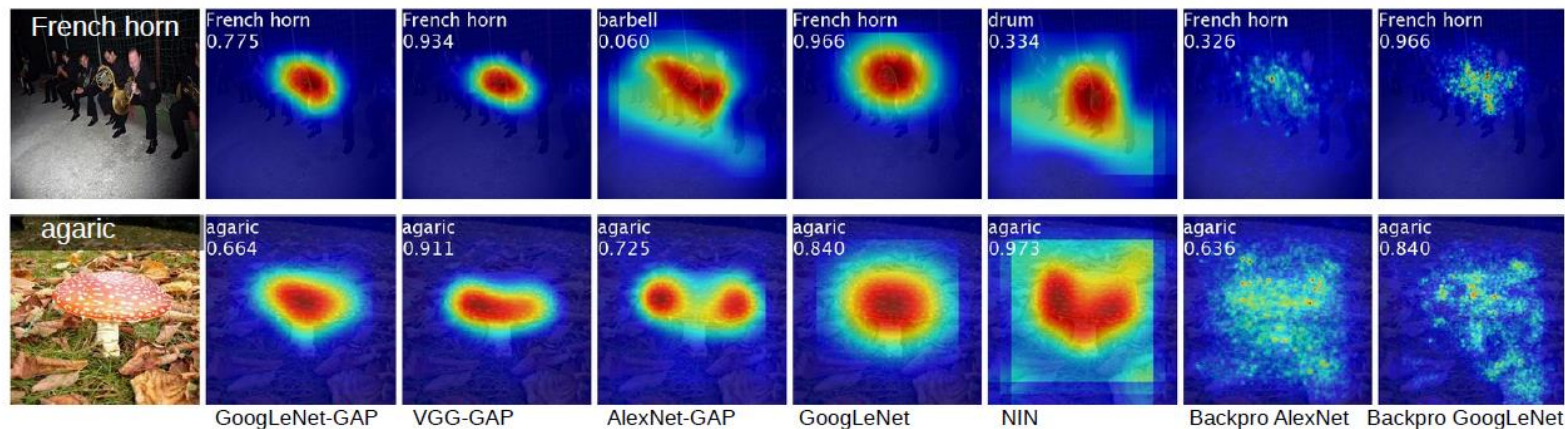


(b) Explanation

Class activation maps

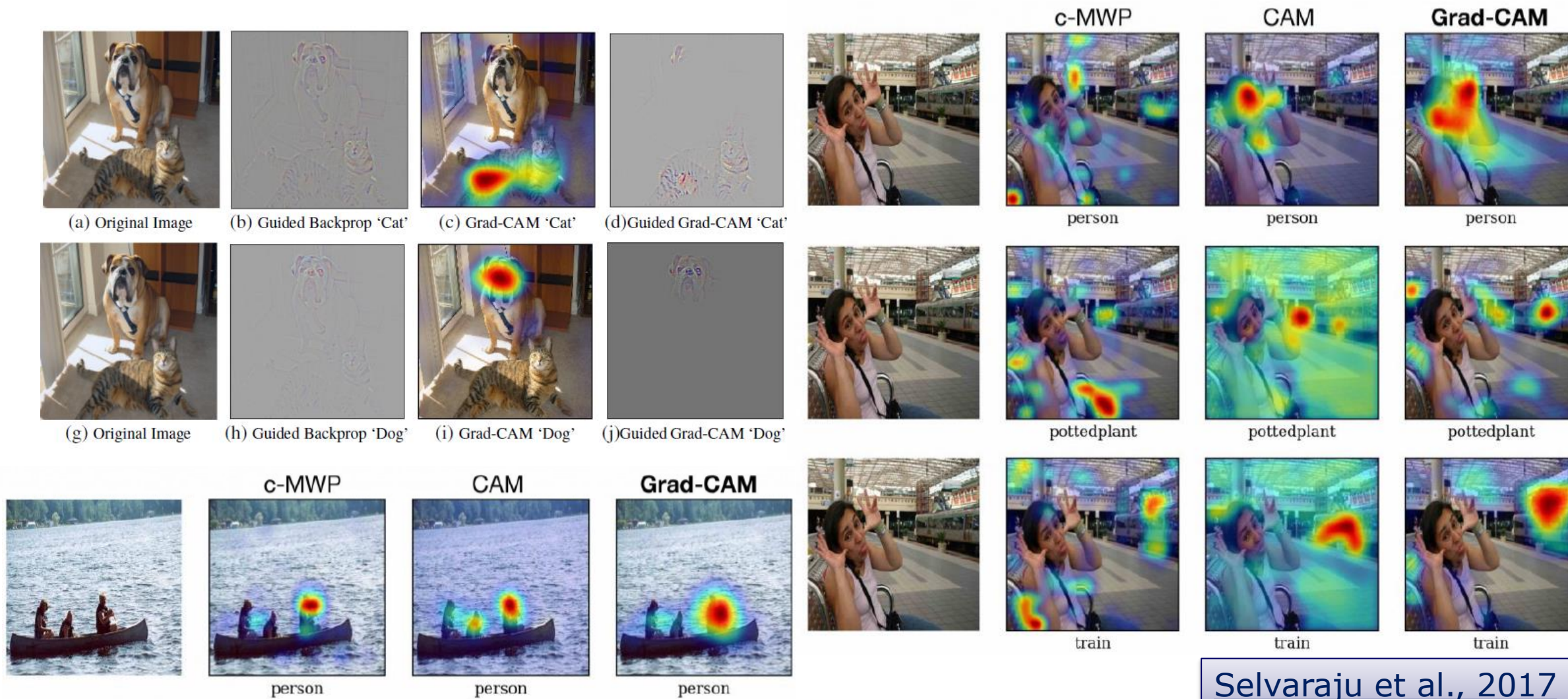


Zhoe et al., 2016



Grad-CAM

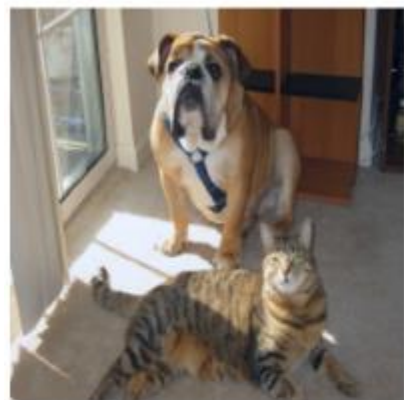
- Visual Explanations from Deep Networks via Gradient-based Localization



Selvaraju et al., 2017

Grad-CAM

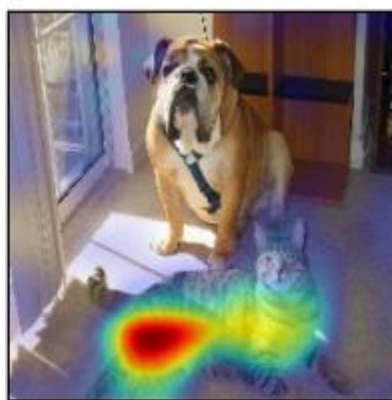
- Visual Explanations from Deep Networks via Gradient-based Localization



(a) Original Image



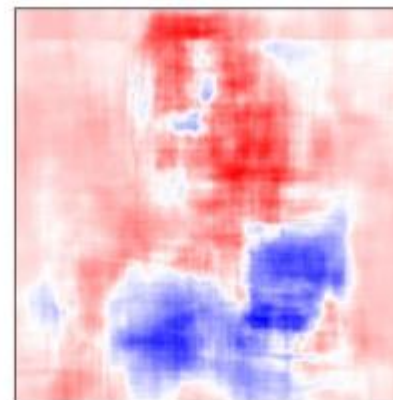
(b) Guided Backprop 'Cat'



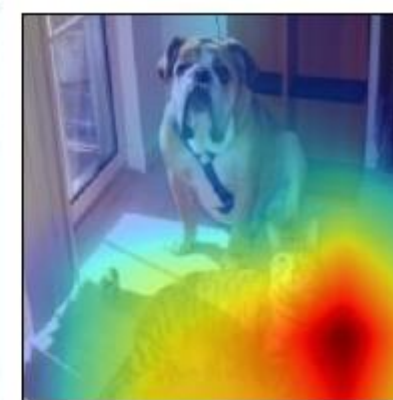
(c) Grad-CAM 'Cat'



(d) Guided Grad-CAM 'Cat'



(e) Occlusion map 'Cat'



(f) ResNet Grad-CAM 'Cat'



(g) Original Image



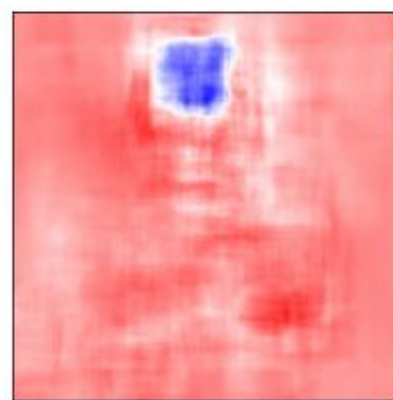
(h) Guided Backprop 'Dog'



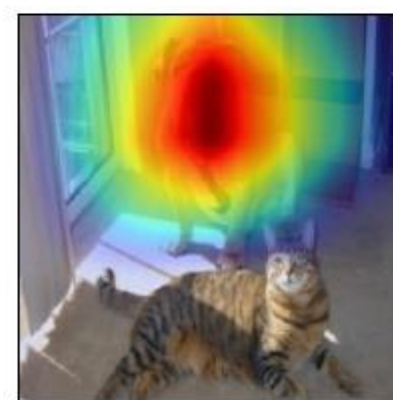
(i) Grad-CAM 'Dog'



(j) Guided Grad-CAM 'Dog'



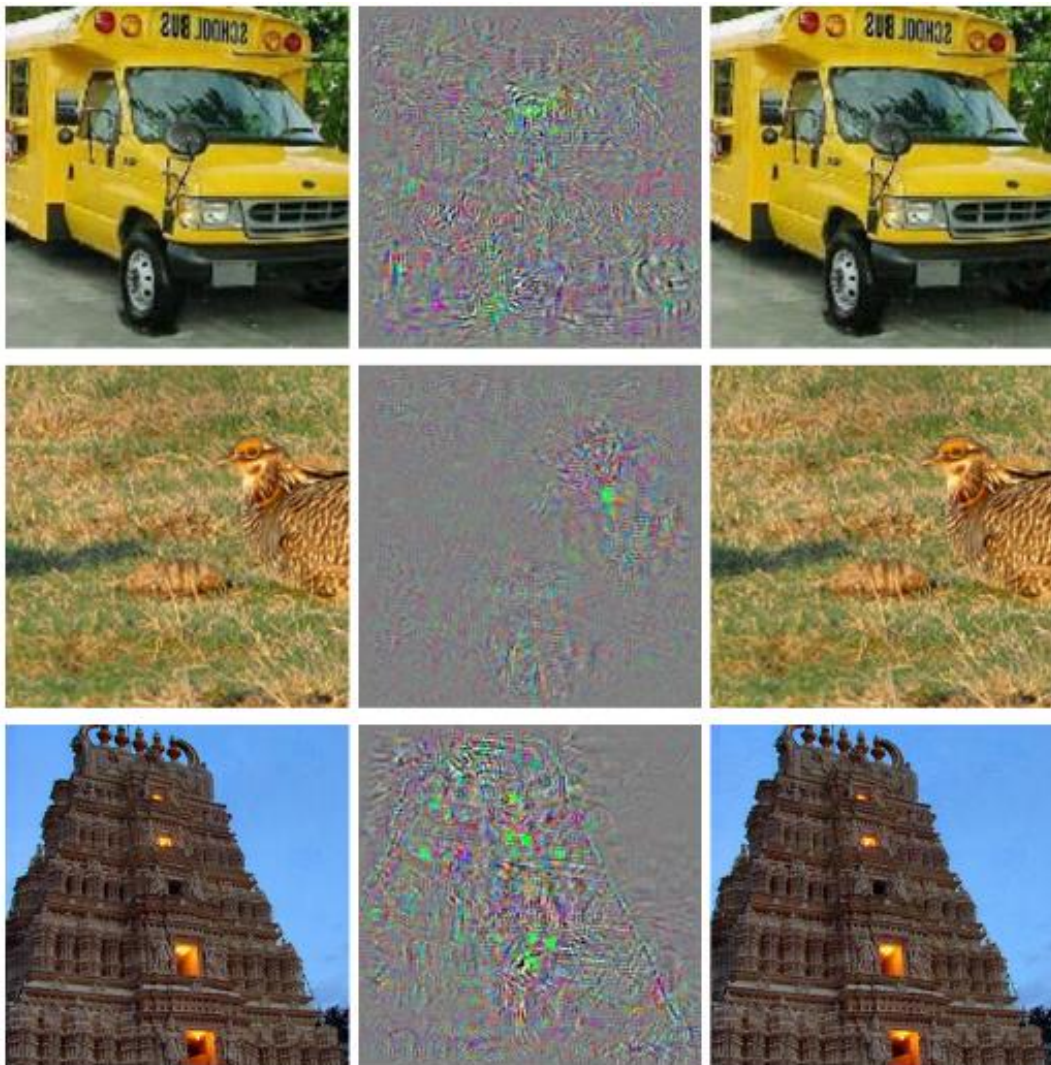
(k) Occlusion map 'Dog'



(l) ResNet Grad-CAM 'Dog'

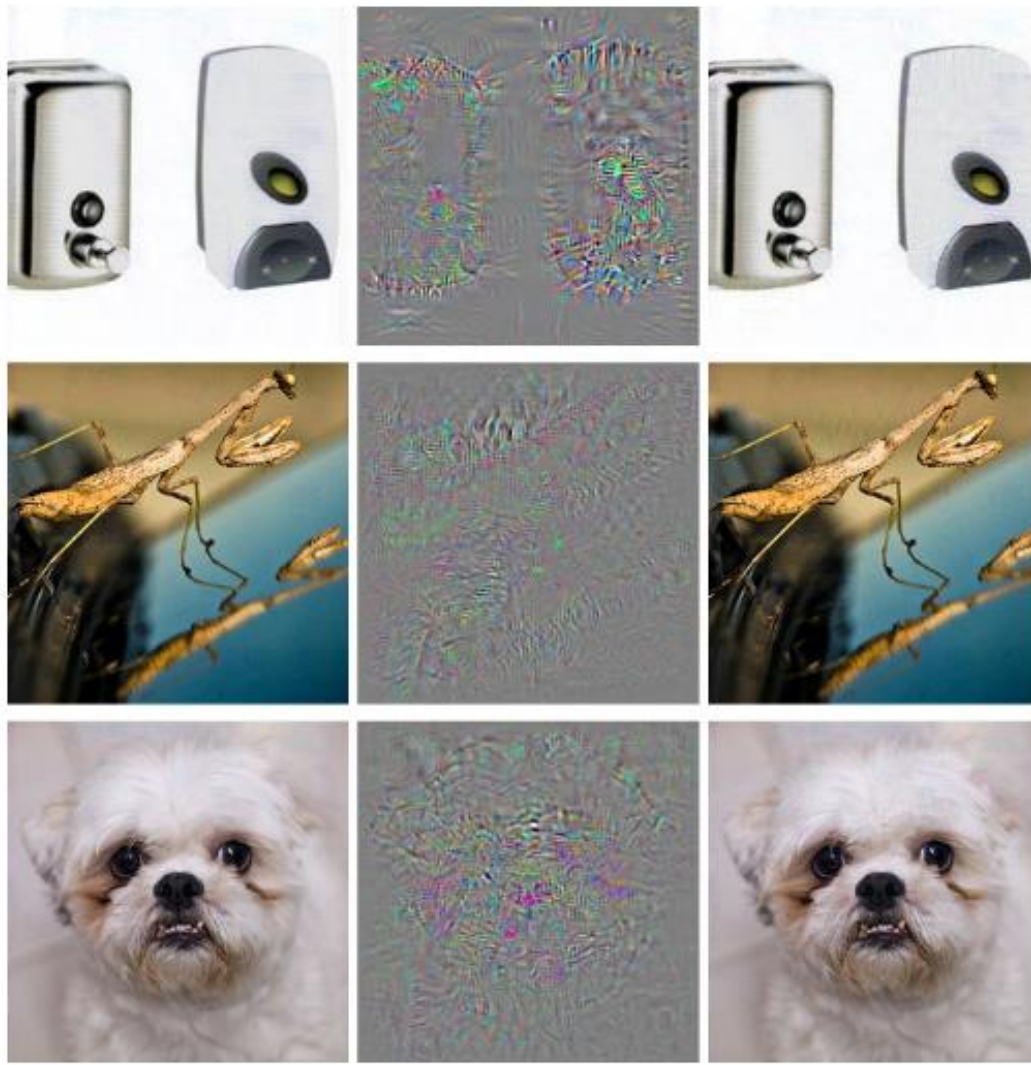
Selvaraju et al., 2017

Adversarial images



Szegedy et al., 2013

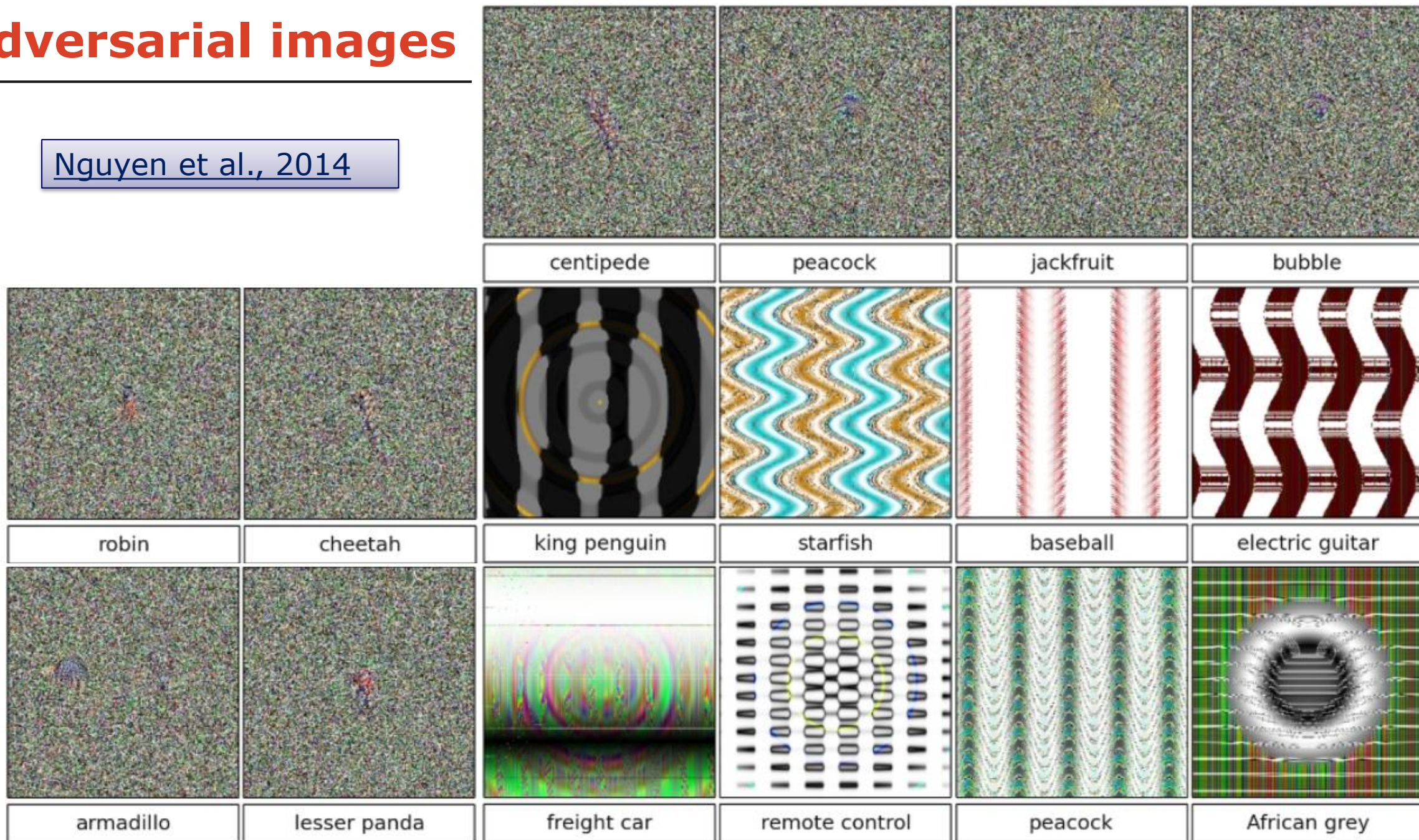
Ostrich!



Ostrich!

Adversarial images

Nguyen et al., 2014

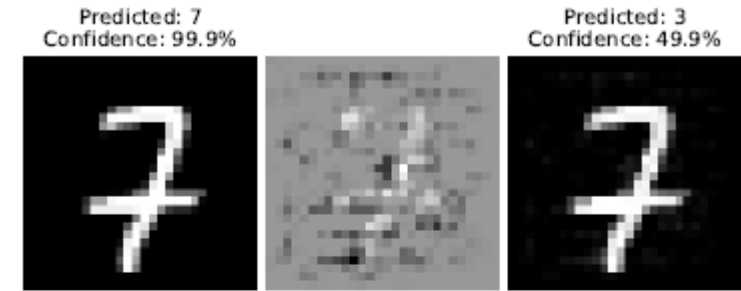


Adversarial example

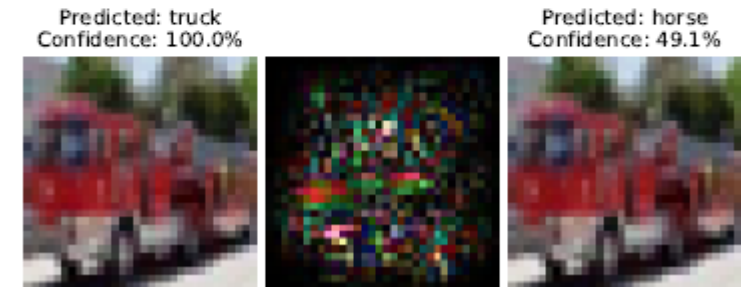
- Find minimal perturbation that misleads the classifier
 - targeted
 - untargeted

$$\begin{aligned} &\text{Minimize} && \|\boldsymbol{\eta}\|_2 \\ &\text{subject to} && \mathcal{C}(\boldsymbol{x} + \boldsymbol{\eta}) = l \quad \text{where} \quad l \neq \mathcal{C}^*(\boldsymbol{x}) \\ &&& \boldsymbol{x} + \boldsymbol{\eta} \in [0, 1]^n \end{aligned}$$

$$\begin{aligned} &\text{Minimize} && c\|\boldsymbol{\eta}\|_2^2 + J(\boldsymbol{x} + \boldsymbol{\eta}, l) \\ &\text{subject to} && \boldsymbol{x} + \boldsymbol{\eta} \in [0, 1]^n \end{aligned}$$



(a) MNIST example



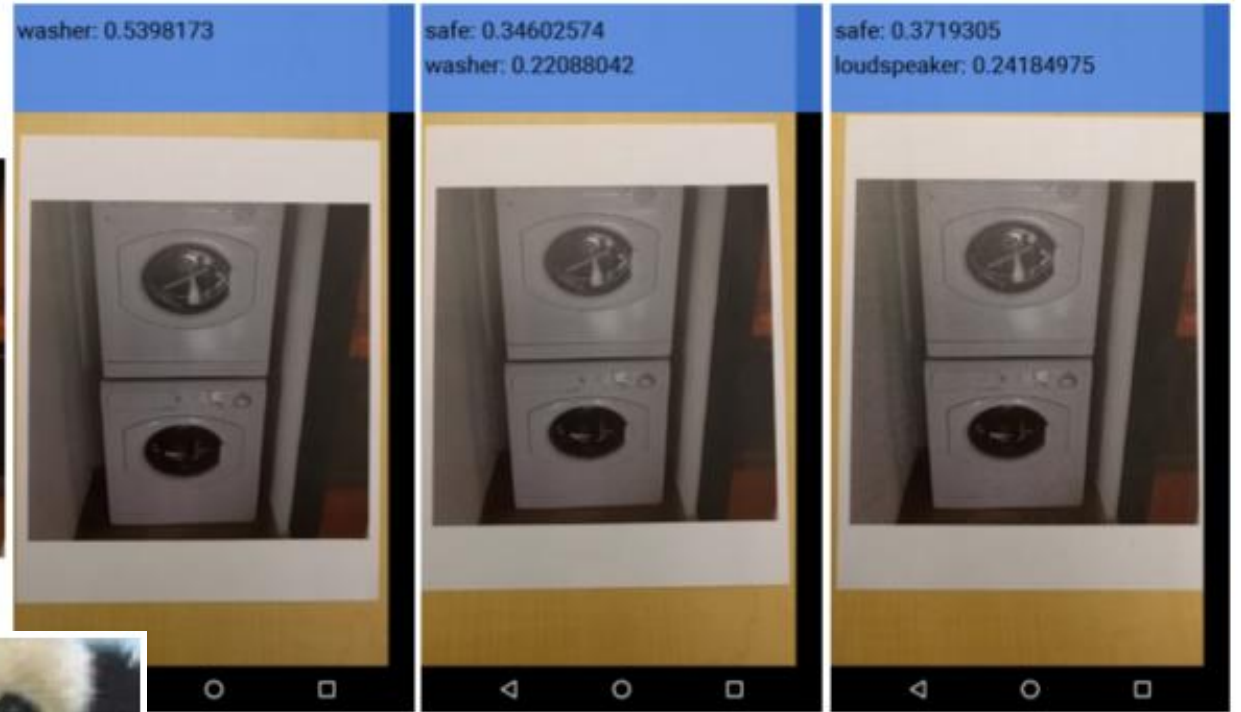
(b) CIFAR10 example

FGSM and BIM

- Fast Gradient Sign Method
- Basic Iterative Method

$$\eta = \epsilon \text{sign}(\nabla_x J(\theta, x, y))$$

Goodfellow et al., 2015



x
“panda”
57.7% confidence

+ .007 ×



$\text{sign}(\nabla_x J(\theta, x, y))$
“nematode”
8.2% confidence

=



$x + \epsilon \text{sign}(\nabla_x J(\theta, x, y)) + \eta_i$
“gibbon”
99.3 % confidence

Clean image

(c) Adv. image, $\epsilon = 4$

(d) Adv. image, $\epsilon = 8$

Kurakin et al., 2017

DeepFool

$$\Delta(\mathbf{x}; \mathcal{C}) \equiv \min_{\boldsymbol{\eta}} \|\boldsymbol{\eta}\|_2 \quad \text{subject to } \mathcal{C}(\mathbf{x} + \boldsymbol{\eta}) \neq \mathcal{C}(\mathbf{x})$$

$$\rho_{\text{adv}}(\mathcal{C}) = \mathbb{E}_{\mathbf{x}} \frac{\Delta(\mathbf{x}; \mathcal{C})}{\|\mathbf{x}\|_2}$$

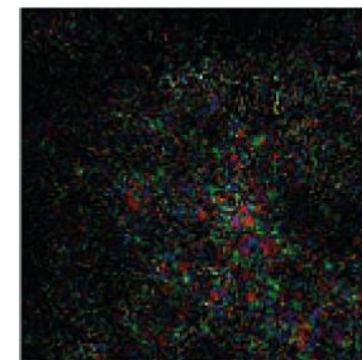
Algorithm 3 The DeepFool algorithm for a multiclass general classifier

input: Image \mathbf{x} , classifier \mathcal{C} , output of the classifier's final layer F , maximum number of iterations `max_iter`, parameter overshoot

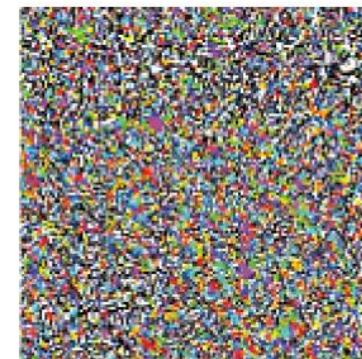
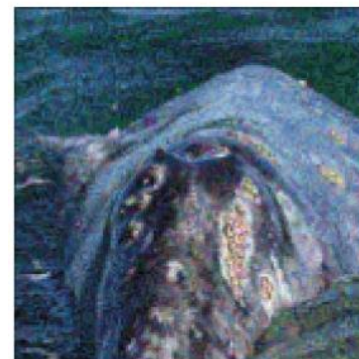
output: Perturbation $\hat{\boldsymbol{\eta}}$

- 1: Initialize $\mathbf{x}_0 \leftarrow \mathbf{x}$, $i \leftarrow 0$
- 2: **while** $\mathcal{C}(\mathbf{x}_i) = \mathcal{C}(\mathbf{x}_0)$ and $i < \text{max_iter}$ **do**
- 3: **for** $l \neq \mathcal{C}(\mathbf{x}_0)$ **do**
- 4: $\mathbf{w}'_k \leftarrow \nabla_{\mathbf{x}} F_k(\mathbf{x}_i) - \nabla_{\mathbf{x}} F_{\mathcal{C}(\mathbf{x}_0)}(\mathbf{x}_i)$
- 5: $F'_k \leftarrow F_k(\mathbf{x}_i) - F_{\mathcal{C}(\mathbf{x}_0)}(\mathbf{x}_i)$
- 6: **end for**
- 7: $\hat{l} \leftarrow \arg \min_{l \neq \mathcal{C}(\mathbf{x}_0)} \frac{|F'_k|}{\|\mathbf{w}'_k\|_2}$
- 8: $\boldsymbol{\eta}_i \leftarrow \frac{|F'_l|}{\|\mathbf{w}'_l\|_2} \mathbf{w}'_l$
- 9: $\mathbf{x}_{i+1} \leftarrow \mathbf{x}_i + \boldsymbol{\eta}_i$
- 10: $i \leftarrow i + 1$
- 11: **end while**
- 12: **return** $\hat{\boldsymbol{\eta}} = (1 + \text{overshoot}) \sum_i \boldsymbol{\eta}_i$

Moosavi-Dezfooli et al., 2016

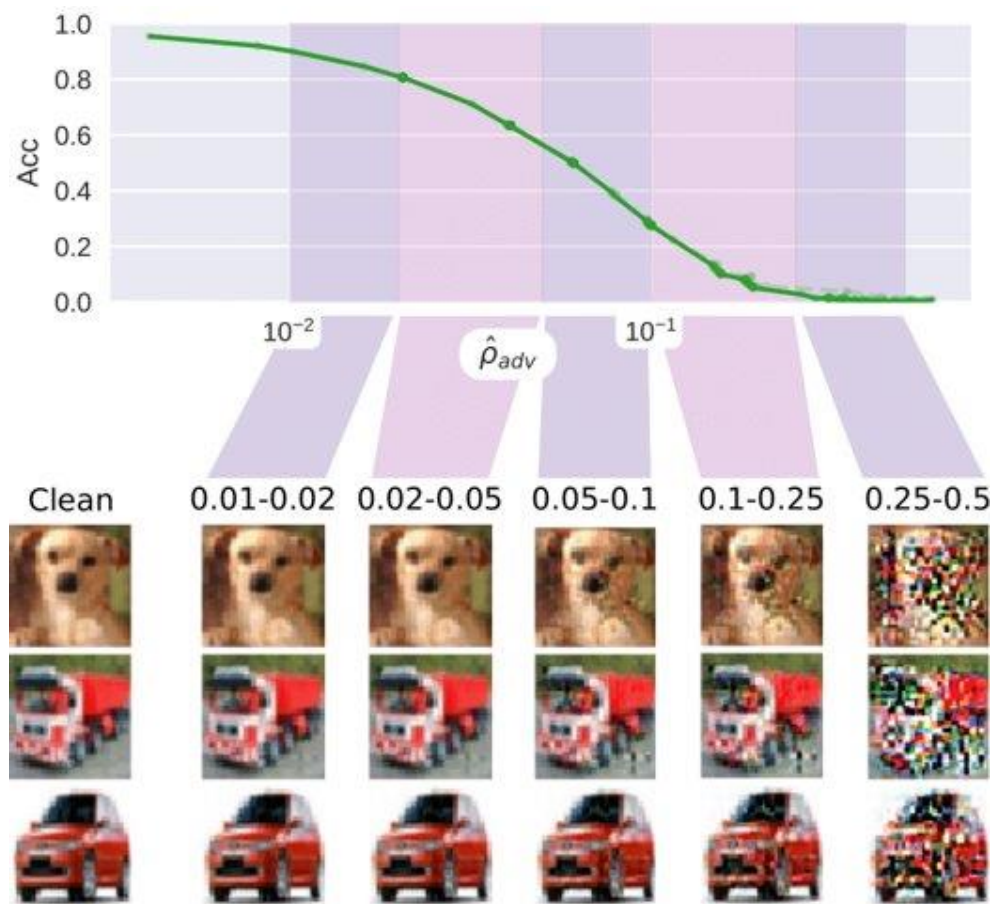


„Turtle“
DeepFool



„Turtle“
FGSM

Accuracy-perturbation curves



$$\hat{\rho}_{adv}(C) = \frac{1}{|\mathcal{D}|} \sum_{x \in \mathcal{D}} \frac{\|\eta\|_2}{\|x\|_2}$$

Šircelj, 2020

Evaluating adversarial attacks



- | | |
|---|------------|
| 0 | airplane |
| 1 | automobile |
| 2 | bird |
| 3 | cat |
| 4 | deer |
| 5 | dog |
| 6 | frog |
| 7 | horse |
| 8 | ship |
| 9 | truck |

MNIST

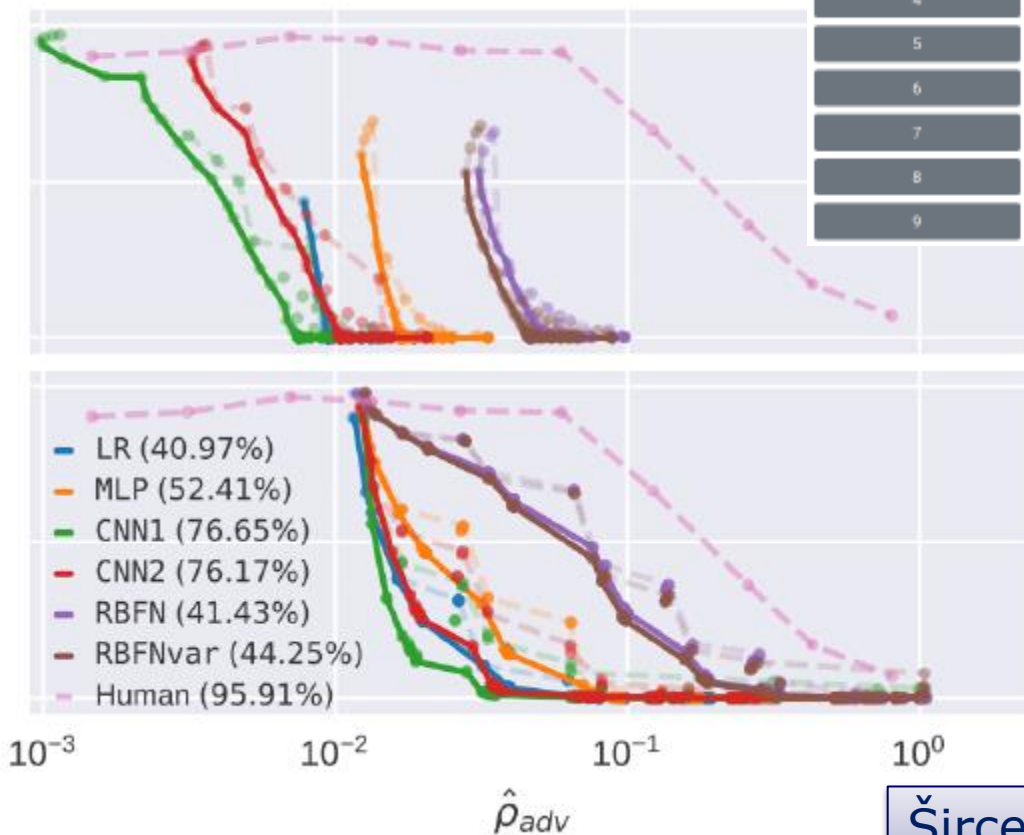
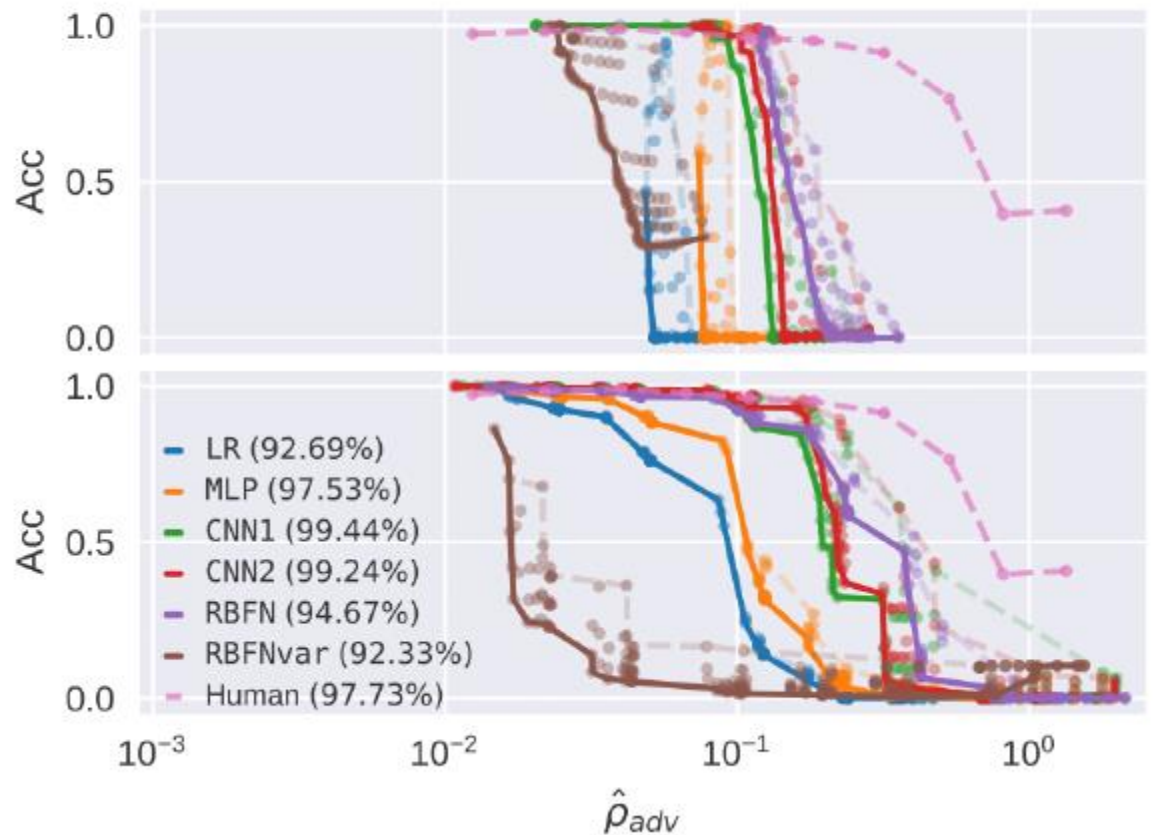
CIFAR10

○
○
○

○
○
○

DeepFool

AutoPGD



Šircelj, 2019

Universal perturbations

- Universal perturbations

- wrt. images
- wrt. architectures

1: **input:** Data points X , classifier k , desired ℓ_p norm of the perturbation ξ , desired accuracy on perturbed samples δ .

2: **output:** Universal perturbation vector v .

3: Initialize $v \leftarrow 0$.

4: **while** $\text{Err}(X_v) \leq 1 - \delta$ **do**

5: **for** each datapoint $x_i \in X$ **do**

6: **if** $\hat{k}(x_i + v) = \hat{k}(x_i)$ **then**

7: Compute the *minimal* perturbation that sends $x_i + v$ to the decision boundary:

$$\Delta v_i \leftarrow \arg \min_r \|r\|_2 \text{ s.t. } \hat{k}(x_i + v + r) \neq \hat{k}(x_i).$$

8: Update the perturbation:

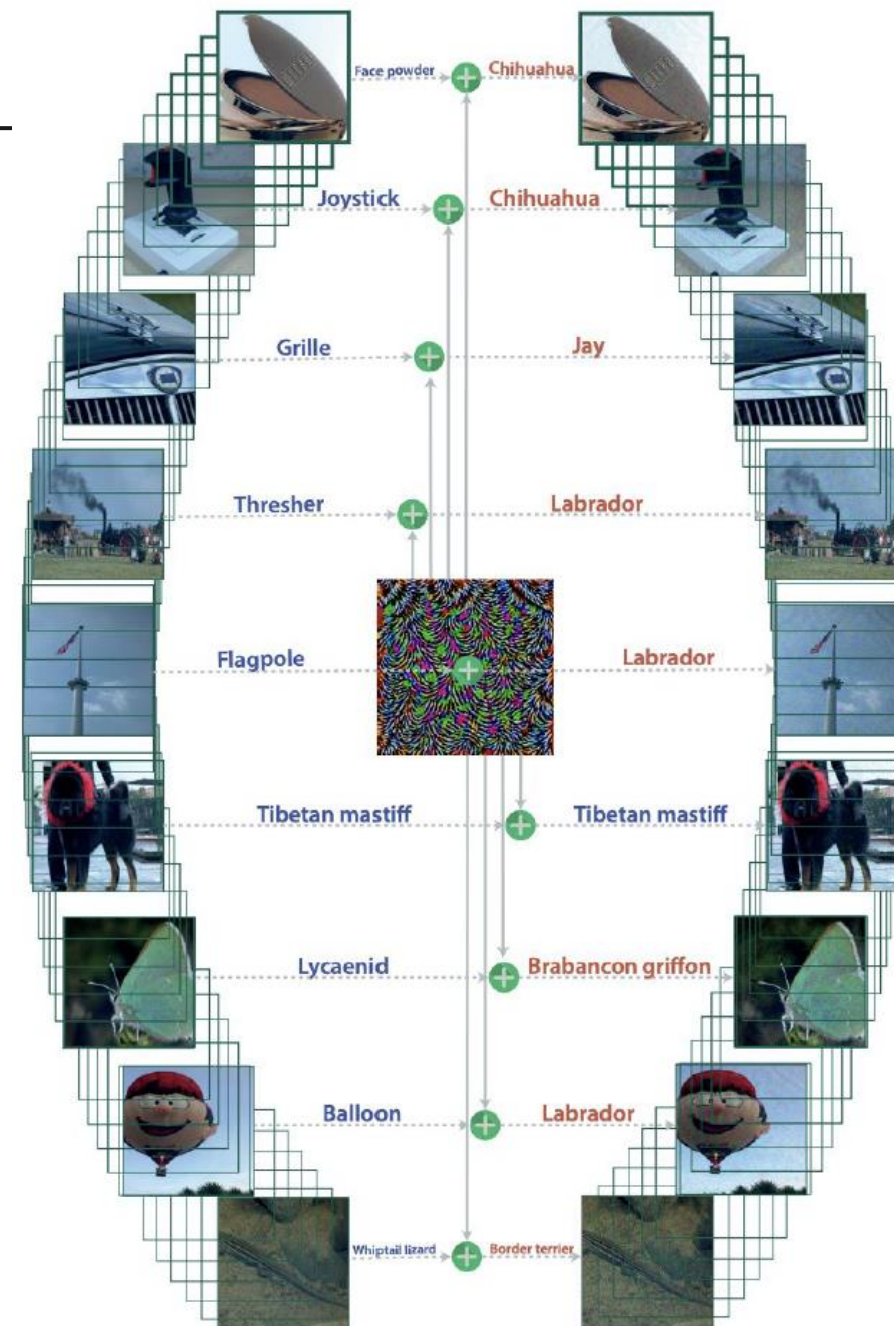
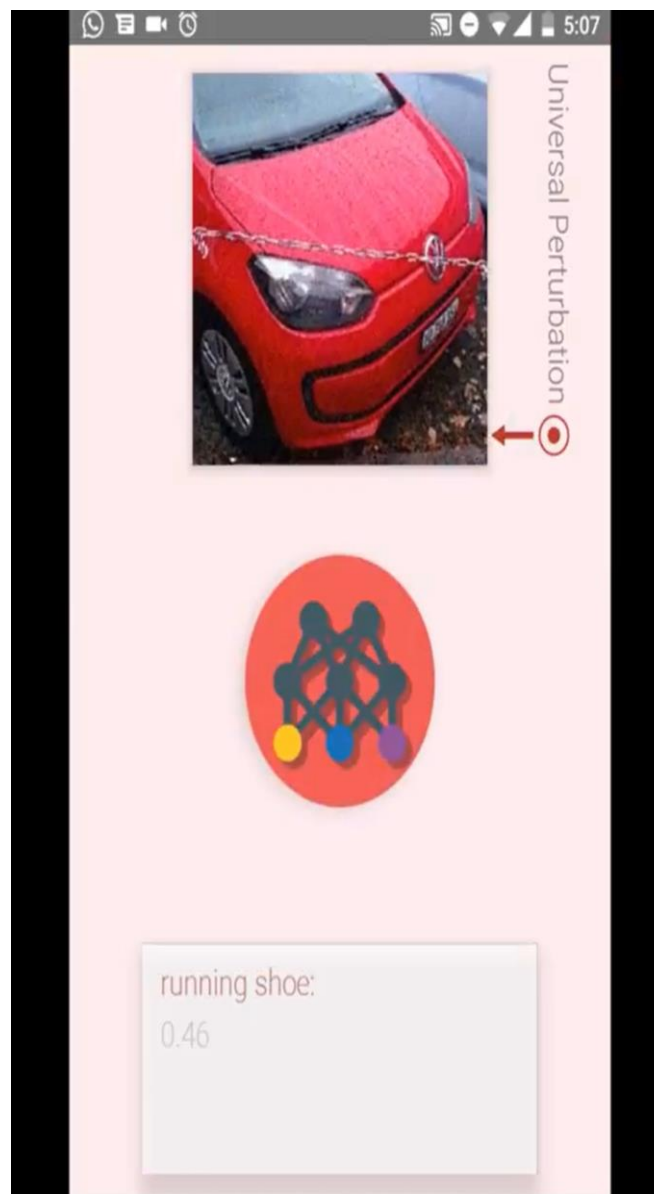
$$v \leftarrow \mathcal{P}_{p,\xi}(v + \Delta v_i).$$

9: **end if**

10: **end for**

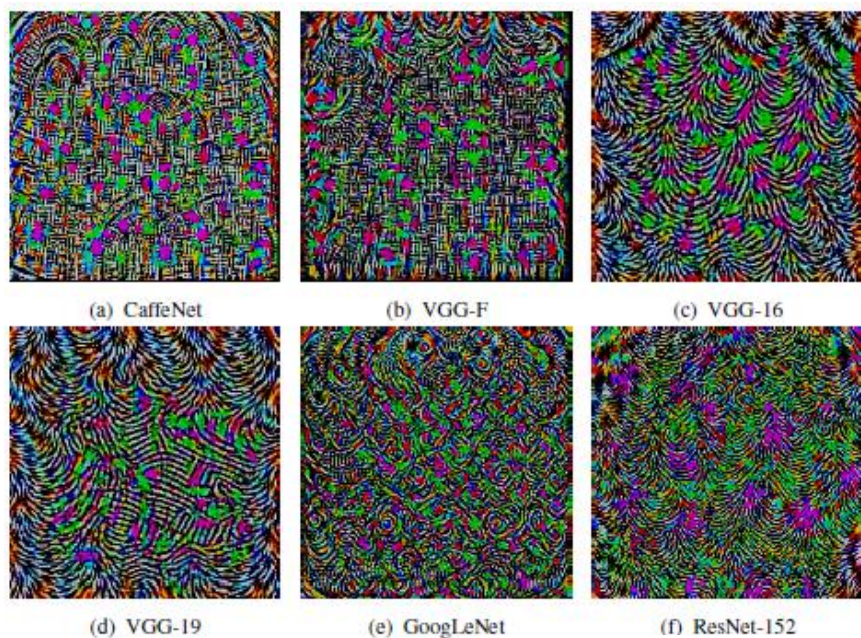
11: **end while**

Moosavi-Dezfooli et al., 2017



Universal perturbations

- Universal perturbations
 - wrt. images
 - wrt. architectures



	VGG-F	CaffeNet	GoogLeNet	VGG-16	VGG-19	ResNet-152
VGG-F	93.7%	71.8%	48.4%	42.1%	42.1%	47.4 %
CaffeNet	74.0%	93.3%	47.7%	39.9%	39.9%	48.0%
GoogLeNet	46.2%	43.8%	78.9%	39.2%	39.8%	45.5%
VGG-16	63.4%	55.8%	56.5%	78.3%	73.1%	63.4%
VGG-19	64.0%	57.2%	53.6%	73.5%	77.8%	58.0%
ResNet-152	46.3%	46.3%	50.5%	47.0%	45.5%	84.0%

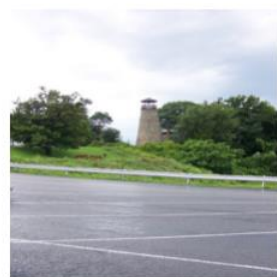


		CaffeNet [8]	VGG-F [2]	VGG-16 [17]	VGG-19 [17]	GoogLeNet [18]	ResNet-152 [6]
l_2	X	85.4%	85.9%	90.7%	86.9%	82.9%	89.7%
	Val.	85.6	87.0%	90.3%	84.5%	82.0%	88.5%
l_∞	X	93.1%	93.8%	78.5%	77.8%	80.8%	85.4%
	Val.	93.3%	93.7%	78.3%	77.8%	78.9%	84.0%

SparseFool

- Sparse perturbations

Modas et al., 2019



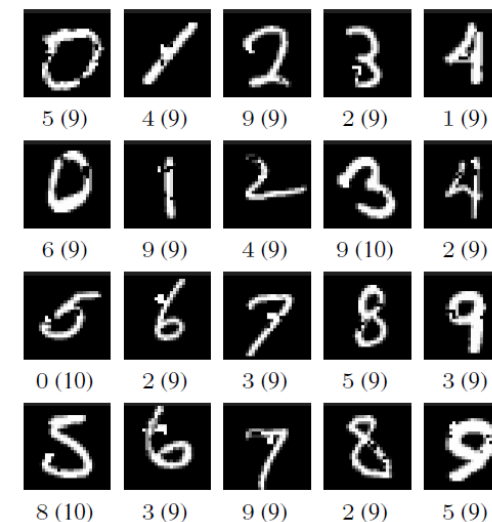
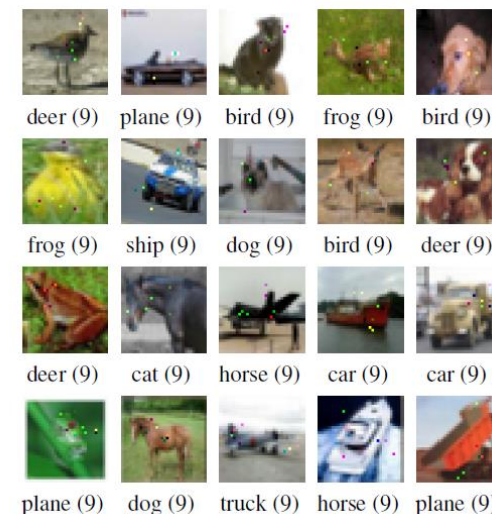
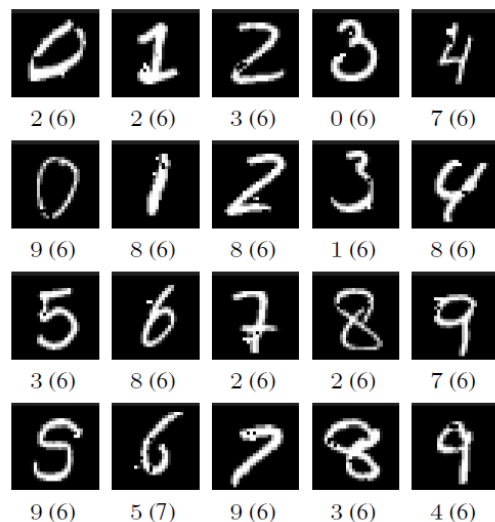
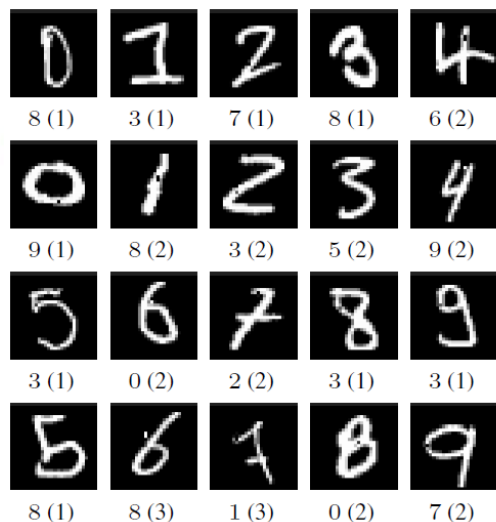
beacon / go-kart (1)



necklace / safety pin (4)



toucan / spider (5)



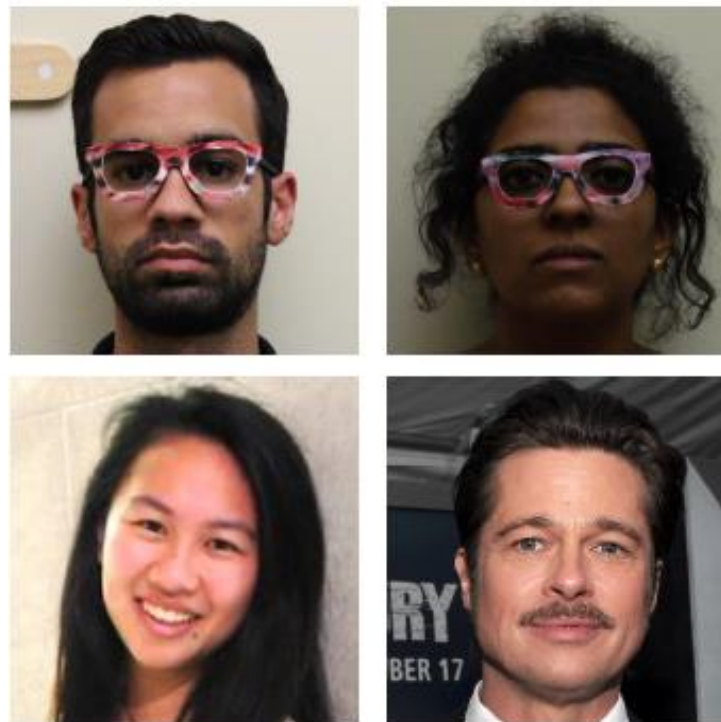
Adversarial examples in real world

- Synthesizing Robust Adversarial Examples – adversarial objects
- Adversarial Generative Nets – adversarial glasses
- Robust Physical Perturbations (RP2) – adversarial stickers



■ classified as turtle ■ classified as rifle
■ classified as other

Athalye et al., 2018



Sharif et al., 2019

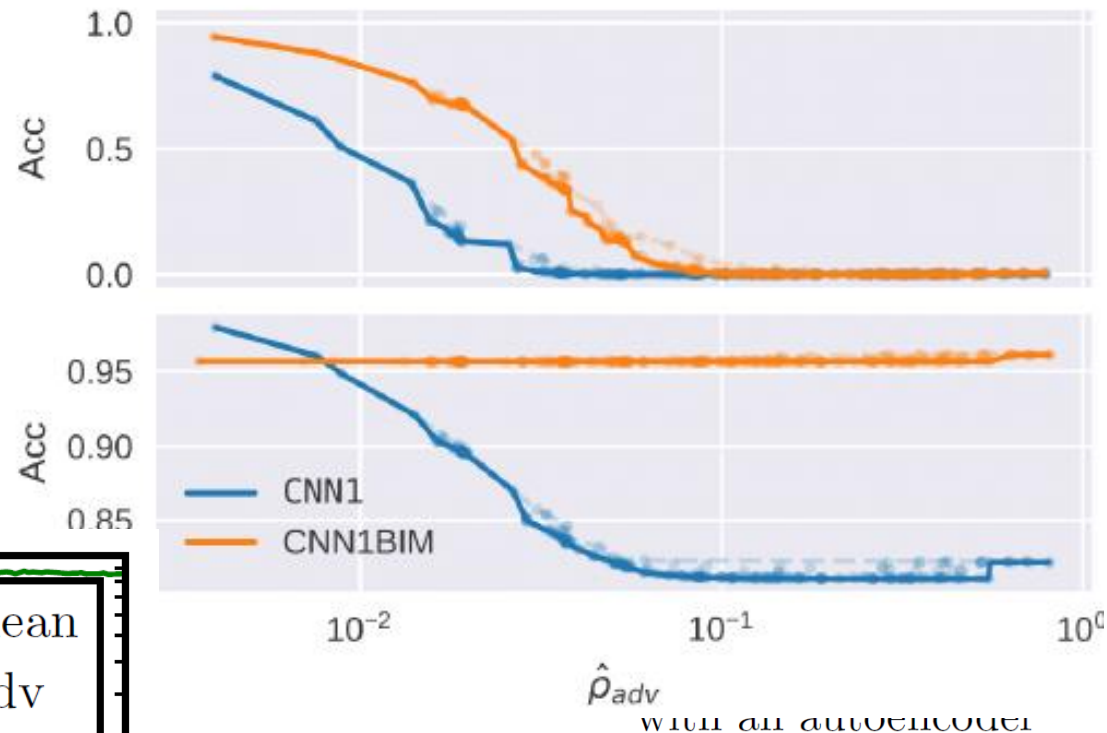
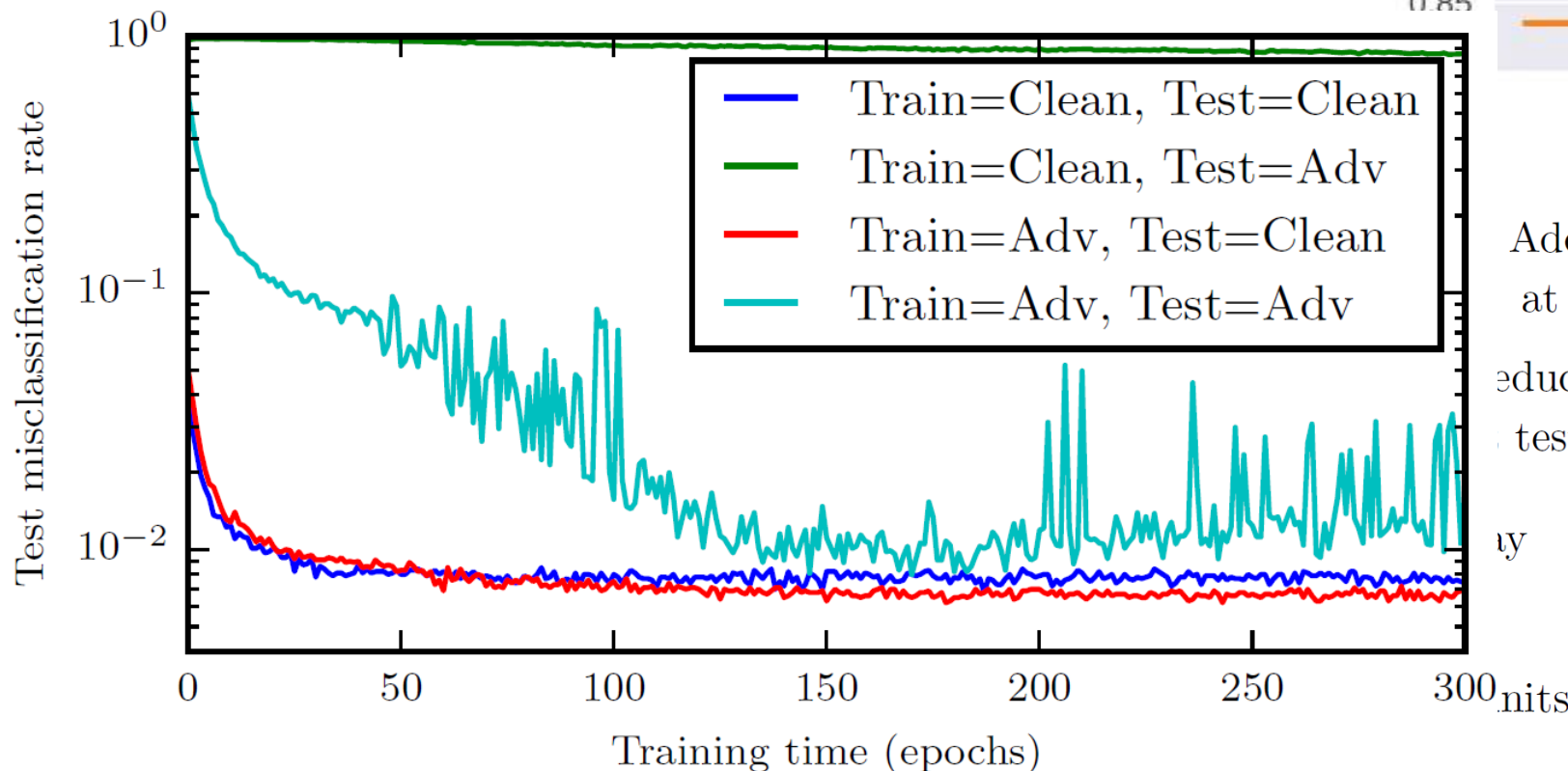


Eykholt et al., 2018

Adversarial training

- Training on adversarial examples
- Works also as a regularizer

Šircelj, 2019



Adding noise at test time

Ensembles

Reducing test time

Error correcting codes

Stability

Multiple glimpses

Double backprop

Adding noise at train time

Dropout

Goodfellow, 2016